The William & Flora Hewlett Foundation

1993

The William & Flora Hewlett Foundation

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## Statement of Purpose

he Hewlett Foundation, incorporated as a private foundation in the State of California in 1966, was established by the Palo Alto industrialist William R. Hewlett, his late wife, Flora Lamson Hewlett, and their eldest son, Walter B. Hewlett. The Foundation's broad purpose, as stated in the articles of incorporation, is to promote the well-being of mankind by supporting selected activities of a charitable, religious, scientific, literary, or educational nature, as well as organizations or institutions engaged in such activities.

The Foundation concentrates its resources on activities in the performing arts, education, population issues, environmental issues, conflict resolution, and family and community development. Some subareas of interest to the Foundation are listed in the program descriptions that follow. Special projects outside these broad areas may from time to time be approved by the Board of Directors. Although the Hewlett Foundation is a national foundation, with no geographic limit stipulated in its charter, a proportion of disbursable funds has been earmarked for projects in the San Francisco Bay Area.

The Foundation has a strong commitment to the voluntary, nonprofit sector. It will therefore assist efforts to improve the financial base and efficiency of organizations and institutions in this category. Proposals that show promise of stimulating private philanthropy are particularly welcome.

In its grantmaking decisions as well as in its interests and activities, the Hewlett Foundation is wholly independent of the Hewlett-Packard Company and the Hewlett-Packard Company Foundation.

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#### President's Statement

his year marked a period of major transition for the Foundation, following Roger Heyns's retirement on December 31, 1992, at the close of fifteen years of committed, caring, and competent service as president. Mr. Heyns's accounting of his stewardship, set forth in the 1992 Annual Report, is a faithful but modest assessment of the Foundation's work during his tenure.

Mr. Heyns helped guide this institution through its critical, formative years with an imaginative, far-sighted, and yet steady sense of his responsibilities. While tending to the Foundation's daily needs, he was also preparing for its broader and even more consequential future; while supporting the Foundation's targeted programs, he was also seeking new and innovative ways to deploy its grants; while looking for opportunities to collaborate with others in the philanthropic community, he was also staking out a unique role for the Foundation as one of the nation's leading grantmakers. In short, Roger Heyns's work as president made a difference, and our country, the philanthropic community, and this Foundation are all the better for it.

During this time of transition, the Foundation has derived special benefit from the regular and resourceful work of its chairman, William R. Hewlett. Mr. Hewlett's active, well-informed, and nurturing leadership continues to steady the Foundation while positioning it for the future. He brings an uncommon measure of common sense and good humor to his task, along with the well-earned respect and esteem of his fellow Board members and of the Foundation staff.

Our directors remain faithful to their duties and active in their oversight of the Foundation's programs and grantmaking activities. Drawn from various walks of life and appreciated for their diverse views and perspectives, Board members meet quarterly to review the overall work of the Foundation, to monitor its investments and those who manage its assets, to consider and act on grant requests,

and to help guide the Foundation as it evolves and changes over time. They are dependable, conscientious, informed, and forthright in meeting their fiduciary duties and statutory obligations. They are also colleagues in this endeavor in every sense of the term, and their service to the Foundation is warmly acknowledged and appreciated by the entire staff.

The Foundation has always been successful in attracting to its service professional and support staff possessing an unusual measure of devotion and commitment to this institution and its daily work. This small but dedicated cadre merits all the respect it earns, both from our grantees and from colleagues in the wider philanthropic community.

In October 1993 the Board of Directors approved several changes in the Foundation's programs to be effective January 1, 1994, as noted below. No material adjustments, however, were made in the Foundation's basic procedures nor in its philosophy of grantmaking, which were and are regarded as sound, effective, and altogether appropriate to the Foundation's current resource level and its place in the larger philanthropic community.

- The Foundation's program in children, youth, and families will no longer be a discrete program, but its various categories will be moved to other programs as noted.
- The education program will shift much of its focus from higher education to K-12, including the school-linked services and school-to-work transition components of the former children, youth, and families program. The Foundation will retain an active interest in higher education, but in mostly new areas of concern. U.S.-Mexico relations will remain part of this program.
- The environment program will focus primarily on areas of special interest to the American and Canadian West (roughly west of the 100th meridian), including Alberta, British Columbia, Alaska, Montana, Idaho, Wyoming, Colorado, New Mexico, Arizona, Utah, Nevada, California, Oregon, and Washington. This

region of North America—with its distinctive ecosystems, aridity, and sparse and widely dispersed population (outside California)—is being dramatically impacted by the flow of peoples from states to the east, from Latin countries to the south, and from Asian nations to the west. It is also being influenced by the movement of Californians to the mountain and desert states and the Pacific Northwest. A portion of the environment program will remain committed to national and international organizations and institutions in which the Foundation has had a long-established interest.

- The regional grants program will be retitled the family and community development program. Grants in this area, while continuing to be primarily regional, will seek to build on the Foundation's established support for San Francisco Bay Area community development organizations and institutions. Moreover, this new program will assume responsibility for the children's policy, family support, and youth community service areas of the former children, youth, and families program. This reorganization thus links community development to the basic and indispensable building block of any community, the health and well-being of its families.
- The population program will remain essentially intact, with the inclusion of the teenage pregnancy component from the former children, youth, and families program. In addition, the Foundation will be seeking to elaborate its population interests in Mexico.
- No material changes will be made in the Foundation's programs in conflict resolution and the performing arts.

In the years ahead, the Foundation looks forward to testing these latest adjustments against current expectations and stands ready to adapt to changing conditions. As an institution with a unique but well-established role in the world of grantmaking, the Hewlett Foundation will sustain its active commitment to improving the quality of life for all peoples and to alleviating the stresses of

modern life that strain the fabric of civil society and threaten the natural environment upon which the integrity of our planet depends.

I am grateful to have been asked to help the Foundation in this work and am committed to doing all within my ability to realize the Board's expectations and those of the larger community in which this Foundation plays so significant a part.

DAVID PIERPONT GARDNER

# Programs

THE PROGRAM STATEMENTS that follow describe certain specific objectives of the Hewlett Foundation. Other goals are general; they underlie all the programs and all the funding choices the Foundation makes.

FIRST, the Foundation has a strong basic commitment to the voluntary, nonprofit sector that lies between industry and government. Institutions and organizations in this category serve purposes very important to our society, and their health and effectiveness are a major concern. Accordingly, the Foundation intends to assist efforts to strengthen their financial base and increase their efficiency.

SECOND, the Foundation also believes that private philanthropy is of great value to society. Support from individuals, businesses, or foundations can supplement government funding, and in some important cases can provide a benign and fruitful alternative. The Foundation considers the nation's habits of philanthropy, individual and corporate, less healthy than they should be, and therefore will be particularly receptive to proposals that show promise of stimulating private philanthropy.

A GREAT MANY excellent organizations meet both the general criteria suggested here and the specifications set forth in the statements that follow. Competition for the available funds is intense. The Foundation can respond favorably to only a small proportion of the worthwhile proposals it receives.

### Children, Youth, and Families

his report marks the final appearance of children, youth, and families as a discrete program. In 1994 its subcategories will be absorbed in other grantmaking areas. For example, funding for school-linked services and school-to-work transition proposals will be granted through the education program; projects related to teenage pregnancy prevention will be supported by the population program. The new family and community development program will address the remaining three interest areas: children's policy, family support, and youth community service.

In the area of children's policy, the Foundation supports organizations that analyze the adequacy of public policies affecting children, youth, and their families, with a particular focus on the allocation of resources and the organization of services.

In the family support category, the Foundation funds programs that address the needs of families, rather than individuals, and that build within communities the formal and informal institutional supports that families need for long-term success. It places priority on programs that increase families' economic stability and cohesion, improve their ability to contribute to their children's healthy development, and enhance their capacity to contribute to their communities.

In the area of youth community service, the Foundation is particularly interested in efforts to integrate the service experience into learning, whether in K-12, college, or community-based educational settings, such as the urban conservation corps. It also extends support to community service organizations that wish to integrate youth workers fully into their normal program design.

Limited program funds dictate that only a few of the requests reviewed can be supported. To help avoid unprofitable effort on the part of applicants, we call attention to the fact that the Foundation does not make grants in the following fields: physical or mental health; law and related fields; criminal justice or juvenile delinquency; drug and alcohol addiction; or the problems of the elderly and the handicapped. These exclusions derive not from a lack of sympathy with the needs in these fields, but from the Foundation's determination to focus its resources.

Program Description

-	Children, Youth, and Families: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	Family Support				
	CENTER FOR A NEW GENERATION East Palo Alto, California				
	For general support	\$180,000		\$90,000	\$90,000
10	CENTER FOR ASSESSMENT AND POLICY DEVELOPMENT Bala Cynwyd, Pennsylvania				
	For a school-based initiative for adolescent parents and their children	50,000		50,000	
	CENTER ON BUDGET AND POLICY PRIORITIES Washington, D.C.				
	For a consortium project to improve public understanding of income security issues	50,000		50,000	
	EAST BAY COMMUNITY FOUNDATION, EAST BAY FUNDERS Oakland, California				
	For general support (Awarded in 1992 for \$300,000)		200,000	100,000	100,000
100	TIDES FOUNDATION San Francisco, California				
	For the Grantmakers' Income Security Taskforce project	20,000		20,000	
	Teenage Pregnancy Prevention				
	CENTER FOR POPULATION OPTIONS Washington, D.C.				
	For general support of the domestic affairs program	200,000		100,000	100,000
	UNIVERSITY OF COLORADO, DENVER Denver, Colorado				
	For general support of the Health Sciences Center	150,000		50,000	100,000
	MEHARRY MEDICAL COLLEGE Nashville, Tennessee				
	For the "I Have a Future" teen pregnancy prevention program (Awarded in 1992 for \$285,000)		285,000	143,000	142,000

<sup>■</sup> Grants newly authorized in 1993 are highlighted by square boxes.

	Children, Youth, and Families: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
ш	SOCIAL RESEARCH APPLICATIONS Los Altos, California				
	For general support of the NationalNet technical assistance project	50,000		25,000	25,000
	School-to-Work or School-to-School Transition				
	BAYSHORE EMPLOYMENT SERVICE East Palo Alto, California				
	For the Bayshore Workers program (Awarded in 1992 for \$60,000)		30,000	30,000	
	COMMUNITY COLLEGE FOUNDATION Sacramento, California				
	For the Career Pathways Demonstration Project	50,000		50,000	
	PHILANTHROPIC VENTURES FOUNDATION, MID-PENINSULA INDEPENDENT SCHOOL CONSORTIUM Oakland, California				
	For the Peninsula Bridge Program	125,000		125,000	
	PUBLIC/PRIVATE VENTURES Philadelphia, Pennsylvania				
	For general support (Awarded in 1992 for \$250,000)		125,000	125,000	
	For the Urban Corps Expansion Project (Awarded in 1992 for \$400,000)		400,000	400,000	
ш	For the Urban Corps Expansion Project	400,000		400,000	
	School-Community Linkages				
	BOYS AND GIRLS CLUB OF THE PENINSULA Menlo Park, California				
	For general support of educational activities (Awarded in 1992 for \$225,000)		125,000	75,000	50,000
-	COUNCIL ON FOUNDATIONS Washington, D.C.				
	For the Foundation Leadership Forums on National Service	16,000		16,000	
	MENTORING CENTER Oakland, California				
	For general support (Awarded in 1992 for \$50,000)		25,000	25,000	

	Children, Youth, and Families: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	NATIONAL ASSOCIATION OF SERVICE AND CONSERVATION CORPS				
	Washington, D.C.				
	For general support (Awarded in 1992 for \$300,000)		200,000	100,000	100,000
•	PENINSULA COMMUNITY FOUNDATION San Mateo, California				
	To enhance the East Palo Alto Summer Youth Recreation Program	40,000		40,000	
	POINTS OF LIGHT FOUNDATION Washington, D.C.				
	For general support of the Communities as Places of Learning project (Awarded in 1992 for \$50,000)		50,000	50,000	
	For the California sites of the Communities as Places of Learning project	300,000		100,000	200,000
	SAN FRANCISCO FOUNDATION San Francisco, California				
	For general support of the School-Linked Services Consortium	500,000	<b>6</b>	500,000	
	SAN FRANCISCO STATE UNIVERSITY San Francisco, California				
	For general support of the Bay Area Homelessness Program (Awarded in 1992 for \$250,000)		125,000	125,000	
=	SAN FRANCISCO URBAN SERVICE PROJECT San Francisco, California				
	For general support	50,000		25,000	25,000
	STANFORD UNIVERSITY, HAAS CENTER FOR PUBLIC SERVICE Stanford, California				
	For general support of Youth Service California (Awarded in 1992 for \$125,000)		125,000	125,000	
-	To integrate public service with academic study	150,000		50,000	100,000
	URBAN STRATEGIES COUNCIL Oakland, California				
	For general support of the Interagency Group				
	for School-Linked Services (Awarded in 1992 for \$125,000)		50,000		50,000
	Policy Analysis				
	ACHIEVEMENT COUNCIL Los Angeles, California				
	For general support	175,000		175,000	

Children, Youth, and Families: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
AMERICAN ACADEMY OF ARTS AND SCIENCES				
Cambridge, Massachusetts For the Initiatives for Children program	300,000		150,000	150,000
CHILDREN NOW Oakland, California				
For general support	175,000		100,000	75,000
COLEMAN CHILDREN AND YOUTH SERVICES San Francisco, California				
For general support (Awarded in 1992 for \$150,000)		100,000	50,000	50,000
FAMILY FOUNDATION OF NORTH AMERICA Milwaukee, Wisconsin				
For the State of Families project	20,000	2	20,000	
PENINSULA COMMUNITY FOUNDATION San Mateo, California				
For the Peninsula Partnership for Children, Youth, and Families in San Mateo County	100,000		50,000	50,000
URBAN STRATEGIES COUNCIL Oakland, California				
For general support	300,000		125,000	175,000
	\$3,401,000	\$1,840,000	\$3,659,000	\$1,582,000

## Conflict Resolution

he Foundation established the conflict resolution program to support work across disciplines and in a wide variety of settings. The Foundation favors general support grants that build the institutional capacity of promising conflict resolution organizations. The Foundation does not generally provide funding for specific projects or research, nor is support typically given for start-up efforts. Grants are made in five categories.

The first is support for theory development. The Foundation is particularly interested in university-based centers that demonstrate both a strong academic commitment to systematic, interdisciplinary research on conflict resolution and also an ability to contribute to the improvement of conflict resolution practice.

The second category consists of grants to mediation and other practitioner organizations. The Foundation is primarily interested in opportunities to help effective and stable groups increase their capacity for growth and outreach. Grants support the development of new approaches or new applications of conflict resolution methods, the achievement of greater organizational maturity, the evaluation of program effectiveness, and numerous other efforts to enhance the overall impact of practitioner organizations on the field and on the larger communities in which they work.

In the third category, the Foundation provides support to organizations that train or educate potential users about conflict resolution techniques or otherwise promote the field as a whole.

