

*The William
and Flora
Hewlett
Foundation*

1996

A N N U A L R E P O R T

*The William
and Flora
Hewlett
Foundation*

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Statement of Purpose

The Hewlett Foundation, incorporated as a private foundation in the State of California in 1966, was established by the Palo Alto industrialist William R. Hewlett, his late wife, Flora Lamson Hewlett, and their eldest son, Walter B. Hewlett. The Foundation's broad purpose, as stated in the articles of incorporation, is to promote the well-being of mankind by supporting selected activities of a charitable nature, as well as organizations or institutions engaged in such activities.

The Foundation concentrates its resources on activities in education, performing arts, population, environment, conflict resolution, family and community development and U.S.-Latin American relations. The latter is an extension of the Foundation's long-standing interest in U.S.-Mexico relations. Its program definition will be developed in 1997. For further information, please contact the Foundation.

Some subareas of interest to the Foundation are listed in the program descriptions that follow. Special projects outside these broad areas may from time to time be approved by the Board of Directors. Although the Hewlett Foundation is a national foundation, with no geographic limit stipulated in its charter, a proportion of disburseable funds has been earmarked for projects in the San Francisco Bay Area.

The Foundation has a strong commitment to the voluntary, nonprofit sector. It will therefore assist efforts to improve the financial base and efficiency of organizations and institutions in this category. Proposals that show promise of stimulating private philanthropy are particularly welcome.

In its grantmaking decisions as well as in its interests and activities, the Hewlett Foundation is wholly independent of the Hewlett-Packard Company and the Hewlett-Packard Company Foundation.

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In 1996 three important new initiatives were undertaken by the Foundation.

The first, within the Foundation's *education* program, was a major initiative in Bay Area school reform through the Hewlett-Annenberg program, which was approved by the Board in 1995. This effort combines a \$15 million special gift from Chairman Emeritus William R. Hewlett, a \$10 million, five-year commitment of Foundation funds, and a \$25 million challenge grant from the Annenberg Foundation. These combined funds of \$50 million require a one-to-one match. When matched there will be a total of \$100 million for the project. The Bay Area School Reform Collaborative (BASRC) will implement the project in the six counties surrounding San Francisco Bay: San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa, and Marin.

This initiative, a partnership of the private and public sectors, is both a statement and a strategy. As a statement, it asserts that public education remains a cornerstone of American democracy, that failure to reform and renew public education will imperil the nation's future to a degree difficult to imagine, and that the investment made in public schools creates a solid foundation for what our children and our nation will become. As a strategy, BASRC reflects the need to do better in achieving both the egalitarian and the meritocratic aims of public education. By focusing on the Bay Area and its 1,200 schools and 740,000 public school students, BASRC is seeking reform on a regional scale. By mobilizing collaboration among a wide variety of stakeholders, BASRC reinforces the practical truth that school reform is a shared obligation—shared in the same sense that poorly performing schools and students produce shared defeats and their consequent public, as well as private, burdens, and the reverse.

BASRC is a membership organization open, after application, to those judged by their peers to share its vision and prepared to undertake authentic reform. After its first year and a half of existence, membership numbers 215, including 135 schools, fifty-five districts, and twenty-five support provider organizations (organizations able to bring to schools and districts special expertise of practical value in the difficult work of reform). To date, twenty-two

of the member schools have qualified for leadership status, becoming schools that have established their capacity to undertake deep and *comprehensive change and that will work with other schools to spread expertise about the reform process*. Once a school has qualified for leadership status, BASRC provides substantial grant funds to support internal reform and to encourage others to engage in this demanding work.

BASRC has proceeded forcefully and respectfully. By honoring the wisdom and experience of seasoned educators, instead of subscribing to the generalized criticism of schools and teaching, BASRC has overcome some of the resistance of the battle-weary and *has enlisted outstanding professional educators in the painstaking work of substantive and durable school improvement*. At the same time, BASRC has been forceful and rigorous about its definition of reform and the standard for qualifying for membership and leadership status. Its voice is thus clear about the need to take strategies that have proven successful in the most innovative and effective schools in the state and nation and to make those strategies the norm rather than the exception in the Bay Area.

In addition to the work BASRC does directly, it has been instrumental in bringing together independent and corporate foundations, representatives of university schools of education, and practitioner groups. In a domain characterized by independence and fragmentation, the creation of alliances and collaborations often permits individuals and agencies to attain a level of understanding and influence more significant than what would be achievable acting alone.

The second major initiative, approved by the Foundation's Board in 1996, was the Neighborhood Improvement Initiative, a new and significant part of our *family and community development* program. The past several years have witnessed a burgeoning of experimental efforts by foundations, public officials, and communities to find more effective solutions to the persistent problems of inefficient public services, unemployment, crumbling neighborhoods, crime, increasing welfare dependency, and family dissolution, especially in our inner cities. Across the country a growing number of foundations are seeking to foster collaboration among

institutions and actors from the public and private sectors engaged in strengthening the social, economic, and physical development of distressed communities and the families who live in them. These initiatives are based on the simple premise that the prosperity of our cities is interwoven with the destinies of their neighborhoods. They emphasize collaboration across programmatic boundaries and constitute a dramatic change in the way we conceive of and create sustainable opportunities in distressed communities.

The Hewlett Neighborhood Improvement Initiative is a seven-year, cross-disciplinary demonstration aimed at improving the human and physical conditions in a selected low-income neighborhood. The goals of the initiative are to connect singular uncoordinated efforts to address poverty-related issues; to improve the capacity of community-based organizations and residents to participate fully in the planning and renewal of their communities; to improve the capacity of Bay Area community foundations to support neighborhood improvement strategies; and to leverage significant public/private resources to support neighborhood change. Planning for the initiative began in 1995. In early 1996 the Hewlett Foundation examined ten distressed neighborhoods in the Bay Area to ascertain their readiness for improvement. Simultaneously, the capacity and interest of local community foundations as potential partners in the project were also studied.

In October 1996, the Community Foundation of Santa Clara County was awarded a \$255,000 planning grant to pilot the program in the Mayfair neighborhood of San Jose. The program supports community planning, training and technical assistance, program implementation, evaluation, and administration of community-building efforts. The Mayfair neighborhood of San Jose, selected for targeted attention, is characterized by high concentrations of families in poverty, deteriorated physical conditions, and poor social delivery systems, but it also offers excellent potential for revitalization based on high levels of citizen involvement, support of local government, and collaboration between and among the nonprofit, government, and private sectors. The initiative serves as the umbrella for the community development, family support and development, and affordable housing work of our family and community development program.

The third major initiative of the Foundation approved in 1996 was a new *U.S.-Latin American relations* program, which will absorb the current U.S.-Mexico program. It has long been clear that U.S.-Mexico relations exist within a much broader framework than the study of only a bilateral relationship makes possible. Following the end of the Cold War, the lens through which U.S. policymakers view Mexico and its Latin American neighbors to the south has refocused from traditional security concerns to a broader interest in such emerging trends as migration, economic liberalization, democratization, trade, narcotics trafficking, and growing regionalism. While the post-World War II role of the United States in the hemisphere as the regional superpower will prove to be less and less consequential over time, new policies have been slow to emerge. This poses a challenge to American leadership and Latin American governments as well.

Although not yet fully developed, the new U.S.-Latin American relations program will most likely focus, at least at first, on policy research with a requirement that the research work be collaborative in nature, thus encouraging links between U.S. and Latin American institutions and between institutions within Latin America itself. Proposals will be considered on an invitation-only basis. The program is at an early stage and its development will continue throughout 1997.*

In addition to the program changes described above, there were board and staff changes that took place in 1996. We were deeply saddened by the death of Loret M. Ruppe, who had served on the Foundation's Board from 1993 until her death in August 1996. Ambassador Ruppe brought a unique international perspective to the Board through her past affiliations as director of the Peace Corps and as Ambassador to Norway. We will greatly miss her sense of world issues, her exceptional judgment, and her dedication.

* The Foundation appointed Dr. David E. Lorey, formerly coordinator of the UCLA program on Mexico, as program officer for U.S.-Latin American Relations effective July 1, 1997, replacing Clint Smith, who served both as program officer and senior consultant in this area.

As noted in our 1995 annual report, the Board added two new members in 1996: Richard A. Hackborn, a retired vice president of the Hewlett-Packard Company whose last assignment in his thirty-three-year tenure was to oversee HP's Computer Products organization, most notably its launch of a highly successful printer business, and Mr. H. Irving Grousbeck, a cofounder and former president of Continental Cablevision, Inc., and venture capitalist, author, and currently lecturer at the Stanford Graduate School of Business.