The fourth category supports efforts at policymaking reform and large-scale conflict prevention that anticipate and pre-empt clashes among stakeholders. Recognizing that the origins of conflict can often be traced to defects in methods of communication and participation in policymaking, the Foundation assists organizations that demonstrate means of improving the processes of decision-making on issues of major public importance. The Foundation's interest is primarily focused on facilitating and convening organizations that are exploring new ways of approaching contentious public policy issues more effectively by collaborative action that protects the legitimate interests of all involved parties.

Program Description Finally, the Foundation supports a limited number of organizations that are working on the international application of conflict resolution techniques and the development of practice-relevant theory related to ethnic, ideological, religious, racial, and other intergroup conflict around the world.

Applications to the Foundation in these categories are considered according to the following timetable:

	Application Submitted by:	Application Reviewed in:
Theory Organizations	January 1	April
Practitioner Organizations	October 1	January
Training and Promotional Organizations	July 1	October
Public Policy Decisionmaking Organizations	July 1	October
International Organizations	January 1	April

Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
Decisionmaking Processes				
CALIFORNIA ENVIRONMENTAL AND ECONOMIC RECOVERY COALITION Sacramento, California				
To support continuing negotiations on California growth management (Awarded in 1992 for \$40,000)		\$40,000	\$40,000	
CALIFORNIA ENVIRONMENTAL TRUST San Francisco, California				
For general support (Awarded in 1992 for \$130,000)		130,000	65,000	65,000
CENTER FOR CLEAN AIR POLICY Washington, D.C.				
For general support (Awarded in 1991 for \$150,000)		20,000	20,000	
CENTER FOR RESOURCE MANAGEMENT Denver, Colorado				
For general support (Awarded in 1992 for \$130,000)		130,000	75,000	55,000
COALITION TO RESTORE COASTAL LOUISIAN Baton Rouge, Louisiana	NA			
For general support (Awarded in 1992 for \$100,000)		50,000	50,000	
COMMITTEE FOR THE NATIONAL INSTITUT FOR THE ENVIRONMENT Washington, D.C.	ES			
For general support	140,000		80,000	60,000
COMMITTEE FOR WATER POLICY CONSENSU Concord, California	JS			
For general support of the State Water Conservation Coalition		20,000	20,000	
(Awarded in 1992 for \$100,000)  COMMUNITY ENVIRONMENT COUNCIL		30,000	30,000	
Santa Barbara, California For general support of activities to improve environmental decisionmaking processes (Awarded in 1991 for \$90,000)		25,000	25,000	
ENVIRONMENTAL DEFENSE FUND New York, New York				
For general support of the Alternative Decisionmaking Program				

Grants newly authorized in 1993 are highlighted by square boxes.

	Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
H	GREENBELT ALLIANCE San Francisco, California				
	For general support of activities to improve regional decisionmaking	150,000		75,000	75,000
	MANAGEMENT INSTITUTE FOR ENVIRONMENT AND BUSINESS Washington, D.C.				
	For the Environmental Partnerships Initiative	150,000			150,000
	NATIONAL INSTITUTE FOR CHEMICAL STUDIES				
	Charleston, West Virginia For general support	135,000		60,000	75,000
	UNIVERSITY OF PENNSYLVANIA, WHARTON SCHOOL Philadelphia, Pennsylvania For general support of the Risk and Decision				
	Processes Center (Awarded in 1991 for \$150,000)		40,000	40,000	
	PROJECT 2000, COALITION FOR UTAH'S FUTURE Salt Lake City, Utah				
	For general support of activities to improve regional decisionmaking	125,000		80,000	45,000
	TIDES FOUNDATION, FOREST TRUST San Francisco, California				
	For the National Forest Planning and Policy Program				
	(Awarded in 1992 for \$80,000)		80,000	40,000	40,000
	TIDES FOUNDATION, SUSTAINABILITY ROUNDTABLES PROJECT San Francisco, California				
	For general support	60,000		40,000	20,000
	VERMONT LAW SCHOOL, ENVIRONMENTAL LAW CENTER South Povolton, Vermont				
	South Royalton, Vermont For general support of the National				
	Commission on Superfund (Awarded in 1992 for \$200,000)		200,000	125,000	75,000
	WATER EDUCATION FOUNDATION Sacramento, California				
	To support the Three-Way Process and for general support of educational activities				

Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
western governors' association Denver, Colorado	ī			
For general support of environmental we (Awarded in 1992 for \$80,000)	ork	80,000	80,000	
International Conflict Res	olution			
UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California				
For general support of the Center for International and Strategic Affairs (Awarded in 1990 for \$300,000)		100,000		100,000
CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES Washington, D.C.				
For general support (Awarded in 1991 for \$350,000)		200,000	200,000	
FOUNDATION FOR GLOBAL COMMUNI Palo Alto, California	ТҮ			
For the Armenia/Azerbaijan Initiative	35,000		35,000	
HARVARD UNIVERSITY, CENTER FOR INTERNATIONAL AFFAIRS Cambridge, Massachusetts				
For general support of the Program on International Conflict Analysis and Reso	olution 300,000		100,000	200,000
INSTITUTE FOR EAST-WEST STUDIES New York, New York				
For general support (Awarded in 1992 for \$300,000)		200,000	100,000	100,000
Washington, D.C.	MACY			
For general support	250,000		100,000	150,000
INTERNATIONAL INSTITUTE FOR STRATEGIC STUDIES London, England				
For work on the demobilization of rebel of counterinsurgency armies after civil war the restoration of society			25,000	
KETTERING FOUNDATION Dayton, Ohio				
For general support of the Program on International Affairs	300,000		150,000	150,000

	Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	STANFORD UNIVERSITY Stanford, California				
	For general support of the Center for International Security and Arms Control (Awarded in 1992 for \$600,000)		400,000	200,000	200,00
	Theory Development				
	UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California				
	For planning support at the Center for Dispute Resolution	20,000		20,000	
	CITY UNIVERSITY OF NEW YORK, JOHN JAY COLLEGE OF CRIMINAL JUSTICE New York, New York				
	To plan and design a new Dispute Resolution Consortium	50,000		50,000	
	UNIVERSITY OF COLORADO, BOULDER Boulder, Colorado				
	For general support of the Conflict Resolution Consortium (Awarded in 1992 for \$210,000)		130,000	70,000	60,00
	GEORGE MASON UNIVERSITY Fairfax, Virginia				
	For general support of the Institute for Conflict Analysis and Resolution	270,000		90,000	180,00
	GEORGIA INSTITUTE OF TECHNOLOGY Atlanta, Georgia				
	For general support of the Inter-University Consortium on Multi-Party Conflict (Awarded in 1992 for \$200,000)		95,000	95,000	
	UNIVERSITY OF HAWAII Honolulu, Hawaii				res I
	For general support of the Program on Conflict Resolution (Awarded in 1991 for \$200,000)		200,000	200,000	
ı	For general support of the Program on Conflict Resolution	100,000		50,000	50,000
	UNIVERSITY OF MINNESOTA Minneapolis, Minnesota				
	For general support of the Conflict and Change Center				

_	Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
=	NORTHWESTERN UNIVERSITY, KELLOGG GRADUATE SCHOOL OF MANAGEMENT Evanston, Illinois				
	For general support of the Dispute Resolution Research Center	200,000		100,000	100,000
	OHIO STATE UNIVERSITY Columbus, Ohio				
	For general support of the Consortium for Dispute Resolution (Awarded in 1992 for \$200,000)		100,000		100,000
	PENNSYLVANIA STATE UNIVERSITY University Park, Pennsylvania				
	For general support of the Center for Research in Conflict and Negotiation	180,000			180,000
	RUTGERS-STATE UNIVERSITY OF NEW JERSEY Newark, New Jersey				
	For the Center for Negotiation and Conflict Resolution (Awarded in 1992 for \$210,000)		140,000		140,000
	STANFORD UNIVERSITY Stanford, California				
	For general support of the Center on Conflict and Negotiation (Awarded in 1992 for \$300,000)		300,000	100,000	200,000
80	SYRACUSE UNIVERSITY, MAXWELL SCHOOL OF CITIZENSHIP AND PUBLIC AFFAIRS Syracuse, New York				
	For general support of the Program on the Analysis and Resolution of Conflicts	150,000		90,000	60,000
	WAYNE STATE UNIVERSITY, SCHOOL OF BUSINESS ADMINISTRATION Detroit, Michigan				
	For general support of the Program on Mediating Theory and Democratic Systems	270,000			270,000
	UNIVERSITY OF WISCONSIN, MADISON, SCHOOL OF LAW Madison, Wisconsin				
	For general support of the Disputes Processing Research Program (Awarded in 1990 for \$240,000)		60,000	60,000	

Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
Practitioner Organizations				
ALBAN INSTITUTE Washington, D.C.				
For general support of conflict management activities (Awarded in 1990 for \$120,000)		30,000	30,000	
AMERICAN JEWISH JOINT DISTRIBUTION COMMITTEE New York, New York				
For general support of conflict resolution training for Jerusalem civic leaders (Awarded in 1992 for \$30,000)		30,000	30,000	
BERKELEY DISPUTE RESOLUTION SERVICE Berkeley, California				
For general support (Awarded in 1992 for \$80,000)		40,000	40,000	
CALIFORNIA COMMUNITY DISPUTE SERVICES San Francisco, California				
For general support (Awarded in 1991 for \$150,000)		30,000	30,000	
CENTER FOR RESOLUTION OF DISPUTES Cincinnati, Ohio				
For general support	40,000		25,000	15,000
CONFLICT MANAGEMENT INITIATIVES Evanston, Illinois				
To establish conflict resolution centers in South Africa (Awarded in 1992 for \$30,000)		30,000	30,000	
CONTRA COSTA CONFLICT RESOLUTION PANELS				=
Pleasant Hill, California For general support	10,000		10,000	
COOPERATIVE SOLUTIONS, INC. Bloomington, Minnesota		-		
For general support	100,000		50,000	50,000
MINNESOTA CITIZENS COUNCIL ON CRIME AND JUSTICE Minneapolis, Minnesota				
For general support of the				

Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
NEW MEXICO CENTER FOR DISPUTE RESOLUTION				
Albuquerque, New Mexico				
For general support	60,000		20,000	40,000
UNIVERSITY OF NORTH DAKOTA Grand Forks, North Dakota				
For general support of the Conflict Resolution Center (Awarded in 1992 for \$50,000)		20,000	20,000	
NORTH DAKOTA CONSENSUS COUNCIL Bismarck, North Dakota		20,000	20,000	
For general support (Awarded in 1992 for \$200,000)		75,000	75,000	
PENNSYLVANIA ENVIRONMENTAL COUNCIL Philadelphia, Pennsylvania				
For general support of Penn ACCORD	80,000		50,000	30,000
VOLUNTEERS OF AMERICA Everett, Washington For general support of the Dispute				
Resolution Center of Snohomish County (Awarded in 1992 for \$60,000)		40,000	20,000	20,000
WASHINGTON HEIGHTS-INWOOD COALITION New York, New York	1			
For general support of the mediation program (Awarded in 1991 for \$120,000)		40,000	40,000	
Promotion of the Field				
ACADEMY OF FAMILY MEDIATORS Golden Valley, Minnesota				
For general support (Awarded in 1991 for \$120,000)		30,000	30,000	
ADMINISTRATIVE CONFERENCE OF THE UNITED STATES				
Washington, D.C.				
For general support of alternative dispute resolution activities				
(Awarded in 1991 for \$150,000)		70,000		70,000
AMERICAN BAR ASSOCIATION FUND FOR JUSTICE AND EDUCATION Washington, D.C.	8			
For general support of the Standing				
Committee on Dispute Resolution				

	Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	ASSOCIATION OF FAMILY AND CONCILIATION COURTS Madison, Wisconsin				
	For general support	175,000		80,000	95,000
	BOSTON UNIVERSITY Boston, Massachusetts	*			
	For the Program for Health Care Negotiation and Conflict Resolution (Awarded in 1992 for \$80,000)		20,000	20,000	-3
100	BOWIE STATE UNIVERSITY Bowie, Maryland				
	For general support of the Center for Alternative Dispute Resolution	45,000			45,000
	CALIFORNIA FOUNDATION FOR THE IMPROVEMENT OF EMPLOYER-EMPLOYEE RELATIONS Sacramento, California				
	For general support (Awarded in 1992 for \$100,000)		100,000	50,000	50,000
	CENTER FOR PUBLIC RESOURCES, INC. New York, New York				
	For general support of the Legal Program (Awarded in 1991 for \$300,000)		50,000	50,000	
= (	CHILDREN'S CREATIVE RESPONSE TO CONFLICT Nyack, New York				
	For general support	120,000			120,000
	COUNCIL OF BETTER BUSINESS BUREAUS Arlington, Virginia				
	For general support of alternative dispute resolution activities (Awarded in 1992 for \$75,000)		35,000		35,000
	DISPUTE RESOLUTION CLEARINGHOUSE AND SETTLEMENT CENTER				
	Bloomfield, Wisconsin To plan the National Association of Community Mediation Programs	13,000		13,000	
	DOWNTOWN DEVELOPMENT FOUNDATION Washington, D.C.				
	For general support of the Program for Community Problem Solving (Awarded in 1992 for \$150,000)		50,000	50,000	
	EDUCATORS FOR SOCIAL RESPONSIBILITY Cambridge, Massachusetts				
	For national dissemination of the Resolving Conflict Creatively program	200,000			200,000

	Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
=	INDIAN DISPUTE RESOLUTION SERVICES Sacramento, California				
	For general support	250,000		100,000	150,000
	INTERNATIONAL PEACE ACADEMY New York, New York				
	For general support (Awarded in 1991 for \$250,000)		130,000	65,000	65,000
	UNIVERSITY OF MASSACHUSETTS, AMHERST Amherst, Massachusetts				
	For general support of the National Association for Mediation in Education (Awarded in 1991 for \$160,000)		53,000	53,000	
	NATIONAL CONFERENCE ON PEACEMAKING AND CONFLICT RESOLUTION Fairfax, Virginia				
	For general support (Awarded in 1992 for \$80,000)		80,000	55,000	25,000
н	NATIONAL INSTITUTE FOR DISPUTE RESOLUTION Washington, D.C.				
	For general support	400,000		400,000	
	THE NETWORK: INTERACTION FOR CONFLICT RESOLUTION Waterloo, Ontario, Canada				
	For general support (Awarded in 1991 for \$120,000)		40,000	40,000	
	PARTNERS FOR DEMOCRATIC CHANGE San Francisco, California				
	For general support (Awarded in 1992 for \$100,000)		25,000		25,000
	PENINSULA CONFLICT RESOLUTION CENTER San Mateo, California				
	For the California Association of Community Mediation Programs	7,000		7,000	
	SOCIETY OF PROFESSIONALS IN DISPUTE RESOLUTION Washington, D.C.				
	For general support (Awarded in 1992 for \$100,000)		65,000	35,000	30,000
	TIDES FOUNDATION, INSTITUTE FOR GLOBAL COMMUNICATIONS San Francisco, California				
	For general support of ConflictNet	80,000		60,000	20,000

	Conflict Resolution: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	Other				
	UNIVERSITY OF SOUTHAMPTON, MOUNTBATTEN FOUNDATION, INC. Southampton, England				
- 5	For the Programme for Promoting Nuclear Non-Proliferation	300,000		150,000	150,000
	TOTAL CONFLICT RESOLUTION	\$4,790,000	\$4,208,000	\$4,838,000	\$4,160,000

#### **Education**

n 1993 grantmaking in the education program was concentrated in the following areas of interest; networks of research libraries and university presses; international studies at liberal arts colleges; international education for the general public; teaching and research programs in U.S.-Mexico studies; institutional renewal at selective private liberal arts colleges; support for historically black private colleges and universities; improved academic achievement of minority students in higher education; and public policy reform at the state level to strengthen elementary and secondary schools.