In keeping with the Foundation's policy of term limits for program officers, Barbara Barclay, the performing arts program officer, completed her assignment with the Foundation in 1996. Ms. Barclay's work in the performing arts program was highly respected, and she was an enthusiastic and knowledgeable supporter of Bay Area music, theater, dance, and film. Her replacement is Melanie Beene, who brings seventeen years' consulting experience in the arts, both locally and nationally.

DAVID PIERPONT GARDNER
MARCH 1997

Programs

THE PROGRAM STATEMENTS that follow describe certain specific objectives of the Hewlett Foundation. Other goals are general; they underlie all the programs and all the funding choices the Foundation makes.

FIRST, the Foundation has a strong basic commitment to the voluntary, nonprofit sector that lies between industry and government. Institutions and organizations in this category serve purposes very important to our society, and their health and effectiveness are a major concern. Accordingly, the Foundation intends to assist efforts to strengthen their financial base and increase their efficiency.

SECOND, the Foundation also believes that private philanthropy is of great value to society. Support from individuals, businesses, or foundations can supplement government funding, and in some important cases can provide a benign and fruitful alternative. The Foundation considers the nation's habits of philanthropy, individual and corporate, less healthy than they could be, and therefore will be particularly receptive to proposals that show promise of stimulating private philanthropy.

A GREAT MANY excellent organizations meet both the general criteria suggested here and the specifications set forth in the statements that follow. Competition for the available funds is intense. The Foundation can respond favorably to only a small proportion of the worthwhile proposals it receives.

The conflict resolution program supports work in a wide variety of settings. The Foundation favors general support grants intended to strengthen the institutional capacity of conflict resolution organizations and research centers. The Foundation does not typically provide funding for start-up efforts. Grants are made in six categories.

Theory Development. The Foundation is particularly interested in university-based centers that demonstrate both a strong commitment to systematic, interdisciplinary research on conflict resolution and an ability to contribute to the improvement of conflict resolution practice.

Practitioner Organizations. The Foundation is interested primarily in opportunities to help effective and stable groups increase their capacity for growth and outreach. Grants support new approaches and new applications of conflict resolution methods, the achievement of greater organizational maturity, and efforts to enhance the overall impact of practitioner organizations on the field and on the communities in which they work. Candidates must demonstrate either (1) the capacity to deliver services to low-income citizens and other communities that historically have been underserved by the conflict resolution field; or (2) the capacity to extend the benefits of federal or state policy initiatives in conflict resolution to a wide audience.

Promotion of the Field. The Foundation supports organizations that (1) educate potential users about conflict resolution techniques; (2) serve the training and support needs of professionals and volunteers in the field of conflict resolution; and/or (3) promote the field as a whole.

Consensus Building, Public Participation, and Policymaking. Recognizing that the origins of conflict can often be traced to defects in methods of communication and participation in policymaking, the Foundation assists organizations that demonstrate means of improving the processes of decision making on issues of major pub-

Program Description

lic importance. The Foundation's interest is focused primarily on facilitating and convening organizations that explore new ways of approaching contentious public policy issues through collaborative action that addresses the legitimate interests of all involved parties.

International Conflict Resolution (by invitation). The Foundation supports a limited number of organizations that are working on the international application of conflict resolution techniques and the development of practice-relevant theory related to ethnic, ideological, religious, racial, and other intergroup conflict around the world. Applicants in this area are expected to show significant field-level involvement with conflicts that have international ramifications.

Emerging Issues. Each year the Foundation considers a small number of proposals addressed to emerging issues in the conflict resolution field. Grants support short-term projects responsive to such critical concerns as evaluation and professional standards. Applicants must demonstrate multi-institutional involvement in the work plan and project governance, as well as compelling evidence of likely impact on the field at large.

Proposals are considered according to the timetable below. Inquiries should be received by the Foundation at least three months prior to the deadline for submission of proposals.

	<u>Application Submitted by:</u>	<u>For Board Action in:</u>
Theory Development	January 1	April
Practitioner Organizations	October 1	January
Promotion of the Field	July 1	October
Consensus Building, Public Participation, and Policymaking	July 1	October
International Conflict Resolution	January 1	April
Emerging Issues	April 1	July

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Theory Development</i>				
UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California <i>For general support of the Center for Study and Resolution of Interracial/Interethnic Conflict (Awarded in 1994 for \$175,000)</i>		\$90,000	\$90,000	
■ CITY UNIVERSITY OF NEW YORK, JOHN JAY COLLEGE OF CRIMINAL JUSTICE New York, New York <i>For general support of the Dispute Resolution Consortium</i>	200,000		100,000	100,000
UNIVERSITY OF COLORADO, BOULDER Boulder, Colorado <i>For the Conflict Research Consortium (Awarded in 1995 for \$200,000)</i>		100,000	100,000	
■ GEORGE MASON UNIVERSITY Fairfax, Virginia <i>For general support of the Institute for Conflict Analysis and Resolution</i>	150,000		50,000	100,000
GEORGIA TECH RESEARCH CORPORATION Atlanta, Georgia <i>For general support of the Consortium on Negotiation and Conflict Resolution (Awarded in 1994 for \$200,000)</i>		95,000	95,000	
HARVARD UNIVERSITY LAW SCHOOL Cambridge, Massachusetts <i>For the Fellowship Program on Law and Negotiation (Awarded in 1995 for \$200,000)</i>		200,000	180,000	20,000
■ UNIVERSITY OF HAWAII, MATSUMURA INSTITUTE FOR PEACE Honolulu, Hawaii <i>For general support of the Program on Conflict Resolution</i>	120,000		40,000	80,000
UNIVERSITY OF MINNESOTA Minneapolis, Minnesota <i>For general support of the Conflict and Change Center (Awarded in 1994 for \$125,000)</i>		70,000	70,000	

■ Grants newly authorized in 1996 are highlighted by square boxes.

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ NORTHWESTERN UNIVERSITY, J.L. KELLOGG GRADUATE SCHOOL OF MANAGEMENT Evanston, Illinois <i>For general support of the Dispute Resolution Center</i>	90,000			90,000
OHIO STATE UNIVERSITY Columbus, Ohio <i>For general support of the Socio-Legal Program on Dispute Resolution and the Program for the Study of Conflict and Disputes within Long-Term Relationships (Awarded in 1994 for \$200,000)</i>		100,000	100,000	
RAND CORPORATION, INSTITUTE FOR CIVIL JUSTICE Santa Monica, California <i>For support of the program of research in alternative dispute resolution (Awarded in 1995 for \$250,000)</i>		160,000	80,000	80,000
RUTGERS-STATE UNIVERSITY OF NEW JERSEY New Brunswick, New Jersey <i>For general support of the Center for Negotiation and Conflict Resolution (Awarded in 1995 for \$150,000)</i>		150,000	150,000	
■ STANFORD UNIVERSITY Stanford, California <i>For general support of the Center on Conflict and Negotiation</i>	200,000		50,000	150,000
■ SYRACUSE UNIVERSITY, MAXWELL SCHOOL OF CITIZENSHIP AND PUBLIC AFFAIRS Syracuse, New York <i>For general support of the Program on the Analysis and Resolution of Conflict</i>	100,000		30,000	70,000
■ WAYNE STATE UNIVERSITY Detroit, Michigan <i>For general support of the Program on Mediating Theory and Democratic Systems</i>	150,000		50,000	100,000
UNIVERSITY OF WISCONSIN, MADISON, INSTITUTE FOR LEGAL STUDIES Madison, Wisconsin <i>For general support of the Disputes Processing Research Program (Awarded in 1994 for \$180,000)</i>		60,000		60,000