Commencing in 1994, the Foundation will shift much of its focus from higher education to K-12, including the school-linked services and school-to-work transition components of the former children, youth, and families program. The Foundation will retain an active interest in higher education, but in mostly new areas of concern, as described below.

Program Description

#### Higher Education

Grantmaking in this program focuses on higher education in the United States, with the exception of U.S.-Mexico projects. Grants are generally limited to liberal arts colleges and research universities, with emphasis on established institutions with strong records of exemplary work. Ideas that can also be applied to other such institutions are preferred.

Pluralism and Unity (by invitation). Colleges and universities play a significant role in fostering appreciation for both diversity and the common good in our society. The Foundation supports such efforts and seeks to nurture those forces that unify individuals and groups while respecting the differences between and among them.

International Studies. Through its support for international and area studies at liberal arts colleges, the Foundation aims to help students comprehend the world from the perspective of other cultures and countries as well as their own.

General Lower-Division Education in Research Universities. The Foundation supports initiatives in research universities to rethink and improve the general education of lower-division undergradu-

ates. Proposals that focus on student outcomes, faculty incentives, teaching innovations, and the general education curriculum will be favored over those concerned only with curriculum design.

Tools of Scholarship. A limited number of grants are made to research library umbrella organizations, university press associations, and similar collaborative entities that improve scholarly communication through the cost-effective use of technology. The Foundation does not support individual libraries or disciplines.

United States-Mexico Studies (by invitation). The Foundation makes grants to strengthen comprehensive research programs in Mexico and the United States that focus on the varied relationships between these countries. Of particular interest are broad-based centers of research, usually at leading academic institutions, whose work improves communication between consumers and providers of policy research, encourages cooperation with other research programs in both countries, and addresses regional and local concerns.

Historically Black Private Colleges and Universities. In partnership with the Bush Foundation, the Foundation supports an ongoing program of grants for capital needs and faculty development at private black colleges and universities. This program is administered by the Bush Foundation.

#### Elementary and Secondary Education

Grants in the K-12 area are generally limited to California programs, with primary emphasis on public schools in the San Francisco Bay Area. Proposals are expected to aim for systemic significance in an effort to advance educational reform. In this program the Foundation favors schools, school districts, universities, and groupings of these entities rather than third parties.

The Teaching Career. The Foundation supports programs carried out by school districts alone or in conjunction with colleges, universities, or other agencies dedicated to developing the profession of teaching and to improving teachers' career preparation and professional growth. By showing a special interest in teachers' first two years

in the field, the Foundation seeks to support their effective initiation into classroom practice and professional standards and thereby further enhance the career attractiveness of teaching.

School Site and District Leadership. The Foundation supports efforts to build leadership and management skills among public school superintendents and principals and, when integral to a school reform strategy, among school board members, union leaders, and teachers.

School-Linked Services. The Foundation makes grants to encourage and improve collaborations among schools, families, and public service agencies that advance the general well-being and school achievement of children and youth.

School Reform at Transitions. The Foundation supports programs concerned with youngsters in transition from home to school, from elementary to secondary school, and from school to work or to college, especially for those most at risk.

Educational Policy. The Foundation funds organizations and efforts that promise to contribute significantly to policy studies affecting school reform and improved public elementary and secondary education nationally and in California.

The Foundation will not consider requests to fund student aid, construction, equipment and computer purchases, education research, basic scientific research, health research, or health education programs. In general, the Foundation discourages requests benefiting only individual institutions except as these may explicitly relate to stated Foundation objectives.

Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
Research Libraries				
COMMISSION ON PRESERVATION AND ACCESS Washington, D.C.				
For general support (Awarded in 1991 for \$450,000)		\$300,000	\$300,000	
COUNCIL ON LIBRARY RESOURCES Washington, D.C.				
For general support (Awarded in 1991 for \$300,000)		200,000	200,000	
RESEARCH LIBRARIES GROUP, INC. Mountain View, California				
For general support of research and development for Research Libraries Information Network				
(Awarded in 1991 for \$750,000)		500,000	500,000	
HUNTINGTON LIBRARY San Marino, California			1100 E 1100 E 121	
For general support	100,000	_	100,000	_
University Presses				
PRESSES, INC.				
New York, New York For general support	100,000		50,000	50,0
Research Universities: International Area Studies Program Challenge grants to endow discretionary funds f	onal and		30,000	3030
and other international studies (matching grant DUKE UNIVERSITY, CENTER FOR	ts)			
INTERNATIONAL STUDIES  Durham, North Carolina (Awarded in 1989 for \$200,000)		19,000	19,000	
MONTEREY INSTITUTE OF INTERNATIONAL STUDIES  Monterey, California				

<sup>■</sup> Grants newly authorized in 1993 are highlighted by square boxes.

Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
Challenge grants to increase discretionary fund endowments for area and international studies (matching grants)				
COLUMBIA UNIVERSITY, SCHOOL OF INTERNATIONAL AND PUBLIC AFFAIRS New York, New York				
(Awarded in 1988 for \$300,000)	(117,000)*	117,000		
HARVARD UNIVERSITY, CENTER FOR INTERNATIONAL AFFAIRS				
Cambridge, Massachusetts (Awarded in 1992 for \$300,000)		300,000		300,000
UNIVERSITY OF MICHIGAN, SCHOOL OF GRADUATE STUDIES (RACKHAM GRADUATE SCHOOL)				
Ann Arbor, Michigan (Awarded in 1988 for \$300,000)		225,000	225,000	
UNIVERSITY OF NOTRE DAME, HELEN KELLOGG INSTITUTE FOR INTERNATIONAL STUDIES				
South Bend, Indiana (Awarded in 1990 for \$300,000)		237,000	237,000	
UNIVERSITY OF PENNSYLVANIA, OFFICE OF INTERNATIONAL PROGRAMS				
Philadelphia, Pennsylvania (Awarded in 1991 for \$300,000)		245,000	233,000	12,000
UNIVERSITY OF PITTSBURGH, UNIVERSITY CENTER FOR INTERNATIONAL STUDIES				
Pittsburgh, Pennsylvania (Awarded in 1992 for \$300,000)		300,000		300,000
PRINCETON UNIVERSITY				
Princeton, New Jersey (Awarded in 1991 for \$300,000)		300,000	300,000	
ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS				
London, England (Awarded in 1992 for \$300,000)		300,000	140,000	160,000
UNIVERSITY OF SOUTHERN CALIFORNIA, SCHOOL OF INTERNATIONAL RELATIONS				
Los Angeles, California (Awarded in 1992 for \$300,000)		300,000		300,000
TUFTS UNIVERSITY, FLETCHER SCHOOL OF LAW AND DIPLOMACY				
Medford, Massachusetts (Awarded in 1989 for \$300,000)		121,000	84,000	37,000

<sup>\*</sup> Grant cancelled.

	Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	U.SMexico Studies				
	BAY AREA INSTITUTE, PACIFIC NEWS SERVICE				
	San Francisco, California  For the program on U.SMexico relations (Awarded in 1991 for \$225,000)		75,000	75,000	
	UNIVERSITY OF CALIFORNIA, LOS ANGELES, LATIN AMERICAN CENTER Los Angeles, California				
	For the program on U.SMexico relations (Awarded in 1992 for \$525,000)		525,000	350,000	175,000
=	UNIVERSITY OF CALIFORNIA, SAN DIEGO, CENTER FOR U.SMEXICAN STUDIES				
	La Jolla, California For general support	400,000		200,000	200,000
	CENTRO DE TECNOLOGIA ELECTRONICA E INFORMATICA	57		=	
	Mexico City, Mexico				
	For the Mexico-U.S. Technology Program (Awarded in 1991 for \$120,000)		40,000	40,000	
ш	To form the Consorcio Mexicano de Bibliotecas de Investigacion and to extend the services of the Research Libraries Group to Mexico	50,000		50,000	
	UNIVERSITY OF CHICAGO, CENTER FOR LATIN AMERICAN STUDIES Chicago, Illinois				
	For the Mexican Studies Program (Awarded in 1991 for \$300,000)		200,000	200,000	
	CITY UNIVERSITY OF NEW YORK, BILDNER CENTER FOR WESTERN HEMISPHERE STUDIES New York, New York				
	For the program on U.SMexico relations (Awarded in 1992 for \$450,000)		300,000	150,000	150,000
m	COLUMBIA UNIVERSITY, INSTITUTE OF LATIN AMERICAN AND IBERIAN STUDIES New York, New York				
	For the program on U.SMexico relations	250,000		125,000	125,000
	EL COLEGIO DE LA FRONTERA NORTE Chula Vista, California				
	For the program on U.SMexico relations (Awarded in 1991 for \$300,000)		100,000	100,000	
100	EL COLEGIO DE MEXICO Mexico City, Mexico				
	For the Mexico-U.S. Studies Program	300,000		100,000	200,000

	Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	INSTITUTE FOR CONTEMPORARY STUDIES, INTERNATIONAL CENTER FOR ECONOMIC GROWTH				
	San Francisco, California				
	To support collaborative studies between ICEG and Mexican institutions on the Mexican economy (Awarded in 1991 for \$100,000)		50,000	50,000	
	INSTITUTO TECNOLOGICO AUTONOMO DE MEXICO		30,000	30,000	ē————
	San Angel, Mexico				
	For the Program on U.SMexico Policy Relations	405,000		135,000	270,000
1	INSTITUTO TECNOLOGICO Y DE ESTUDIOS SUPERIORES DE MONTERREY Monterrey, Mexico				
	For the U.SMexico Studies Program	200,000		100,000	100,000
	INTER-AMERICAN DIALOGUE Washington, D.C.				
	For general support (Awarded in 1992 for \$100,000)		100,000	50,000	50,000
	INTER AMERICAN PRESS ASSOCIATION TECHNICAL CENTER, INC. Miami, Florida		~		
	To plan a series of press seminars on the proposed U.SCanada-Mexico trade agreement (Awarded in 1992 for \$25,000)			(10,338)*	
	JOHNS HOPKINS UNIVERSITY, SCHOOL OF ADVANCED INTERNATIONAL STUDIES Washington, D.C.				
	For the Program on U.SMexico Relations (Awarded in 1992 for \$300,000)		200,000	100,000	100,000
	UNIVERSITY OF NEW MEXICO, THE SCHOOL OF LAW				
	Albuquerque, New Mexico				
	For the International Transboundary				
	Resources Center (Awarded in 1992 for \$300,000)		200,000		200,000
	NORTH AMERICAN INSTITUTE				
	Santa Fe, New Mexico				

<sup>\*</sup>Unused portion of grant refunded.

	Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	SAN DIEGO STATE UNIVERSITY, INSTITUTE FOR REGIONAL STUDIES	1			
	San Diego, California For the Program on Public Policy and Border Environmental Issues (Awarded in 1991 for \$100,000)		50,000	50,000	
=	STANFORD UNIVERSITY, INSTITUTE OF INTERNATIONAL STUDIES Stanford, California	-			_
	For general support and for the North American Forum	600,000		450,000	150,000
	UNIVERSITY OF TEXAS, AUSTIN, L.B.J. SCHOOL OF PUBLIC AFFAIRS Austin, Texas				
	For the U.SMexican Studies Program (Awarded in 1992 for \$300,000)		200,000	100,000	100,000
	UNIVERSITY OF TEXAS, EL PASO, CENTER INTER-AMERICAN AND BORDER STUDIES El Paso, Texas	FOR			
	For general support (Awarded in 1992 for \$25,000)		25,000	25,000	
	UNIVERSIDAD AUTONOMA METROPOLITAT UNIDAD AZCAPOTZALCO Azcapotzalco, Mexico	NA,			
	For the U.SMexico collaborative research pre (Awarded in 1992 for \$200,000)	ogram	100,000	100,000	
	UNIVERSIDAD DE LAS AMERICAS, PUEBLA Puebla, Mexico				
	For the program on U.S.–Mexico Studies (Awarded in 1991 for \$300,000)		100,000		100,000
	UNIVERSIDAD NACIONAL AUTONOMA DE MEXICO				
	Mexico City, Mexico For the Center for U.SMexico Studies	300,000		100,000	200,000
	Liberal Arts Colleges: Self-Rend	ewal Prograi	11		
	To help endow presidential discretionary funds at liberal arts colleges (matching grants	)			
-	Albion College Albion, Michigan	250,000			250,000
	ALLEGHENY COLLEGE Meadville, Pennsylvania (Awarded in 1990 for \$250,000)		150,000		150,000
	AMHERST COLLEGE		# TV M 1		ంచా మర్గాల్లో సౌకర్యాలోని
	Amherst, Massachusetts	250,000			250,000

	Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
-	AUSTIN COLLEGE Sherman, Texas	250,000			250,000
	BARNARD COLLEGE New York, New York	250,000		83,000	167,000
	BATES COLLEGE Lewiston, Maine				
	(Awarded in 1991 for \$250,000)		135,000	135,000	
	BENNINGTON COLLEGE				
	Bennington, Vermont (Awarded in 1991 for \$250,000)		78,000	25,000	53,000
	BIRMINGHAM-SOUTHERN COLLEGE				
	Birmingham, Alabama (Awarded in 1992 for \$250,000)		250,000	116,000	134,000
	BOWDOIN COLLEGE				
	Brunswick, Maine (Awarded in 1991 for \$250,000)		180,000	65,000	115,000
	BUCKNELL UNIVERSITY				
	Lewisburg, Pennsylvania (Awarded in 1990 for \$250,000)		167,000	167,000	
	CENTRE COLLEGE				
	Danville, Kentucky (Awarded in 1992 for \$250,000)		250,000		250,000
	CLAREMONT MCKENNA COLLEGE				
	Claremont, California (Awarded in 1992 for \$250,000)		250,000	184,000	66,000
111	COLLEGE OF HOLY CROSS Worcester, Massachusetts	250,000			250,000
	COLLEGE OF WOOSTER				
	Wooster, Ohio (Awarded in 1990 for \$250,000)		58,000	58,000	
	COLORADO COLLEGE				
	Colorado Springs, Colorado (Awarded in 1990 for \$250,000)		162,000	162,000	
H	CONNECTICUT COLLEGE				
	New London, Connecticut	250,000			250,000
	Greencastle, Indiana (Awarded in 1992 for \$250,000)		250,000	250,000	
	GUSTAVUS ADOLPHUS COLLEGE			1.6%	
	Saint Peter, Minnesota (Awarded in 1991 for \$250,000)		190,000	60,000	130,000
	HAMPSHIRE COLLEGE				
	Amherst, Massachusetts (Awarded in 1989 for \$250,000)		113,000	113,000	

	Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	HOBART AND WILLIAM SMITH COLLEGES				
	Geneva, New York (Awarded in 1991 for \$250,000)		97,000	67,000	30,000
	KENYON COLLEGE Gambier, Ohio (Awarded in 1990 for \$250,000)		97,000	97,000	
	LAFAYETTE COLLEGE Easton, Pennsylvania		174,000	53 000	121,000
	(Awarded in 1992 for \$250,000)  LAKE FOREST COLLEGE		174,000	53,000	121,000
	Lake Forest, Illinois (Awarded in 1990 for \$250,000)		89,000	89,000	
	MILLS COLLEGE Oakland, California	250,000			250,000
	OBERLIN COLLEGE Oberlin, Ohio	250,000			250,000
	OHIO WESLEYAN UNIVERSITY Delaware, Ohio (Awarded in 1991 for \$250,000)		200,000	25,000	175,000
	ST. OLAF COLLEGE Northfield, Minnesota (Awarded in 1990 for \$250,000)		32,000	32,000	
	SARAH LAWRENCE COLLEGE Bronxville, New York (Awarded in 1991 for \$250,000)		153,000	84,000	69,000
	SCRIPPS COLLEGE Claremont, California	100,000			100,000
	SKIDMORE COLLEGE Saratoga Springs, New York (Awarded in 1989 for \$250,000)		111,000	111,000	
	SMITH COLLEGE Northampton, Massachusetts (Awarded in 1992 for \$250,000)		250,000	50,000	200,000
	UNION COLLEGE Schenectady, New York (Awarded in 1992 for \$250,000)		250,000	250,000	
	UNIVERSITY OF THE SOUTH Sewanee, Tennessee (Awarded in 1992 for \$250,000)		250,000		250,000
-	VASSAR COLLEGE Poughkeepsie, New York	250,000			250,000
	WASHINGTON AND JEFFERSON COLLEGE Washington, Pennsylvania (Awarded in 1991 for \$250,000)		72,000	72,000	

	Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	WELLS COLLEGE				
	Aurora, New York	250,000		100,000	150,000
	WHITMAN COLLEGE				
	Walla Walla, Washington	250,000			250,000
	To support multidisciplinary international policy studies				
	BRYN MAWR COLLEGE				
	Bryn Mawr, Pennsylvania				
	For the tri-college program at Bryn Mawr,				
	Haverford, and Swarthmore Colleges (Awarded in 1992 for \$300,000)		150,000		150,000
	COLORADO COLLEGE				
	Colorado Springs, Colorado				
	For the North American Studies Program	75,000		40,000	35,000
-	CONNECTICUT COLLEGE				
7ti	New London, Connecticut				
	For the joint international studies program				
	at Connecticut College, Trinity College, and				
	Wesleyan University	300,000		150,000	150,000
	DARTMOUTH COLLEGE				
	Hanover, New Hampshire				
	For the Dickey Endowment for International	7= 000		10.000	25 000
	Understanding	75,000		40,000	35,000
	FIVE COLLEGES, INC., FIVE COLLEGE PROGRAM IN PEACE AND WORLD SECURITY STUDIES				
	Amherst, Massachusetts				
	(Awarded in 1992 for \$300,000)		150,000	150,000	
	GRINNELL COLLEGE				
	Grinnell, Iowa	75,000		40,000	35,000
	POMONA COLLEGE				
	Claremont, California				
	For collaborative studies at Pomona				
	and Scripps Colleges		225 000	111.000	111 000
	(Awarded in 1992 for \$225,000)		225,000	114,000	111,000
	REED COLLEGE				
	Portland, Oregon	75,000		40,000	35,000
	WELLESLEY COLLEGE				
	Wellesley, Massachusetts	85,000		45,000	40,000
	WESLEYAN UNIVERSITY				
	Middletown, Connecticut				
	(Awarded in 1990 for \$75,000)		37,000	37,000	
10	WHEATON COLLEGE				
	Norton, Massachusetts	80,000		30,000	50,000

Education: Organizations (by Category)	10000 20050 20050	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	uate Colleges: val Program				
UNIVERSITY OF Philadelphia, Pe	PENNSYLVANIA nnsylvania				
		A	180,000	125,000	55,00
Private Bla	ck Colleges				
BUSH FOUNDAT Saint Paul, Minn					
Grants for priva universities (Cla Florida Memori Johnson C. Smit St. Augustine's (	of Faculty Development te black colleges and flin College, Fisk University, al College, Hampton University h University, Rust College, College, Spelman College, e, and Xavier University)	305,000		305,000	
ARIZONA STATE	chievement university, f anthropology				
For general supp Heritage Project (Awarded in 199	0.53		25,000	25,000	
GRADUATE SCH Claremont, Calif	ornia Teacher Mentoring Project		80,000	80,000	
UNIVERSITY OF Albuquerque, Ne		-	(X		-
To support plans	ning to increase enrollment Native American students		25,000	25,000	
ONE HUNDRED Los Angeles, Cali	BLACK MEN OF LOS ANGELES	, INC.			
To examine issue enrollment patte	es associated with higher educa rns among California African chool graduates	5,000		5,000	

Education: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
TOMAS RIVERA CENTER				
Claremont, California				
For general support (Awarded in 1992 for \$300,000)		200,000	100,000	100,000
WOODROW WILSON NATIONAL FELLOWSHIP FOUNDATION				
Princeton, New Jersey				
For general support of the Program in Public Policy and International Affairs (Awarded in 1991 for \$150,000)		75,000	75,000	
-		72,000	72,000	
International Education				
ALLIANCE FOR EDUCATION IN GLOBAL AND INTERNATIONAL STUDIES Stanford, California				
For general support	21,500		21,500	
AMERICAN ASSEMBLY New York, New York				
For the international series	25,000		25,000	
COUNCIL ON FOREIGN RELATIONS New York, New York				
For the Studies Program for U.S. foreign policy	150,000		100,000	50,000
WORLD AFFAIRS COUNCIL OF NORTHERN CALIFORNIA San Francisco, California				
For general support (Awarded in 1991 for \$300,000)		100,000	100,000	
WORLD FORUM OF SILICON VALLEY San Jose, California				
For general support	175,000		75,000	100,000
Public Education/General				
UNIVERSITY OF CALIFORNIA, BERKELEY, POLICY ANALYSIS FOR CALIFORNIA EDUCATION Parkeley California				
Berkeley, California  For general support		150,000	150,000	
(Awarded in 1991 for \$600,000) For the public opinion polling aspect of the study		150,000	150,000	
of school choice	49,500		49,500	
COLLEGE ENTRANCE EXAMINATION BOARD New York, New York				
For the San Jose program of Equity 2000	500,000		167,000	333,000

Education Organization (by Cate	ations	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	wood city school district Alto, California				
	ibrary improvement program d in 1991 for \$200,000)	<u> </u>	100,000	100,000	<u>Q</u>
Unive	ersity–School Partnerships				
EDUCAT	RD UNIVERSITY, SCHOOL OF ION , California				
	ral support of the Stanford/				
	Collaborative d in 1990 for \$300,000)		100,000	100,000	
	UNIVERSITY nio, Texas				
	ral support of the Alliance for				
Better Sc (Awarde	noots d in 1992 for \$300,000)		300,000	100,000	200,000
Other					
AMERIC EDUCAT	AN ASSOCIATION FOR HIGHER ION				
Washing					
For a pro universit	ject on the peer review of teaching in ies	230,000		115,000	115,000
AMERIC. Washing	AN COUNCIL ON EDUCATION con, D.C.				
	al support d in 1990 for \$300,000)		100,000	100,000	
OF AMEI	AN MISSIONARY ASSOCIATION				
	New Jersey presidential discretionary fund at				
	n College	150,000		50,000	100,000
	TION OF PROFESSIONAL SCHOOLS RNATIONAL AFFAIRS on D.C.				
	ninority education program	25,000		25,000	
-	SITY OF CALIFORNIA, BERKELEY,	10.40.000.000	<del></del>		
PACIFIC	NEIGHBORHOOD CONSORTIUM California				

	Education: Organizations (by Category)	Grants Authorize 1993	Unpaid d Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	INDEPENDENT COLLEGES OF NORTHERN CALIFORNIA San Francisco, California				
	For general support (matching grant)	50,000		50,000	
	MATHEMATICAL ASSOCIATION OF AMERIC Washington, D.C.	ČA.			
	For the Math Horizons program	50,000		50,000	
	NATIONAL ASSOCIATION FOR FOREIGN STUDENTS AFFAIRS Washington, D.C.				
	To conduct strategic planning	50,000		50,000	
1	OCCIDENTAL COLLEGE Los Angeles, California		3		
	For the 1993 summer project	23,000		23,000	
	RESEARCH CORPORATION Tucson, Arizona				
	To support faculty research in the natural sciences at liberal arts colleges (Awarded in 1992 for \$450,000)		300,000	300,000	
	TOTAL EDUCATION	\$8,182,000*	\$12,334,000	\$11,056,662‡	\$9,449,00

<sup>\*1993</sup> authorizations (\$8,299,000) minus cancellations (\$117,000).

 $<sup>^{\</sup>ddagger}$ 1993 payments (\$11,067,000) minus refunds (\$10,338).

## Environment

or more than a decade, the Foundation has focused environmental grantmaking on the advancement of balanced public policy that protects the natural environment while respecting the social and economic aspirations of the nation's citizens. The Foundation has primarily supported organizations of two types: policy research institutions and groups dedicated to improving environmental decisionmaking processes. The premises underlying this strategy are that (1) customary political and legal processes often fail to produce coherent, stable environmental policy; and (2) a combination of rigorous policy analysis and nontraditional participatory processes often yields better environmental outcomes.

In 1993 two additional elements rounded out the environment program. First, the Foundation made a final round of grants to selective university and college environmental studies programs. Second, the Foundation awarded several grants to organizations working on the integration of economic development and environmental protection through demonstration projects and policy research.

The premises that guided this grantmaking strategy remain at the core of the environment program. However, commencing in 1994, a new geographical focus will be adopted. Grants will be directed to organizations working on issues that affect the fragile ecosystems lying west of the 100th meridian, the traditional boundary demarcating the arid West from the temperate Eastern weather zone of North America. This is a vast and diverse expanse; yet it presents certain singular characteristics that make the West an appropriate focus for concerted philanthropy. First, for more than a century, western lands have been shaped by a peculiar regime of nineteenth-century laws that place resource extraction above all other land uses. The effects of mining, logging, fishing, and water diversion have wrought serious damage to the biological systems that support life throughout the region. Second, vast federal and tribal land holdings create unique challenges of governance and stewardship. Third, except in the Northwest, water is in short supply, and the brittle, arid environment is less resilient to develop-

ment pressures than are other regions. Fourth, many rural communities in the region are sparsely populated and geographically dispersed, accentuating their vulnerability to outside economic and political forces. Finally, bitter conflicts over resource use have divided western communities as in no other region of the United States.

Grants will be awarded for work in Alaska, Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, British Columbia, and Alberta. Support will also be considered for work on U.S.-Mexico border environmental issues in the U.S. Southwest and bordering Mexican states.

As in the past, the Foundation will continue to emphasize general support grants aimed at building the institutional capacity of promising nonprofits working in the region. In most cases, the Foundation will not consider support for specific projects. The components of the revised environment program are as follows.

Policy Analysis. The Foundation supports organizations that produce policy-oriented studies on important environmental issues affecting the region. Candidates for support should present the following characteristics: (1) a reputation for intellectual rigor and objectivity, (2) an interdisciplinary staff, (3) a variety of widely read publications, and (4) a demonstrable capacity to influence policy outcomes.

Information Dissemination. The Foundation supports organizations engaged in the broad dissemination of nonpartisan information on western environmental issues to decisionmakers and the general public.

Decisionmaking Processes. The Foundation supports organizations that demonstrate, document, or study how environmental decisionmaking processes could be improved in the West. Interest is primarily focused on facilitating, convening, and mediating organizations.

Rural Communities and the Environment. The Foundation supports organizations working on the integration of rural community development and environmental protection through scientific research, economic development ventures, and other demonstration projects of regional significance.

Land Preservation. In exceptional cases, the Foundation supports efforts on a national scale to acquire or preserve unique, ecologically significant land in the West.

In 1994 the Foundation will also selectively support other environmental organizations of exceptional merit, with preference accorded past grantees whose focus does not qualify them for continued consideration within the revised program guidelines.

In order to avoid unprofitable effort on the part of applicants, we emphasize that the Foundation does not support proposals in the following areas: basic research; capital construction; conferences, symposia, or workshops; environmental education (K-12 or adult); museum facilities, exhibits, or programs; or specific media projects. Similarly, the Foundation does not make awards to individuals, organizations outside the United States, or local land trusts. Finally, the Foundation does not support community organizing, advocacy, or litigation.

	Environment: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	Policy Analysis				
	ALLIANCE TO SAVE ENERGY Washington, D.C.				
	For general support (Awarded in 1992 for \$100,000)		\$40,000	\$40,000	
	AMERICAN FARMLAND TRUST Washington, D.C.				
	For general support	50,000			50,000
	AMERICAN FORESTS Washington, D.C.				
	For general support of the Forest Policy Center	125,000		50,000	75,000
	CENTER FOR POLICY ALTERNATIVES Washington, D.C.				
	For general support of the environmental program		50,000	30,000	20.000
	(Awarded in 1992 for \$90,000)		50,000	30,000	20,000
	CENTER FOR RESOURCE ECONOMICS Washington, D.C.				
	For general support of Island Press (Awarded in 1992 for \$100,000)		40,000	40,000	
	CENTER FOR THE STUDY OF LAW AND POLITIC San Francisco, California	CS			
	For general support of the Global Cities Project	150,000		50,000	100,000
	ENVIRONMENTAL AND ENERGY STUDY INSTITUTE Washington, D.C.				
	For general support (Awarded in 1992 for \$150,000)		80,000	40,000	40,000
	ENVIRONMENTAL LAW INSTITUTE Washington, D.C.				
	For general support (Awarded in 1992 for \$150,000)		100,000	50,000	50,000
1	NORTHEAST MIDWEST INSTITUTE Washington, D.C.				
	For general support of environmental work	120,000		60,000	60,000
	PACIFIC INSTITUTE FOR STUDIES IN DEVELOPMENT, ENVIRONMENT, AND SECURITY				
	Oakland, California				
	For general support (Awarded in 1992 for \$100,000)		50,000	50,000	

<sup>■</sup> Grants newly authorized in 1993 are highlighted by square boxes.