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
Practitioner Organizations				
BERKELEY DISPUTE RESOLUTION SERVICE Berkeley, California <i>For general support</i> (Awarded in 1994 for \$120,000)		80,000	80,000	
CATHOLIC CHARITIES OF THE EAST BAY, DIOCESE OF OAKLAND Oakland, California <i>For the Victim-Offender Reconciliation Program</i> (Awarded in 1995 for \$120,000)		70,000	40,000	30,000
CENTER FOR EMPLOYMENT DISPUTE RESOLUTION Chicago, Illinois <i>For general support</i> (Awarded in 1995 for \$250,000)		100,000	100,000	
COOPERATIVE SOLUTIONS Bloomington, Minnesota <i>For general support</i> (Awarded in 1995 for \$150,000)		75,000	75,000	
FAMILY INSTITUTE OF CAMBRIDGE Watertown, Massachusetts <i>For general support of the Public Conversations Project</i> (Awarded in 1995 for \$150,000)		50,000	50,000	
■ FRIENDS OUTSIDE San Jose, California <i>For the Creative Conflict Resolutions Program</i>	150,000		105,000	45,000
MARTIN LUTHER KING LEGACY ASSOCIATION Los Angeles, California <i>For general support of the Martin Luther King Dispute Resolution Center</i> (Awarded in 1994 for \$100,000)		40,000	40,000	
■ UNIVERSITY OF OREGON Eugene, Oregon <i>For general support of the Conflict Resolution Center</i>	75,000		40,000	35,000
■ SAN DIEGO MEDIATION CENTER San Diego, California <i>For pilot distribution of the Dispute Resolution Case Management System</i>	25,000		25,000	

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Promotion of the Field</i>				
ACADEMY OF FAMILY MEDIATORS				
Lexington, Massachusetts				
<i>For general support</i>				
<i>(Awarded in 1994 for \$175,000)</i>				
		100,000	100,000	
ASSOCIATION OF FAMILY AND CONCILIATION COURTS				
Madison, Wisconsin				
<i>For general support</i>				
<i>(Awarded in 1993 for \$175,000)</i>				
		35,000	35,000	
■ <i>For general support</i>	150,000			150,000
CENTER FOR SOCIAL GERONTOLOGY				
Ann Arbor, Michigan				
<i>For support of the Adult Guardianship Mediation Project</i>				
	150,000		150,000	
COMMUNITY BOARD PROGRAM				
San Francisco, California				
<i>For the Juvenile Detention and Correctional Facilities Project and the Mediation Awareness Project</i>				
	50,000		50,000	
CORNELL UNIVERSITY, SCHOOL OF INDUSTRIAL AND LABOR RELATIONS				
Ithaca, New York				
<i>For the Workplace Solutions Project</i>				
<i>(Awarded in 1995 for \$50,000)</i>				
		50,000	50,000	
CREATIVE RESPONSE TO CONFLICT				
Nyack, New York				
<i>For general support</i>				
<i>(Awarded in 1995 for \$250,000)</i>				
		250,000	200,000	50,000
EDUCATORS FOR SOCIAL RESPONSIBILITY				
Cambridge, Massachusetts				
<i>For general support</i>				
<i>(Awarded in 1993 for \$200,000)</i>				
		50,000	50,000	
■ <i>For general support</i>	300,000		300,000	
FAMILY MEDIATION CANADA				
Guelph, Ontario, Canada				
<i>For general support</i>				
<i>(Awarded in 1994 for \$70,000)</i>				
		35,000	35,000	
HARVARD UNIVERSITY, SCHOOL OF PUBLIC HEALTH				
Boston, Massachusetts				
<i>For general support of the Program for Health Care Negotiation and Conflict Resolution</i>				
<i>(Awarded in 1994 for \$225,000)</i>				
		75,000	75,000	

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
INDIAN DISPUTE RESOLUTION SERVICES				
Sacramento, California				
<i>For general support</i>				
<i>(Awarded in 1993 for \$250,000)</i>		50,000	50,000	
■ <i>For general support</i>	250,000			250,000
INSTITUTE FOR CHRISTIAN CONCILIATION				
Billings, Montana				
<i>For the Partners in Peacemaking Program</i>	150,000		150,000	
KEY BRIDGE FOUNDATION FOR EDUCATION AND RESEARCH				
Arlington, Virginia				
<i>To establish a mediation training and information center for the Americans with Disabilities Act</i>	150,000		150,000	
UNIVERSITY OF MINNESOTA				
Saint Paul, Minnesota				
<i>For the Center for Restorative Justice and Mediation</i>				
<i>(Awarded in 1995 for \$200,000)</i>		100,000		100,000
NATIONAL ASSOCIATION FOR COMMUNITY MEDIATION				
Washington, D.C.				
<i>For general support</i>				
<i>(Awarded in 1994 for \$140,000)</i>		70,000	70,000	
NATIONAL CONFERENCE ON PEACEMAKING AND CONFLICT RESOLUTION				
Fairfax, Virginia				
<i>For general support</i>	60,000		40,000	20,000
NATIONAL INSTITUTE FOR DISPUTE RESOLUTION				
Washington, D.C.				
<i>For the National Association for Mediation in Education</i>				
<i>(Awarded in 1994 for \$250,000)</i>		155,000	55,000	100,000
<i>For general support</i>				
<i>(Awarded in 1995 for \$375,000)</i>		375,000	375,000	
■ <i>For general support</i>	400,000		400,000	
NATIONAL PEACE ACADEMY FOUNDATION				
Washington, D.C.				
<i>For general support</i>				
<i>(Awarded in 1995 for \$200,000)</i>		110,000	60,000	50,000