Environment: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
RENEW AMERICA Washington, D.C.				
For general support (Awarded in 1991 for \$120,000)		20,000	20,000	
RESOURCES FOR THE FUTURE Washington, D.C.				
For general support (matching grant) (Awarded in 1992 for \$250,000)		250,000	60,000	190,000
ROCKY MOUNTAIN INSTITUTE Old Snowmass, Colorado				
For general support	250,000		150,000	100,000
WOODS HOLE RESEARCH CENTER Woods Hole, Massachusetts				
For general support	150,000		50,000	100,000
WORLD RESOURCES INSTITUTE Washington, D.C.				
For general support (Awarded in 1992 for \$300,000)	a 2 z	200,000	100,000	100,000
Environmental Education				
UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California				
For general support of the Energy and Resources Group and to help endow a				
discretionary trust fund (matching grant) (Awarded in 1990 for \$100,000)		50,000		50,000
UNIVERSITY OF CALIFORNIA, DAVIS Davis, California				
To help endow a discretionary trust fund for the Public Service Research and Dissemination Program (matching grant)				
(Awarded in 1991 for \$250,000)		250,000	250,000	
UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California				
To help endow a discretionary trust fund for the Environmental Science / Engineering Program (matching grant)		250,000	100 000	150.000
(Awarded in 1992 for \$250,000)		250,000	100,000	150,000
CORNELL UNIVERSITY Ithaca, New York				
To help endow a discretionary fund for the Center for the Environment (matching grant)	250,000			250,000

<del></del>	Environment: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	DUKE UNIVERSITY Durham, North Carolina				
	To help endow a discretionary fund for the School of the Environment	250,000		250,000	
	UNIVERSITY OF MICHIGAN Ann Arbor, Michigan				
	To help endow a discretionary trust fund for the School of Natural Resources and Environment (matching grant) (Awarded in 1990 for \$250,000)		125,000	25,000	100,000
	ORGANIZATION FOR TROPICAL STUDIES Durham, North Carolina				
	For the Decisionmakers Program (Awarded in 1990 for \$240,000)		80,000	80,000	
	PRINCETON UNIVERSITY Princeton, New Jersey				
	To help endow a discretionary trust fund for the Center for Energy and Environmental Studies (matching grant)		250 000		250,000
	(Awarded in 1991 for \$250,000)		250,000		250,000
	UNIVERSITY OF WASHINGTON Seattle, Washington				
	To help endow a discretionary trust fund for the Institute for Marine Studies and the Institute for Environmental Studies (matching grant) (Awarded in 1990 for \$250,000)		250,000		250,000
	YALE UNIVERSITY New Haven, Connecticut				
	To help endow a discretionary fund for the School of Forestry and Environmental Studies (matching grant)	250,000			250,000
	Land Acquisition and Preservat	ion			
	NATIONAL FISH AND WILDLIFE FOUNDATION Washington, D.C.				
	For general support (Awarded in 1991 for \$100,000)		30,000		30,000
	Other				
	CENTER FOR NEIGHBORHOOD TECHNOLOGY Chicago, Illinois				
	For general support and for the Alliance for Sustainable Materials Economy	100,000		65,000	35,000

 Environment: Organizations (by Category)	Grants Authorize 1993	Unpaid d Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
EARTH ISLAND INSTITUTE San Francisco, California				
For the Urban Habitat Program	100,000		50,000	50,000
ECOTRUST Portland, Oregon				
For general support	100,000		50,000	50,000
ENVIRONMENTAL DATA RESEARCH INSTITUTE Rochester, New York				
For general support (Awarded in 1992 for \$20,000)		20,000	20,000	
INTERNATIONAL SOCIETY FOR ECOLOGICAL ECONOMICS Solomons, Maryland				
For general support (Awarded in 1992 for \$20,000)	2-21	20,000	20,000	
LEAD SOLUTIONS Oakland, California				
For general support	50,000		50,000	
PACIFIC RIVERS COUNCIL Eugene, Oregon				
For general support	100,000		30,000	70,000
SAN FRANCISCO STATE UNIVERSITY San Francisco, California				
For the San Francisco Urban Institute's Career / Pro Program	50,000		50,000	
STANFORD UNIVERSITY Stanford, California				
For general support of the Center for Conservation Biology (Awarded in 1992 for \$250,000)		250,000	100,000	150,000
			Well-official Tellons	
TOTAL ENVIRONMENT	\$2,095,000	\$2,405,000	\$1,930,000	\$2,570,000

## Performing Arts

he Hewlett Foundation's performing arts program makes grants to classical music ensembles, professional theatre and opera companies, and ballet and modern dance organizations for artistic, managerial, and institutional development. The Foundation supports presenting organizations, arts councils that serve Bay Area communities, and service organizations that assist arts organizations in all disciplines. It also makes grants to groups providing a variety of services to Bay Area nonprofit film and video organizations.

Artist training programs and efforts to increase career opportunities for artists continue to be of interest to the Foundation. It will also consider proposals designed to increase the effectiveness of the field as a whole.

In keeping with the need for long-term, flexible support, the Foundation will recommend, whenever appropriate, that there be a matching requirement. The Foundation often recommends that a portion of the matching funds be applied to endowments or cash reserves rather than to current operating expenses to help ensure the long-term financial stability of its grantees.

The Foundation gives preference to independent nonprofit Bay Area organizations with an established record of artistic and administrative achievement, audience support and general audience appeal, and a realistic plan for artistic and organizational development.

The Foundation groups performing arts recommendations by discipline for presentation to its Board of Directors. This allows the Foundation to become familiar with the characteristics and needs in each field. It also assists in planning and in the consistent application of criteria. While the Foundation does not expect to be able to adhere rigidly to the following schedule, it will make every effort to do so.

	Application Submitted by:	Application Reviewed in:
Music	January 1	April
Theatre	April 1	July
Dance	July 1	October
Film/Video Service	00000000000000000000000000000000000000	
Organizations	July 1	October

Presenting organizations, arts councils, and multidisciplinary service organizations should contact the Foundation to determine the appropriate deadline.

The Foundation regrets that it cannot consider requests in the following areas: the visual or literary arts; radio, television documentaries, or other films and videos; the humanities; elementary and secondary school programs; college or university proposals; community art classes; folk arts, including crafts and popular music; recreational, therapeutic, and social service arts programs; and individuals. The Hewlett Foundation does not support one-time events, such as seminars, conferences, festivals, or cultural foreign exchange programs, and does not provide assistance with touring costs for performing companies.

	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	Music				
	AMERICAN BACH SOLOISTS Belvedere, California				
	For general support	\$20,000		\$20,000	
	BAY AREA WOMEN'S PHILHARMONIC San Francisco, California				
	For general support (Awarded in 1992 for \$105,000)		60,000	30,000	30,000
	BERKELEY SYMPHONY ORCHESTRA Berkeley, California				
	For general support	150,000		50,000	100,000
	CABRILLO MUSIC FESTIVAL Aptos, California				
	For general support (matching grant) (Awarded in 1991 for \$105,000)		35,000	35,000	
	UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California				
	For general support of the Young Musicians Program (matching grant) (Awarded in 1990 for \$100,000)		50,000	20,000	30,000
	CARMEL BACH FESTIVAL Carmel-by-the-Sea, California				
	For general support (matching grant) (Awarded in 1991 for \$100,000)		30,000	30,000	
	HUMANITIES WEST				
98	San Francisco, California For general support (Awarded in 1992 for \$60,000)		40,000	20,000	20,000
	KRONOS PERFORMING ARTS ASSOCIATION San Francisco, California				
5	For general support (Awarded in 1992 for \$120,000)		80,000	40,000	40,000
	MIDSUMMER MOZART FESTIVAL San Francisco, California				
	For general support (Awarded in 1992 for \$60,000)		30,000	30,000	
1	MUSICAL TRADITIONS, PAUL DRESHER ENSEMBLE				***************************************
	San Francisco, California				
	For general support (Awarded in 1992 for \$90,000)		60,000	30,000	30,000

<sup>■</sup> Grants newly authorized in 1993 are highlighted by square boxes.

	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
=	NAPA VALLEY SYMPHONY ASSOCIATION Napa, California				
	For general support (matching grant)	75,000		25,000	50,000
	OAKLAND EAST BAY SYMPHONY Oakland, California				
	For general support (Awarded in 1992 for \$60,000)		30,000	30,000	
	PHILHARMONIA BAROQUE ORCHESTRA San Francisco, California				
	For general support (matching grant)	150,000		50,000	100,000
	PHILHARMONIC SOCIETY OF FREMONT-NEWARK Fremont, California				
	For general support (Awarded in 1992 for \$50,000)		25,000	25,000	
	ROVA SAXOPHONE QUARTET San Francisco, California		- X		***************************************
	For general support	10,000		10,000	
	SAN FRANCISCO CHANTICLEER San Francisco, California				
	For general support	120,000		40,000	80,000
	SAN FRANCISCO CONTEMPORARY MUSIC PLAYERS San Francisco, California				
	For general support (matching grant) (Awarded in 1991 for \$105,000)		32,000	32,000	
	SAN FRANCISCO EARLY MUSIC SOCIETY Berkeley, California				
	For general support	15,000		15,000	
	SAN FRANCISCO GIRLS CHORUS, INC. San Francisco, California				
	For general support of the professional training programs				
	(Awarded in 1992 for \$50,000)		25,000	25,000	
	SAN FRANCISCO SYMPHONY San Francisco, California				-
	For youth education programs (matching grant) (Awarded in 1992 for \$450,000)		375,000	225,000	150,000
	SAN JOSE SYMPHONY ORCHESTRA San Jose, California				
	For general support (Awarded in 1991 for \$375,000)		100,000	100,000	

	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	SANTA CRUZ COUNTY SYMPHONY ASSOCIATION				
	Santa Cruz, California				
	For general support (Awarded in 1991 for \$45,000)	*	15,000	15,000	
	SANTA FE CHAMBER MUSIC FESTIVAL Santa Fe, New Mexico				
	For general support to be matched for				
	endowment (matching grant) (Awarded in 1989 for \$180,000)		45,000	25,000	20,000
聯	ZAKROS PRODUCTIONS/NEW MUSIC THEATRE San Francisco, California				
	For general support	15,000		15,000	
	Theatre and Opera				
H.	AMERICAN CONSERVATORY THEATER San Francisco, California				
	For general support of the Advanced Training Program (matching grant)	160,000		80,000	80,000
	BERKELEY REPERTORY THEATRE Berkeley, California				
	For general support (matching grant) (Awarded in 1991 for \$200,000)		100,000	100,000	
	For general support	200,000		100,000	100,000
	BRAVA! FOR WOMEN IN THE ARTS San Francisco, California				
	For general support of the theater program	15,000		15,000	
	CALIFORNIA SHAKESPEARE FESTIVAL Berkeley, California				
	For general support (Awarded in 1992 for \$100,000)		50,000	50,000	
	EL TEATRO CAMPESINO San Juan Bautista, California				
	For general support (Awarded in 1992 for \$50,000)		25,000	25,000	
ı	EL TEATRO DE LA ESPERANZA San Francisco, California				
	For the Playwright Laboratory	10,000		10,000	
ı	EXITHEATRE				
	San Francisco, California				
	For general support	20,000		20,000	

	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	FRIENDS OF OLYMPIA STATION, INC., NEW PICKLE CIRCUS				
	Santa Cruz, California	10.000		10,000	
	For general support	10,000		10,000	
	GEORGE COATES PERFORMANCE WORKS San Francisco, California				
	For general support (Awarded in 1992 for \$110,000)		55,000	55,000	
	LIFE ON THE WATER San Francisco, California				
	For general support (Awarded in 1992 for \$90,000)		35,000	35,000	
	MAGIC THEATRE San Francisco, California				
	For general support	45,000		45,000	
	OAKLAND ENSEMBLE THEATRE Oakland, Californ				
	For general support (Awarded in 1992 for \$60,000)		30,000	30,000	
100	OPERA SAN JOSE San Jose, California				
	For general support with special emphasis on the Resident Artist Training Program	100,000		50,000	50,000
	OREGON SHAKESPEAREAN FESTIVAL ASSOCIATION Ashland Oregon				
	Ashland, Oregon For general support				
	(Awarded in 1992 for \$165,000)		110,000	55,000	55,000
	PENINSULA CIVIC LIGHT OPERA San Mateo, California				
	For general support (Awarded in 1992 for \$40,000)		20,000	20,000	
	PERSONA GRATA PRODUCTIONS, INC. San Francisco, California				
	For general support	5,000		5,000	
	PLAYWRIGHTS FOUNDATION San Francisco, California				
	For general support of the Bay Area Playwrights Festival	10,000		10,000	
	POCKET OPERA COMPANY, INC. San Francisco, California				
	For general support	80,000		40,000	40,000

	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	SAN FRANCISCO OPERA ASSOCIATION San Francisco, California				
	For general support of the Opera Center (matching grant)	450,000		150,000	300,000
	SAN JOSE REPERTORY COMPANY San Jose, California				
000	For general support	50,000		50,000	
	SEW PRODUCTIONS/LORRAINE HANSBERRY THEATRE San Francisco, California				
	For general support	20,000		20,000	
	SHAKESPEARE—SAN FRANCISCO San Francisco, California				
	For general support	120,000		80,000	40,000
	THEATER ARTAUD San Francisco, California				
	For general support (Awarded in 1991 for \$120,000)		40,000	40,000	
	THEATRE BAY AREA San Francisco, California				
	For general support	40,000		20,000	20,000
	THEATREWORKS Palo Alto, California				
	For general support (Awarded in 1992 for \$105,000)		55,000	30,000	25,000
1	west bay opera association, inc. Palo Alto, California				
	For general support (Awarded in 1992 for \$60,000)		30,000	30,000	
	Dance				
(	CENTERSPACE DANCE FOUNDATION, INC., GARY PALMER DANCE COMPANY San Jose, California				
	For general support	20,000		20,000	
	CIRCUIT NETWORK San Francisco, California				
	For general support (Awarded in 1992 for \$40,000)		20,000	=,	20,000
1	DANCE ASSOCIATION/RUTH LANGRIDGE DANCE COMPANY Belvedere, California				
	For general support (matching grant)	15,000		15,000	

	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	DANCE BAY AREA San Francisco, California				
	For general support (matching grant) (Awarded in 1990 for \$135,000)		25,000	25,000	
	DANCE THROUGH TIME San Francisco, California				
	For general support (Awarded in 1992 for \$50,000)		25,000	25,000	
m	DANCE/USA Washington, D.C.			-	
	For general support	40,000		20,000	20,000
	DANCERS' GROUP/FOOTWORK STUDIO San Francisco, California				
	For general support	60,000		20,000	40,000
	DELLA DAVIDSON DANCE COMPANY San Francisco, California				
	For general support	35,000		15,000	20,000
ı	DIMENSIONS DANCE THEATER Oakland, California				
	For general support	45,000		15,000	30,000
•	FRIENDS OF OLYMPIA STATION, INC., TANDY BEAL & COMPANY				
	Santa Cruz, California For general support	40,000		20,000	20,000
		40,000		20,000	20,000
ı	San Francisco, California				
	For general support	40,000		20,000	20,000
ı	JUNE WATANABE DANCE COMPANY San Rafael, California				
	For general support	5,000	15	5,000	
	LINES CONTEMPORARY BALLET San Francisco, California				
	For general support	50,000		50,000	
	MARGARET JENKINS DANCE COMPANY San Francisco, California				
	For general support (Awarded in 1992 for \$80,000)		40,000	40,000	
	OAKLAND BALLET Oakland, California				
	For general support (matching grant)		E0 000	E0 000	
	(Awarded in 1991 for \$200,000) For general support (matching grant)	200,000	50,000	50,000 50,000	150,000
	Lor Scheim support (matering grant)	200,000		50,000	150,000

	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	OBERLIN DANCE COLLECTIVE San Francisco, California				
	For general support (Awarded in 1992 for \$120,000)		80,000	40,000	40,000
	PENINSULA BALLET THEATRE San Mateo, California				
	For general support (Awarded in 1992 for \$40,000)		20,000	20,000	
	SAN FRANCISCO BALLET ASSOCIATION San Francisco, California				
	For general support of the Ballet School (matching grant) (Awarded in 1992 for \$300,000)		150,000	150,000	
•	For general support of the Ballet School (matching grant)	300,000		100,000	200,000
	SAN JOSE CLEVELAND BALLET San Jose, California				
	For general support (matching grant) (Awarded in 1992 for \$75,000)		50,000	50,000	
	For general support (matching grant)	75,000		25,000	50,000
	THEATER ARTAUD San Francisco, California				
	For the Black Choreographers Moving Toward the Twenty-First Century project	20,000		20,000	
	zонсо Palo Alto, California				
	For general support (Awarded in 1990 for \$90,000)		30,000	30,000	
	For general support	90,000		30,000	60,000
	Film and Video				
	BAY AREA VIDEO COALITION San Francisco, California				
	For general support (Awarded in 1992 for \$50,000)		25,000	25,000	
	FILM ARTS FOUNDATION San Francisco, California				
	For general support	90,000	8 54 5 K	30,000	60,000
	FOUNDATION FOR ART IN CINEMA / SAN FRANCISCO CINEMATHEQUE				
	San Francisco, California				
	For general support (Awarded in 1992 for \$60,000)		40,000	20,000	20,000

	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	NEW AMERICAN MAKERS				
-	San Francisco, California				
	For general support	10,000		10,000	
	Supporting Services				
N	ARTS COUNCIL OF SANTA CLARA COUNTY San Jose, California				
	For general support of the regranting program	50,000		50,000	
	BROOKLYN ACADEMY OF MUSIC Brooklyn, New York				
	For general support of the Next Wave Festival (Awarded in 1991 for \$180,000)		60,000	60,000	
-	BUSINESS-ARTS COUNCIL, INC. San Francisco, California				
	For general support of Business Volunteers for the Arts/San Francisco and for affiliated programs	100 000		34,000	66,000
	ajjiitatea programs	100,000		34,000	66,000
	UNIVERSITY OF CALIFORNIA, BERKELEY, CAL PERFORMANCES Berkeley, California				
	For general support of the classical music				
	performances and the Berkeley Festival and Exhibition	200,000		100,000	100,000
	CALIFORNIA CONFEDERATION OF THE ARTS				
	Sacramento, California	<u> </u>			20 000
	For general support	75,000		25,000	50,000
	CALIFORNIA LAWYERS FOR THE ARTS San Francisco, California				
	For general support of the San Francisco office (Awarded in 1992 for \$60,000)		40,000	20,000	20,000
	CITY CELEBRATION San Francisco, California				
	For general support	120,000		40,000	80,000
N	CLIMATE THEATRE San Francisco, California				
	For general support of the 1993 Solo/Mio Festival	10,000		10,000	
	COMMUNITY FOUNDATION OF SANTA CLARA COUNTY				
	San Jose, California				
	For the Silicon Valley Arts Fund and the Partners Regranting Program (matching grant) (Awarded in 1992 for \$575,000)		500,000	230,000	270,000

8	Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	CULTURAL COUNCIL OF SANTA CRUZ COUNTY Aptos, California				
	For general support and for the regranting program	100,000		50,000	50,000
a	EAST BAY CENTER FOR THE PERFORMING ARTS Richmond, California				
	For general support	120,000		40,000	80,000
	80 LANGTON STREET/ NEW LANGTON ARTS San Francisco, California				
	For general support	10,000		10,000	
	FOOTHILL-DE ANZA COLLEGES FOUNDATION Los Altos Hills, California				
	For general support of the Festival of the Arts (Awarded in 1992 for \$30,000)		15,000	15,000	
	INTERSECTION FOR THE ARTS San Francisco, California				
	For general support (Awarded in 1992 for \$50,000)		25,000	25,000	
Ċ	JAZZ IN THE CITY San Francisco, California				
	For general support of the Jazz Masters Program	70,000		35,000	35,000
	JULIA MORGAN CENTER FOR THE ARTS Berkeley, California				
	For general support	10,000		10,000	
	KONCEPTS CULTURAL GALLERY Oakland, California				
	For general support	10,000		10,000	
	MONTALVO ASSOCIATION Saratoga, California				
	For general support of the classical music performances and the Discovery Series	50,000		25,000	25,000
1	OLD FIRST CENTER FOR THE ARTS San Francisco, California				
	For the Old First Concerts	45,000		15,000	30,000
	SAN FRANCISCO PERFORMANCES San Francisco, California				
	For general support (matching grant) (Awarded in 1991 for \$215,000)		50,000	50,000	

Performing Arts: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
SAN FRANCISCO PERFORMING ARTS LIBRARY AND MUSEUM San Francisco, California				
For general support (Awarded in 1992 for \$100,000)		50,000	50,000	
STERN GROVE FESTIVAL San Francisco, California				
For general support (Awarded in 1991 for \$60,000)		20,000	20,000	
THE.ART.RE.GRUP San Francisco, California				
For general support of The Lab	20,000		20,000	
TOTAL PERFORMING ARTS	\$4,015,000	\$2,897,000	\$3,996,000	\$2,916,00

## Population

opulation growth continues to be a significant worldwide problem, despite the impact organized family planning programs have had on reducing fertility levels. In addressing the issue of population growth, the Foundation has two primary interests: the development of research, policy, and program expertise in developing countries and the international delivery of family planning services. U.S. population issues are also of concern but represent a smaller proportion of the annual program budget.

Within its priorities, the Foundation supports a range of programs. Specific international interests are in the following areas: the training of population experts from developing countries, primarily at university-based population centers in the United States; policy-related research on population issues, particularly the relationship of social and economic factors to fertility; and the support of family planning programs not eligible for U.S. government funds. Grants are made primarily to U.S.-based organizations, but there are no geographic limitations on research, international family planning projects, or support for foreign students, provided the focus is on developing countries. Both internationally and domestically, topics of special interest include adolescent fertility, male involvement in family planning, and the role of cash incentives in encouraging the use of contraception. There is also an interest in family planning programs in Mexico.

With regard to population issues in the United States, the Foundation is interested in organizations that inform policymakers both here and abroad about the importance of population issues and the relevance of demographic change to other social, economic, and political developments.

Support for local organizations that provide direct family planning services is limited to Planned Parenthood affiliates in and near the San Francisco Bay Area. Whenever possible, grants to Planned Parenthood affiliates will address regional, rather than institution-specific, concerns.

The Foundation generally provides organizational, rather than project, support and does not fund investigator-initiated research. Grants will favor support of groups that show an awareness of the complex relations between motivation, social and economic development, and fertility behavior, and that have an appreciation for the importance of social, cultural, and personal approaches to population questions. The Foundation will also encourage those organizations that are trying to bridge the gap between research and the implementation of policies and programs.

The Foundation does not support bio-medical research on reproduction or population education programs directed toward the general public.

	Population: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	Social Science Research and Training				
	ALAN GUTTMACHER INSTITUTE New York, New York				
	For general support (Awarded in 1990 for \$1,250,000)		\$416,000	\$416,000	
m	For policy analysis	1,000,000		670,000	330,000
	BROWN UNIVERSITY, POPULATION STUDIES AND TRAINING CENTER Providence, Rhode Island				
	For general support (Awarded in 1989 for \$700,000)		280,000	240,000	40,000
	UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California				
	For general support of the Department of Demography (Awarded in 1991 for \$500,000)		500,000	500,000	
	UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, CENTER FOR REPRODUCTIVE HEALTH POLICY RESEARCH San Francisco, California				
	For the Family Planning Training Program (Awarded in 1989 for \$540,000)		135,000	135,000	
m	For general support	400,000		267,000	133,000
	UNIVERSITY OF CHICAGO, POPULATION RESEARCH CENTER Chicago, Illinois				
	For general support (Awarded in 1988 for \$500,000)		100,000	100,000	
=	For training and research activities	220,000		110,000	110,000
	CHULALONGKORN UNIVERSITY, INSTITUTE OF POPULATION STUDIES Bangkok, Thailand				
	For general support (Awarded in 1991 for \$75,000)		25,000	25,000	
	COLUMBIA UNIVERSITY, CENTER FOR POPULATION AND FAMILY HEALTH New York, New York				
	For general support (Awarded in 1990 for \$750,000)		450,000	300,000	150,000

<sup>■</sup> Grants newly authorized in 1993 are highlighted by square boxes.

Population: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
CORNELL UNIVERSITY, POPULATION AND DEVELOPMENT PROGRAM				
Ithaca, New York For general support (Awarded in 1988 for \$560,000)		140,000	140,000	
For general support	420,000		280,000	140,000
EAST-WEST CENTER, EAST-WEST POPULATION INSTITUTE Honolulu, Hawaii For fertility-related research and training (Awarded in 1992 for \$180,000)		130,000	<0.000	<b>60.000</b>
INTERNATIONAL UNION FOR THE SCIENTIFIC STUDY OF POPULATION Liege, Belgium For general support		120,000	60,000	60,000
(Awarded in 1990 for \$135,000)  JOHNS HOPKINS UNIVERSITY, POPULATION CENTER Baltimore, Maryland		40,000	40,000	
For general support (Awarded in 1990 for \$750,000)		450,000	300,000	150,000
MAHIDOL UNIVERSITY, INSTITUTE FOR POPULATION AND SOCIAL RESEARCH Nakhornpathom, Thailand	<del></del>			
To support training, faculty development, and publications, and to enhance library and computing capacity (Awarded in 1990 for \$150,000)		50,000	50,000	
UNIVERSITY OF MARYLAND, COLLEGE OF BEHAVIORAL AND SOCIAL SCIENCE College Park, Maryland		50,000	30,000	
For the Center on Population, Gender, and Social Inequality (Awarded in 1992 for \$240,000)		160,000	80,000	80,000
UNIVERSITY OF MICHIGAN Ann Arbor, Michigan				
For general support of the Population Studies Center and the Center for Population Planning (Awarded in 1989 for \$900,000)		360,000	60,000	300,000
NATIONAL ACADEMY OF SCIENCES, COMMITTEE ON POPULATION Washington, D.C.				
To support activities related to developing countries (Awarded in 1991 for \$250,000)		125,000	125,000	
For general support of international policy analysis activities	250,000		125,000	125,000

	Population: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	UNIVERSITY OF NORTH CAROLINA, CAROLINA POPULATION CENTER Chapel Hill, North Carolina				
	For general support of population research and training (Awarded in 1990 for \$750,000)		450,000	300,000	150,000
	UNIVERSITY OF PENNSYLVANIA, GRADUATE GROUP IN DEMOGRAPHY Philadelphia, Pennsylvania				
	To support training and research (Awarded in 1991 for \$750,000)		450,000	300,000	150,000
	PENNSYLVANIA STATE UNIVERSITY, POPULATION RESEARCH INSTITUTE University Park, Pennsylvania				
	For general support (Awarded in 1992 for \$390,000)		390,000	260,000	130,000
=	POPULATION ASSOCIATION OF AMERICA Washington, D.C.				
	For general support of international activities	120,000		40,000	80,000
	POPULATION COUNCIL New York, New York	-			
	For general support of the Research Division (Awarded in 1991 for \$900,000)		300,000	300,000	
	For general support of the Research Division	1,095,000		730,000	365,000
	POPULATION REFERENCE BUREAU, INC. Washington, D.C.				
	For general support of international policy analysis activities	300,000		100,000	200,000
	PRINCETON UNIVERSITY, OFFICE OF POPULATION RESEARCH				
	Princeton, New Jersey				
	For general support of population research and training (Awarded in 1990 for \$500,000)		200,000	100,000	100,000
M	RAND CORPORATION, FAMILY IN ECONOMIC DEVELOPMENT CENTER Santa Monica, California				
	For general support of population research and training	270,000		180,000	90,000
	UNIVERSITY OF SOUTHERN CALIFORNIA, POPULATION RESEARCH LABORATORY Los Angeles, California				
	For general support of research and training (Awarded in 1992 for \$240,000)		240,000	160,000	80,000

	Population: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	UNIVERSITY OF TEXAS, AUSTIN, POPULATION RESEARCH CENTER Austin, Texas				
	For general support of research in or on developing countries and for foreign student training (Awarded in 1992 for \$650,000)		520,000	260,000	260,000
	UNIVERSITY OF WASHINGTON, CENTER FOR STUDIES IN DEMOGRAPHY AND ECOLOGY Seattle, Washington				
	For general support of population research and training (Awarded in 1990 for \$270,000)		90,000	90,000	
	For general support of population research and training	270,000		180,000	90,000
	International Family Planning Development Activities	and			
	ACADEMIA MEXICANA DE INVESTIGACION EN DEMOGRAFIA MEDICA Mexico City, Mexico				
	For general support (Awarded in 1991 for \$180,000)		60,000	60,000	
ı	For general support	225,000		75,000	150,000
1000	AFRICAN MEDICAL AND RESEARCH FOUNDATION New York, New York				
	For the Family Health Unit in East Africa (Awarded in 1991 for \$540,000)		180,000	180,000	
	AMERICAN COLLEGE OF NURSE MIDWIVES Washington, D.C.				
0	To support international family planning activities (Awarded in 1990 for \$285,000)		75,000	75,000	
	ASIA FOUNDATION San Francisco, California				
j	For general support of a family planning program in Nepal (Awarded in 1992 for \$400,000)		200,000	100,000	100,000
	ASSOCIATION FOR VOLUNTARY SURGICAL CONTRACEPTION, INC.		201 (200 CHAZZAO AMS)		
	New York, New York To initiate or continue programs in several				
	countries, primarily in Latin America and sub-Saharan Africa (matching grant) (Awarded in 1992 for \$500,000)		330,000	275,000	55,000