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
NETWORK: INTERACTION FOR CONFLICT RESOLUTION Waterloo, Ontario, Canada <i>For general support (Awarded in 1994 for \$150,000)</i>		50,000	50,000	
NEW YORK UNIVERSITY, ROBERT F. WAGNER GRADUATE SCHOOL OF PUBLIC SERVICE New York, New York <i>For the Wagner School Initiative in Conflict Resolution (Awarded in 1995 for \$200,000)</i>		75,000	75,000	
■ OHIO COMMISSION ON DISPUTE RESOLUTION AND CONFLICT MANAGEMENT Columbus, Ohio <i>For planning to establish the National Council of Dispute Resolution Programs</i>	15,000		15,000	
SOCIETY OF PROFESSIONALS IN DISPUTE RESOLUTION Washington, D.C. <i>For general support (Awarded in 1995 for \$150,000)</i>		80,000	50,000	30,000
■ TEACHERS COLLEGE, COLUMBIA UNIVERSITY, INTERNATIONAL CENTER FOR COOPERATION AND CONFLICT RESOLUTION New York, New York <i>For the Parent-Child Conflict Resolution Training in Day Care Project</i>	300,000		130,000	170,000
UNITED STATES FOUNDATION FOR IIRA Washington, D.C. <i>For the Project on Dispute Resolution in Employment (Awarded in 1995 for \$100,000)</i>		50,000	50,000	
■ WORKPLACE SOLUTIONS Red Hook, New York <i>For general support</i>	270,000			270,000

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Consensus Building, Public Participation, and Policy Making</i>				
■ CALIFORNIA BUDGET PROJECT Sacramento, California <i>For the Budget and Governance Reform Project</i>	60,000		20,000	40,000
■ CALIFORNIA STATE UNIVERSITY, SACRAMENTO, CENTER FOR CALIFORNIA STUDIES Sacramento, California <i>For the California Budget Simulation</i>	60,000		30,000	30,000
<i>For support of the California Governance Consensus Project (Awarded in 1995 for \$150,000)</i>		50,000	50,000	
■ <i>For the California Governance Consensus Project</i>	300,000		200,000	100,000
■ UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California <i>For activities related to California governance reform conducted by the UCLA Extension Public Policy Program</i>	150,00		100,000	50,000
CENTER FOR THE COMMON GOOD Oakland, California <i>For general support (Awarded in 1995 for \$200,000)</i>		100,000	100,000	
CONSENSUS BUILDING INSTITUTE Cambridge, Massachusetts <i>For general support (Awarded in 1994 for \$150,000)</i>		60,000	60,000	
INTERNATIONAL ASSOCIATION OF PUBLIC PARTICIPATION PRACTITIONERS Portland, Oregon <i>For general support (Awarded in 1994 for \$120,000)</i>		60,000	60,000	
■ LEAGUE OF WOMEN VOTERS OF CALIFORNIA, EDUCATION FUND Sacramento, California <i>For the Constitutional Reform Citizen Education Project</i>	100,000		80,000	20,000
NORTH DAKOTA CONSENSUS COUNCIL Bismarck, North Dakota <i>For general support (Awarded in 1994 for \$200,000)</i>		100,000	100,000	

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>International Conflict Resolution</i>				
ASIA FOUNDATION San Francisco, California <i>For conflict resolution activities (Awarded in 1994 for \$250,000)</i>		75,000	75,000	
■ CARTER CENTER Atlanta, Georgia <i>For general support</i>	150,000		100,000	50,000
CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES Washington, D.C. <i>For the Conflict Resolution Project (Awarded in 1994 for \$300,000)</i>		75,000	75,000	
CENTRE FOR INTERNATIONAL UNDERSTANDING Saint Louis, Missouri <i>For general support (Awarded in 1995 for \$100,000)</i>		30,000	30,000	
EASTERN MENNONITE UNIVERSITY, INSTITUTE FOR CONFLICT STUDIES AND PEACE BUILDING Harrisonburg, Virginia <i>For general support of the Conflict Analysis and Transformation Program (Awarded in 1995 for \$200,000)</i>		135,000	65,000	70,000
■ HARVARD UNIVERSITY, CENTER FOR INTERNATIONAL STUDIES Cambridge, Massachusetts <i>For the Program on International Conflict Analysis and Resolution</i>	200,000		200,000	
INSTITUTE FOR EAST-WEST STUDIES New York, New York <i>For general support (Awarded in 1995 for \$300,000)</i>		300,000	200,000	100,000
■ INSTITUTE FOR MULTI-TRACK DIPLOMACY Washington, D.C. <i>For general support</i>	150,000		150,000	
INSTITUTE OF WORLD AFFAIRS Salisbury, Connecticut <i>For conflict resolution programs (Awarded in 1995 for \$100,000)</i>		50,000	50,000	

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
INTERNATIONAL DISPUTE RESOLUTION ASSOCIATES Washington, D.C. <i>For general support (Awarded in 1995 for \$100,000)</i>		25,000	25,000	
JOHNS HOPKINS UNIVERSITY, SCHOOL OF ADVANCED INTERNATIONAL STUDIES Washington, D.C. <i>For support of the Project on Processes of International Negotiation (Awarded in 1995 for \$200,000)</i>		160,000	65,000	95,000
■ KETTERING FOUNDATION Washington, D.C. <i>For the Program on International Affairs</i>	200,000		200,000	
■ PARTNERS FOR DEMOCRATIC CHANGE San Francisco, California <i>For general support</i>	100,000		100,000	
SEARCH FOR COMMON GROUND Washington, D.C. <i>For general support (Awarded in 1994 for \$150,000)</i>		25,000	25,000	
■ UNITED NATIONS INSTITUTE FOR TRAINING AND RESEARCH Geneva, Switzerland <i>For the Fellowship Programme in Peacemaking and Preventive Diplomacy</i>	75,000		50,000	25,000
UNIVERSITY OF VIRGINIA Charlottesville, Virginia <i>For general support of the Center for the Study of Mind and Human Interaction (Awarded in 1995 for \$200,000)</i>		120,000	60,000	60,000
■ WORLD CONFERENCE ON RELIGION AND PEACE New York, New York <i>For the Standing Commission on Conflict Transformation</i>	150,000		125,000	25,000
<i>Emerging Issues</i>				
■ HOFSTRA UNIVERSITY, SCHOOL OF LAW Hempstead, New York <i>For a mediation training design project</i>	130,000		65,000	65,000

Conflict Resolution: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Other</i>				
UNIVERSITY OF CALIFORNIA, SAN DIEGO, INSTITUTE ON GLOBAL CONFLICT AND COOPERATION La Jolla, California <i>For the Research Program on Building Regional Environmental Cooperation (Awarded in 1994 for \$300,000)</i>		175,000	175,000	
GEORGETOWN UNIVERSITY LAW CENTER Washington, D.C. <i>For the Commission on Ethics and Standards of Practice (Awarded in 1995 for \$100,000)</i>		100,000	50,000	50,000
UNIVERSITY OF GEORGIA, CARL VINSON INSTITUTE OF GOVERNMENT Athens, Georgia <i>For the Mediator Evaluation Project (Awarded in 1995 for \$90,000)</i>		90,000	90,000	
■ UNIVERSITY OF HAWAII, DEPARTMENT OF URBAN AND REGIONAL PLANNING Honolulu, Hawaii <i>For a volume entitled "Finding the Common Good: Case Studies in Consensus-Building and the Resolution of Natural Resource Controversies"</i>	25,000			25,000
■ STANFORD UNIVERSITY, STANFORD LAW SCHOOL Stanford, California <i>To help endow a chaired professorship in conflict resolution</i>	500,000		500,000	
TEMPLE UNIVERSITY, DEPARTMENT OF RHETORIC AND COMMUNICATION Philadelphia, Pennsylvania <i>For support of the Comprehensive Peer Mediation Evaluation Project (Awarded in 1995 for \$250,000)</i>		50,000		50,000
TOTAL CONFLICT RESOLUTION	\$5,855,000	\$4,930,000	\$7,780,000	\$3,005,000