Population: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
CENTRE FOR DEVELOPMENT AND				
Washington, D.C.				
For general support of family planning activities				
(Awarded in 1991 for \$500,000)		166,000	166,000	
FEDERACION MEXICANA DE ASOCIACIONES PRIVADAS DE SALUD Y DESARROLLO COMUNITARIO El Paso, Texas				
For general support of family planning				
information and services for adolescents		SCUTUS CONTRACTOR		
(Awarded in 1992 for \$65,000)		25,000	25,000	
FUNDACION MEXICANA PARA LA PLANEACION FAMILIAR				
Mexico City, Mexico				
For general support (Awarded in 1991 for \$300,000)		100,000	100,000	
INTERNATIONAL PLANNED PARENTHOOD FEDERATION London, England				
For the Challenges of the '90s project (Awarded in 1991 for \$540,000)		140,000	140,000	
INTERNATIONAL PLANNED PARENTHOOD FEDERATION/WESTERN HEMISPHERE REGION New York, New York				
For general support of adolescent projects				
(Awarded in 1992 for \$300,000)		300,000	262,000	38,00
INTERNATIONAL PROJECTS ASSISTANCE SERVICES				
Carrboro, North Carolina				
For general support	450,000		300,000	150,00
INTERNATIONAL WOMEN'S HEALTH COALITION				
New York, New York				
For general support (Awarded in 1990 for \$360,000)		120,000	120,000	
MANAGEMENT SCIENCES FOR HEALTH, POPULATION PROGRAM				
Newton, Massachusetts				
For an experimental project to increase the rates of contraceptive use in three developing countries (Awarded in 1992 for \$570,000)	s	570,000	285,000	285,000
PARTNERS OF THE AMERICAS Washington, D.C.				
For general support of family planning activities	210,000		140,000	70,000

Population: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
PATHFINDER INTERNATIONAL Watertown, Massachusetts				
For general support (Awarded in 1991 for \$750,000)		500,000	500,000	
PLANNING ASSISTANCE Washington, D.C.				
For general support (Awarded in 1991 for \$300,000)		100,000	100,000	
POPULATION SERVICES INTERNATIONAL Washington, D.C.				
For general support (Awarded in 1992 for \$770,000)		577,000	386,000	191,000
PROGRAM FOR APPROPRIATE TECHNOLOGY IN HEALTH Seattle, Washington				· · · · · · · · · · · · · · · · · · ·
For general support of family planning activiti (Awarded in 1992 for \$1,050,000)	es	700,000	550,000	150,000
SAVE THE CHILDREN Westport, Connecticut			5.	
For a family planning project in The Gambia (Awarded in 1992 for \$185,000)		185,000	62,000	123,000
UNITED NATIONS FUND FOR POPULATION New York, New York	*		<del></del>	
To support participation of developing countries in the 1994 International Conference on Population and Development	325,000		325,000	
WORLD NEIGHBORS Oklahoma City, Oklahoma				
To support family planning activities	285,000	<del>.</del>	190,000	95,000
Domestic Family Planning Activities				
LOS ANGELES COUNTY/USC MEDICAL CENTER, REPRODUCTIVE HEALTH AND RESEARCH INSTITUTE Los Angeles, California				
For general support of the Hispanic Teen Mother Project (Awarded in 1991 for \$365,000)		365,000	255,000	110,000
NATIONAL FAMILY PLANNING AND REPRODUCTIVE HEALTH ASSOCIATION Washington, D.C.			*03760g at 1000 20076	
For general support (Awarded in 1992 for \$150,000)		90,000	50,000	40,000

	Population: Organizations (by Category)	Grants Authorize 1993	Unpaid ed Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	PLANNED PARENTHOOD FEDERATION OF AMERICA, INC. New York, New York				
	For general support of domestic activities (matching grant) (Awarded in 1992 for \$450,000)		450,000	300,000	150,00
	PLANNED PARENTHOOD FEDERATION OF AMERICA, INC. / WESTERN REGION San Francisco, California				
	For general support of a multi-affiliate program to recruit clients and increase financial stability	450,000		150,000	300,00
	Education of Policymakers				
	CHILD TRENDS, INC. Washington, D.C.				
	For institutional development (Awarded in 1991 for \$250,000)		83,000	83,000	
	INDEPENDENT COMMISSION ON POPULATION AND QUALITY OF HUMAN LIFE Paris, France				
	For general support (Awarded in 1992 for \$200,000)		200,000	100,000	100,00
	OVERSEAS DEVELOPMENT COUNCIL Washington, D.C.				
	For the Population and Development Project	50,000		50,000	
	POPULATION ACTION INTERNATIONAL Washington, D.C.				
	For general support (Awarded in 1991 for \$225,000)		50,000	50,000	
	POPULATION RESOURCE CENTER Princeton, New Jersey				
	For general support (Awarded in 1991 for \$300,000)		180,000	180,000	
•	SEX INFORMATION AND EDUCATION COUNCIL OF THE UNITED STATES New York, New York				

## Regional Grants

his report marks the final appearance of the regional grants program under this title. In 1994 the program will be called family and community development. Through this program, the Foundation will continue to respond to requests from organizations based in or near the San Francisco Bay Area that serve local residents. Four of the new program's seven interest areas will correspond to the former regional grant categories: community development, homelessness, affordable housing, and minority leadership development. It will also include family support, youth community service, and children's policy.

In the community development category, the Foundation supports the efforts of community-based organizations in low-income neighborhoods that have feasible plans for improving local living and business conditions. Such plans might include commercial revitalization, job creation, assistance to small businesses, or community planning and public information. As part of its interest in encouraging concentrated community development work that will generate significant results, the Foundation is willing to consider proposals that focus on a specific neighborhood for a sustained period. The Foundation also makes grants to regional or national community development support organizations whose work benefits community development corporations in the region, including lending intermediaries, technical assistance and training providers, and selected researchers.

In the category of homelessness, proposals will be considered that have long-term impact on the problem; have a regional focus; improve knowledge in the field; and are nested within a coordinated, systematic approach to the problem. In substantive terms, proposals will be considered in the areas of prevention of homelessness; amelioration programs for those who do become homeless; permanent solutions, i.e., helping to ensure that the development of permanent housing is linked to ongoing social services for residents who need them; and planning and coordination.

In the area of affordable housing, the Foundation does not make grants for capital needs but instead focuses on the following: Bay Area housing policy organizations, or statewide or national organizations if their work substantially benefits the development of affordable housing in the Bay Area; planning, coordinating, and consensus-building organizations, particularly if they ensure the preservation or production of sufficient low-income housing to meet future Bay Area needs; planning and implementation support for model permanent housing programs for special needs populations, when social services are integral to the project; and capacity-building programs (training, technical assistance, and general operating support) for community-based housing development corporations.

In the minority leadership development category, the Foundation supports multiethnic or multicultural training programs that will prepare Californians for leadership roles in a multicultural society. Such roles may be pursued either on a professional or volunteer basis, or both, and either within a minority community or in the society at large.

Orga	onal Grants: anizations Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
Со	mmunity Development				
	N NEIGHBORHOOD DESIGN Francisco, California				
	general support arded in 1992 for \$75,000)		\$35,000	\$35,000	
FOR					
	eley, California				
Plan	general support and for the Community ning for Employment project arded in 1991 for \$300,000)		50,000	50,000	
DEV	FORNIA COMMUNITY ECONOMIC ELOPMENT ASSOCIATION and, California				-
	general support arded in 1992 for \$100,000)		50,000	50,000	
	TER FOR COMMUNITY CHANGE nington, D.C.		-		<u> </u>
For g	general support of the Bay Area and onal offices				
(Awa	arded in 1992 for \$250,000)		150,000	75,000	75,000
IMPI San I	NATOWN NEIGHBORHOOD ROVEMENT RESOURCE CENTER Francisco, California				
	he Chinatown–Embarcadero ning Project				
	arded in 1991 for \$100,000)		30,000		30,000
	MITTEE FOR ECONOMIC DEVELOPMENT York, New York				
For t proje	he Tackling America's Urban Problems ect	50,000	- X: -	50,000	
OF O	MUNITY DEVELOPMENT CORPORATION AKLAND and, California				
	eneral support	50,000		50,000	
AND ORGA	PALO ALTO COMMUNITY ALLIANCE NEIGHBORHOOD DEVELOPMENT ANIZATION				
	Palo Alto, California	50.000		25.000	05.000
For g	eneral support	50,000		25,000	25,000

<sup>■</sup> Grants newly authorized in 1993 are highlighted by square boxes.

Regional Organiza (by Cate	ations	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
CORPOR	NITIATIVES SUPPORT ATION k, New York				
For gener Bay Area Commun	ral support of the national and n offices and for the National nity Development Initiative d in 1991 for \$480,000)		160,000	160,000	
DEVELO	AL ASSOCIATION OF COMMUNITY PMENT LOAN FUNDS bhia, Pennsylvania				
	ral support d in 1991 for \$75,000)		35,000	35,000	
For gener	ral support	75,000		40,000	35,000
	AL CONGRESS FOR COMMUNITY LIC DEVELOPMENT ton, D.C.				
	ral support d in 1992 for \$75,000)		35,000	35,000	
For the 1	993 mid-year conference	10,000		10,000	
AND LAW	al economic development v center California				
For gener (Awarded	ral support d in 1992 for \$125,000)		60,000	60,000	
	Community Economic Development Collaborative	200,000		200,000	
	OF MARKET PLANNING COALITION cisco, California				
For gener	ral support	50,000		50,000	
FOUNDA					
For gener	, California ral support d in 1992 for \$30,000)		30,000	30,000	
SONOMA	COUNTY FAITH-BASED NITY ORGANIZING PROJECT ol, California			8 - 12-00	
For gener	ral support d in 1992 for \$50,000)		25,000	25,000	
	-SPEAKING UNITY COUNCIL California				
	al support	75,000		45,000	30,000

	Regional Grants: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	TIDES FOUNDATION				
	San Francisco, California For general support of the Defining Sustainable Communities project	50,000		50,000	
10	UNITED WAY OF THE BAY AREA San Francisco, California				
	For the South of Market Problem-Solving Council's Community Economic Action Plan	25,000		25,000	
	WOMEN'S INITIATIVE FOR SELF-EMPLOYMENT			*	
	San Francisco, California For general support	100,000		50,000	50,000
	Homelessness				
	CALIFORNIA HOMELESS AND HOUSING COALITION Sacramento, California				
	For general support	125,000		75,000	50,000
-	CENTER FOR COMMON CONCERNS San Francisco, California		-		
	For general support of the HomeBase project	125,000		75,000	50,000
	CHRONICLE SEASON OF SHARING FUND San Francisco, California				
	For general support (Awarded in 1992 for \$30,000)		30,000	30,000	
	COMMUNITY HOUSING PARTNERSHIP San Francisco, California				
	For general support (Awarded in 1992 for \$75,000)		35,000	35,000	£.
	CROSSROADS FAMILY CENTER Oakland, California				
	For the Homeplace Family Center project (Awarded in 1992 for \$50,000)		25,000	25,000	
	FAMILY EMERGENCY SHELTER COALITION Hayward, California				
	For general support	25,000		25,000	
	HOMELESSNESS INFORMATION EXCHANGE Washington, D.C.				
	For general support (Awarded in 1991 for \$100,000)		25,000	25,000	

	Regional Grants: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
m	INNVISION				
	San Jose, California				
	For the Georgia Travis Center/ Family Place	25,000	<u> </u>	25,000	
	NORTHERN CALIFORNIA GRANTMAKERS San Francisco, California				
	For general support of the Task Force on Homelessness	200,000		200,000	
	SAN FRANCISCO BAR ASSOCIATION, VOLUNTEER LEGAL SERVICES PROGRAM San Francisco, California				
	For the Homeless Advocacy Project (Awarded in 1992 for \$100,000)		50,000	50,000	
	TENDERLOIN NEIGHBORHOOD DEVELOPMENT CORPORATION San Francisco, California				
	For the Shallow Rent Subsidy Demonstration Program	30,000		30,000	
	Housing				
III	CALIFORNIA HOUSING PARTNERSHIP CORPORATION Oakland, California				
	For general support	75,000		25,000	50,000
	CORPORATION FOR SUPPORTIVE HOUSING New York, New York				
	For general support of the Bay Area office (Awarded in 1992 for \$200,000)		100,000	100,000	
	HOUSING FOR INDEPENDENT PEOPLE San Jose, California				
	To support housing development work in association with Special User Development Services				
	(Awarded in 1991 for \$150,000)		75,000	75,000	
ı	LOCAL INITIATIVES SUPPORT CORPORATION New York, New York				
	For the Bay Area Housing Support Collaborative	150,000	ű.	150,000	
	LOW-INCOME HOUSING FUND San Francisco, California				
	For general support	100,000		50,000	50,000

_	Regional Grants: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	LOW-INCOME HOUSING INFORMATION SERVICE Washington, D.C. For general support (Awarded in 1991 for \$75,000)		35,000	35,000	
-	For general support and for the National Housing Policy Initiative	165,000		115,000	50,000
	Selected Human Services				
	EAST PALO ALTO YOUTH DEVELOPMENT CENTER East Palo Alto, California				
	For general support (Awarded in 1991 for \$250,000)		50,000	50,000	
	NORTHERN CALIFORNIA GRANTMAKERS San Francisco, California				
	For general support of the AIDS Task Force (Awarded in 1992 for \$200,000)		100,000	100,000	
	Minority Leadership				
	Development				
100	CALIFORNIA LEADERSHIP Santa Clara, California	100.000		100 000	
	For general support	100,000		100,000	
	CORO FOUNDATION San Francisco, California				
	For general support (Awarded in 1991 for \$90,000)		30,000	30,000	
	Other				
=	CALIFORNIA ASSOCIATION OF NONPROFITS Santa Cruz, California				
	For the Nonprofit Public Policy Agenda Planning Project and for the annual conference	20,000		20,000	
m	CENTER FOR GOVERNMENTAL STUDIES Los Angeles, California				
	For general support of the California Citizens' Budget Commission	150,000		150,000	

	Regional Grants: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
•	COMMUNITY FOUNDATION OF SANTA CLARA COUNTY San Jose, California				
	For the Current Giving Fund of the 1993-94 Palo Alto Weekly Holiday Fund Drive	5,000			5,000
=	UNITED INDIAN NATIONS, INC. Oakland, California				
_	For the American Indian Self-Employment Project	35,000		35,000	
	TOTAL REGIONAL GRANTS	\$2,065,000	\$1,215,000	\$2,780,000	\$500,000

C	pecial Projects: Organizations by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
1	Public Policy				
1	AMERICAN ENTERPRISE NSTITUTE FOR PUBLIC POLICY RESEARCH				
V	Vashington, D.C.				
	For general support Awarded in 1991 for \$150,000)		\$50,000	\$50,000	
	BROOKINGS INSTITUTION Washington, D.C.		=======================================		
I	For general support Awarded in 1990 for \$800,000)		200,000	200,000	
S	UNIVERSITY OF CALIFORNIA, BERKELEY, CONSORTIUM FOR THE STUDY OF OCIETY AND EDUCATION Berkeley, California				
	For research	150,000			150,00
	CATO INSTITUTE Washington, D.C.		-		
F	For general support Awarded in 1991 for \$150,000)		50,000	50,000	
P	CENTER ON BUDGET AND POLICY PRIORITIES				
	Vashington, D.C.				
	or general support Awarded in 1991 for \$225,000)		150,000	75,000	75,00
E	NSTITUTE FOR INTERNATIONAL CONOMICS				
	Vashington, D.C.				
	or general support Awarded in 1992 for \$300,000)		150,000	150,000	
	TANFORD UNIVERSITY tanford, California				
F	For general support of the Center for Economic Policy Research	200,000		100,000	100,000

Grants newly authorized in 1993 are highlighted by square boxes.

Orga	ial Projects: nizations ategory)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
urb/	AN INSTITUTE				
Wash	ington, D.C.				
For t	he endowment	500,000		500,000	
No	nprofit Service Organizat	ions			
	NDATION CENTER				
	York, New York				
For g	eneral support	180,000		60,000	120,000
Wash	EPENDENT SECTOR ington, D.C.				
	eneral support orded in 1992 for \$300,000)		200,000	100,000	100,000
FOUN	STMENT FUND FOR NDATIONS				
Bosto	on, Massachusetts				
	eneral support urded in 1991 for \$100,000)		50,000	50,000	
AND	ICAN AMERICAN LEGAL DEFENSE EDUCATIONAL FUND				
	angeles, California	22222		12010101	
For ti	he Nonprofit Center	50,000		50,000	
Pro	social Development				
Oakla	ELOPMENTAL STUDIES CENTER and, California				
To ex	pand the Child Development Study	500,000		500,000	
Lite	eracy				
COG	IED BEHAVIORAL AND NITIVE SCIENCES, INC. jon, California				
For g	eneral support of the Consortium Yorkforce Education and				
	ong Learning rded in 1992 for \$450,000)		300,000	150,000	150,000
	NESS COUNCIL FOR EFFECTIVE RACY, INC.				
New '	York, New York				
	eneral support rded in 1992 for \$300,000)	(100,000)*	200,000	100,000	

<sup>\*</sup> Grant cancelled.

Or	ecial Projects: ganizations y Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	15/5				
	reracy south Irham, North Carolina				
	r general support	375,000			375,00
AM	TERACY VOLUNTEERS OF IERICA, INC. racuse, New York				
For	r general support of the national office warded in 1991 for \$420,000)		140,000	140,000	
	TIONAL GOVERNORS' ASSOCIATION shington, D.C.				
	r general support of the State Literacy change				
	warded in 1992 for \$150,000)		75,000		75,000
PO	UTHPORT INSTITUTE FOR LICY ANALYSIS shington, D.C.				
For	r general support warded in 1992 for \$400,000)		300,000	200,000	100,00
	arthquake Recovery				
SYS	MMUNITY HOUSING IMPROVEMENT STEMS AND PLANNING ASSOCIATION, INC. inas, California				
For	general support of earthquake				
	overy and development projects warded in 1990 for \$125,000)		25,000	25,000	
0	ther				
AN	ERICAN ACADEMY OF ARTS D SCIENCES nbridge, Massachusetts				
For	general support varded in 1989 for \$600,000)		240,000	120,000	120,000
	ERICAN CONSERVATORY THEATER Francisco, California				
	general support warded in 1991 for \$500,000)		100,000	100,000	
OF	ERICAN UNIVERSITY BEIRUT v York, New York				·
	general support				
(Av	warded in 1992 for \$150,000)		150,000	150,000	

	Special Projects: Organizations (by Category)	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	ASIA FOUNDATION San Francisco, California				
	For the University Presidents' Conference	25,000		25,000	
11	UNIVERSITY OF CALIFORNIA, OFFICE OF THE PRESIDENT Oakland, California				
	To distribute the book In Pursuit of Ideas	25,000		25,000	
	CALVIN COLLEGE Grand Rapids, Michigan				
	For the Roger W. Heyns Presidential Scholarship Fund	250,000		250,000	
	EMORY UNIVERSITY Atlanta, Georgia				
	For general support of the Carter Center				
	(Awarded in 1992 for \$450,000)		278,000	172,000	106,000
	FIRST NATIONS DEVELOPMENT INSTITUTE Falmouth, Virginia				
	For the Funders' Collaborative for American Indian Development	450,000		150,000	300,000
	GRADUATE THEOLOGICAL UNION Berkeley, California				
	To create a presidential discretionary fund endowment (matching grant) (Awarded in 1992 for \$200,000)		200,000	58,000	142,000
	GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION Washington, D.C.				
	For the capital campaign	200,000		200,000	
	INTERNATIONAL HOUSE Berkeley, California				
	For the endowment	200,000		200,000	
	NATIONAL ACADEMY OF SCIENCES Washington, D.C.				
	For the study of research doctorate programs	100,000		100,000	
	NATIONAL PUBLIC RADIO Washington, D.C.				
	For general support (Awarded in 1991 for \$225,000)		75,000	75,000	

Special Proj Organizatio (by Categor	ns	Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
	ISCO ART INSTITUTE o, California				
For general s	support	150,000		50,000	100,000
TOTAL SPECIA	L PROJECTS	\$3,255,000*	\$2,933,000	\$4,175,000	\$2,013,000

<sup>\* 1993</sup> authorizations (\$3,355,000) minus cancellations (\$100,000).

# Summary of 1993 Authorizations and Payments

Grants Authorized 1993	Unpaid Grants 1/1/93	Payments Made 1993	Unpaid Grants 12/31/93
\$3,401,000	\$1,840,000	\$3,659,000	\$1,582,000
4,790,000	4,208,000	4,838,000	4,160,000
8,299,000	12,334,000	11,067,000	9,449,000
2,095,000	2,405,000	1,930,000	2,570,000
4,015,000	2,897,000	3,996,000	2,916,000
6,500,000	11,737,000	12,737,000	5,500,000
2,065,000	1,215,000	2,780,000	500,000
3,355,000 (227,000)	2,933,000	4,175,000 (10,000)	2,013,000
34,293,000	39,569,000	45,172,000	28,690,000
44,000			
\$34,337,000			
	\$3,401,000 4,790,000 8,299,000 2,095,000 4,015,000 6,500,000 2,065,000 3,355,000 (227,000) 34,293,000 44,000	\$3,401,000 \$1,840,000 4,790,000 4,208,000 8,299,000 12,334,000 2,095,000 2,405,000 4,015,000 2,897,000 6,500,000 11,737,000 2,065,000 1,215,000 3,355,000 2,933,000 (227,000) 39,569,000 44,000	Authorized 1993         Grants 1/1/93         Made 1993           \$3,401,000         \$1,840,000         \$3,659,000           4,790,000         4,208,000         4,838,000           8,299,000         12,334,000         11,067,000           2,095,000         2,405,000         1,930,000           4,015,000         2,897,000         3,996,000           6,500,000         11,737,000         12,737,000           2,065,000         1,215,000         2,780,000           3,355,000         2,933,000         4,175,000           (227,000)         (10,000)           34,293,000         39,569,000         45,172,000

# Advice to Applicants

ecause the foregoing program descriptions are brief and are under continuing review, the most efficient means of initial contact with the Hewlett Foundation is a letter of inquiry, addressed to the president. The letter should contain a brief statement of the applicant's need for funds and enough factual information to enable the staff to determine whether or not the application falls within the Foundation's areas of preferred interest or warrants consideration as a special project. There is no fixed minimum or maximum with respect to the size of grants; applicants should provide a straightforward statement of their needs and aspirations for support, taking into account other possible sources of funding.

Letters of application will be briefly acknowledged upon their receipt, but because the Foundation prefers to operate with a small staff, a more detailed response may in some cases be delayed. Applicants who have not had a substantive reply after a reasonable period of time should feel free to make a follow-up inquiry.

The Foundation recognizes that significant programs require time to demonstrate their value. It is therefore willing to consider proposals covering several years of support. While the Foundation will entertain specific projects in its areas of interest and will on occasion provide general support for organizations of special interest, it expects to work primarily through support of organizations active in its main programs. One exception is the family and community development program, under which the Foundation will make some small grants for specific projects that meet an immediate community need. Like most foundations, the Hewlett Foundation is unwilling to assume responsibility for the long-term support of any organization or activity.

All inquiries are reviewed first by the relevant program officer. He or she will either (1) in consultation with the president, decline those requests that seem unlikely to result in a project the Foundation can support; (2) request further information if a decision cannot be made on the basis of the initial inquiry; or (3) present the request to the rest of the staff for discussion.

Applicants who receive a favorable response to their initial inquiry will be invited to submit a formal proposal. Special supporting materials may be requested in some cases, but normally the proposal should include:

- A concise statement of the purpose of the request, its significance or uniqueness in relation to other work being done in the field, and the results sought.
- A budget for the program; an indication of other prospective funding sources and the amount requested of each; and a statement of the sponsoring organization's total budget and financial position. Applicants should indicate how they would continue a successful program once support from the Hewlett Foundation ceases.
- The identity and qualifications of the key personnel to be involved.
- A list of members of the governing body.
- Evidence of tax-exempt status.
- A statement to the effect that the proposal has been reviewed by the applicant's governing body and specifically approved for submission to the William and Flora Hewlett Foundation.

Normally the Foundation will not consider grants for basic research; capital construction funds; grants in the medical or health-related fields; or general fund-raising drives. It will not make grants or loans to individuals or grants intended directly or indirectly to support candidates for political office or to influence legislation.

Grants must be approved by the Board of Directors, which meets quarterly. Meeting dates are available upon request, but applicants should realize that even proposals which are to be recommended for Board approval cannot in every case be reviewed at the first meeting following their receipt. All inquiries and proposals are reported to the Board, including those declined at the staff level.

# Financial Statements

#### THE WILLIAM AND FLORA HEWLETT FOUNDATION

### Balance Sheet

	December 31	
	1993	1992
ASSETS		
Investment assets at market value:		
Hewlett-Packard Company common stock	\$222,938,000	\$240,580,000
Other equities	276,006,000	205,388,000
Fixed income securities	342,287,000	359,557,000
Money market fund	8,812,000	13,310,000
Receivables for interest and dividends Receivables/(payables) for pending	4,525,000	7,119,000
securities transactions	13,161,000	(10,703,000)
	867,729,000	815,251,000
Cash	28,000	25,000
Federal excise tax refundable	1,235,000	
Program related loan receivable	6,000,000	4,000,000
Office equipment and other assets	296,000	320,000
	\$875,288,000	\$819,596,000
LIABILITIES AND FOUNDATION PRINCIPAL		
Accounts payable and accrued liabilities	\$ 868,000	\$ 575,000
Grants payable	28,690,000	39,569,000
Federal excise tax payable currently		351,000
Deferred federal excise tax	4,477,000	4,170,000
	34,035,000	44,665,000
Foundation principal	841,253,000	774,931,000
	\$875,288,000	\$819,596,000

See accompanying notes to financial statements on pp. 86-87.

## Statement of Income, Expenses, and Changes in Foundation Principal

	<b>Year Ended December 31</b>	
	1993	1992
Revenue:		
Interest Dividends	\$ 26,950,000 9,245,000	\$ 26,637,000 7,940,000
	36,195,000	34,577,000
Expenses:		
Administrative expenses	2,508,000	2,782,000
Investment management expenses	2,202,000	1,986,000
	4,710,000	4,768,000
Excess of revenue over expenses	31,485,000	29,809,000
Net gain on investment portfolio	70,581,000	70,506,000
Federal excise tax expense on investment income	(1,407,000)	(2,657,000)
Grants authorized, net of cancellations	(34,337,000)	(34,397,000)
Excess of income over expenses for the year	66,322,000	63,261,000
Foundation principal at beginning of year	774,931,000	711,670,000
Foundation principal at end of year	\$ 841,253,000	\$ 774,931,000

# Statement of Changes in Cash

	Year Ended D	Year Ended December 31	
	1993	1992	
SOURCES OF CASH			
Excess of revenue over expenses	\$31,485,000	\$29,809,000	
Net liquidation of securities,			
including gain thereon	18,103,000	5,796,000	
Other	352,000	122,000	
	49,940,000	35,727,000	
USES OF CASH			
Payments on grants	45,182,000	31,305,000	
Program related loan	2,000,000	2,000,000	
Payment of federal excise tax			
on net investment income	2,686,000	2,302,000	
Capital asset additions	69,000	127,000	
	49,937,000	35,734,000	
Increase/(Decrease) in cash	\$3,000	(\$7,000)	

See accompanying notes to financial statements on pp. 86–87.

#### Notes to Financial Statements December 31, 1993 and 1992

## NOTE 1 Investments

Investments are carried at market value. The unrealized increase (decrease) in the market value of investments held at year end is determined by using market values at the beginning and end of the year, or on the date acquired if purchased or contributed to the Foundation during the year. Realized gains (losses) on sales are determined based on cost, with cost being determined on a specific identification basis.

The Foundation held 2,822,000 shares of Hewlett-Packard Company stock (approximately 1.1% of that Company's total outstanding shares) with a market price of \$79.00 per share at December 31, 1993. At December 31, 1992, the Foundation held 3,443,000 shares with a market price of \$69.875 per share.

The cost of investments held at the end of each year was \$632,197,000 in 1993 and \$585,213,000 in 1992. Net gain on investment portfolio includes realized gains of \$65,086,000 in 1993 and \$41,333,000 in 1992.

On January 19, 1994, the Foundation received a contribution of one million shares of Hewlett-Packard Company stock valued at \$85,000,000. This contribution is not reflected in the financial statements for the year ended December 31, 1993.

#### NOTE 2 Grants Payable

Grant requests are recorded as grants payable when they are approved by the Board of Directors. Some of the grants are payable in installments generally over a three-year period. Grants authorized but unpaid at December 31, 1993 are payable as follows:

Year Payable	Amount
1994	\$9,090,000
1995	16,880,000
1996 and thereafter	2,720,000
	\$28,690,000

The Foundation ordinarily makes its grants to organizations that qualify as public charities under the Internal Revenue Code. When distributions are made to non-qualifying organizations, the Foundation assumes the responsibility for ultimate public charity use.

#### NOTE 3 Federal Excise Tax

The William and Flora Hewlett Foundation is a private foundation and qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code. Private foundations are subject to a federal excise tax on net investment income and may reduce their federal excise tax rate from 2% to 1% by exceeding a certain payout target for the year. The Foundation qualified for the 1% rate in 1993. Required estimated excise tax payments exceeded tax liability, giving rise to tax refundable upon filing of the 1993 excise tax return. In 1992 the Foundation qualified for the 2% rate. Deferred federal excise tax arises from unrealized gains on investments.

The provisions for federal excise tax were as follows:

	1993	1992
Current	\$1,100,000	\$2,371,000
Deferred	307,000	286,000
	\$1,407,000	\$2,657,000

#### REPORT OF INDEPENDENT ACCOUNTANTS

#### To the Board of Directors of The William and Flora Hewlett Foundation

MARCH 18, 1994

In our opinion, the accompanying balance sheet and the related statements of income, expenses and changes in Foundation principal and of changes in cash present fairly, in all material respects, the financial position of The William and Flora Hewlett Foundation (the Foundation) at December 31, 1993 and 1992, and the results of its operations and its changes in cash for the years then ended in conformity with generally accepted accounting principles. These financial statements are the responsibility of the Foundation's management; our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits of these statements in accordance with generally accepted auditing standards which require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for the opinion expressed above.

PRICE WATERHOUSE SAN JOSE , CALIFORNIA Price Waterhouse

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