Grants in the education program are made to promote long-term institutional development, reform, or renewal in the program areas described below. Proposals of exceptional merit that do not fit directly within the stated areas may be considered if they serve several institutions or otherwise advance the Foundation's interest in improving elementary, secondary, or higher education. Detailed guidelines are available on request for programs marked with an asterisk (*). Applicants are encouraged to submit a brief letter of inquiry for review before preparing a complete proposal. Grants are awarded on the basis of merit, educational importance, relevance to program goals, and cost-effectiveness.

Higher Education

Grantmaking in this program focuses on higher education in the United States, with the exception of U.S.-Mexico projects. Grants are generally limited to liberal arts-oriented institutions and research universities, with emphasis on established institutions with strong records of exemplary work. Ideas that can also be applied to other such institutions are preferred.

*Pluralism and Unity (by invitation)** Colleges and universities play a significant role in fostering appreciation for both diversity and the common good in our society. The Foundation supports such efforts and seeks to nurture ideas and programs that unify individuals and groups while respecting the differences between and among them. Invited institutions must demonstrate a commitment to these twin goals of pluralism and unity in their own policies, practices, and aspirations.

*Liberal Arts Institutions.** The Foundation supports private liberal arts colleges and small to mid-sized comprehensive private universities that engage in self-assessment, planning, and program development to enhance the teaching-learning relationship, with emphasis on programs that strengthen the connection among liberal learning, students' career potential and goals, responsible citizenship, and personal development. This program favors but is not limited to institutions in California, Oregon, and Washington.

Program Description

*General Education in Research Universities.** The Foundation supports initiatives in research universities to rethink and improve the general education of lower-division undergraduates. Proposals that focus on student outcomes, faculty incentives, teaching innovations, and especially the general education curriculum taken as a whole will be favored over those concerned only with curriculum design.

Tools of Scholarship. A limited number of grants are made to research library “umbrella” organizations (but not to individual libraries) and similar collaborative entities that improve scholarly communication through the cost-effective use of technology.

Historically Black Private Colleges and Universities. In partnership with the Bush Foundation, the Foundation supports an ongoing program of grants for capital needs and faculty development at private black colleges and universities. This program is administered by the Bush Foundation.

U.S.-Mexico Studies (by invitation). The Foundation makes grants to strengthen comprehensive research programs in Mexico and the United States that focus on the varied relationships between these countries. Selected grants are made to specialized projects that complement the efforts of more comprehensive institutions and programs. Beginning in 1997, the U.S.-Mexico Studies category will become part of a larger separate program on U.S.-Latin American relations.

Elementary and Secondary Education

Grants in the K-12 area are generally limited to California programs, with primary emphasis on public schools in the San Francisco Bay Area. Proposals are expected to aim for systemic significance in an effort to advance educational reform. In this program the Foundation favors schools, school districts, colleges, universities, and groupings of these entities. Third parties may be considered when a school or district is an advocate and a beneficiary of their work.

The Hewlett and Annenberg foundations in May 1995 jointly awarded a \$50 million, five-year matching challenge grant to the *Bay Area School Reform Collaborative for public school reform* in the counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa, and Marin. Programs that reinforce the reform objectives of the Collaborative will be given highest priority in the consideration of proposals in the categories described below.

The Teaching Career. The Foundation supports programs carried out by colleges, universities, school districts, or other agencies in partnership with schools dedicated to strengthening the profession of teaching and to improving teachers' career preparation and professional development. The Foundation seeks to support new and effective approaches to preservice training, initiation into classroom practice, continued development, and professional standards to enhance teacher effectiveness and the career attractiveness of teaching.

School Site and District Leadership. The Foundation supports efforts to build leadership and management skills among public school superintendents, district staff, and school principals and, when integral to a school reform strategy, among school board members, teachers, and school teams. In adopting this emphasis, the Foundation explicitly recognizes the growing challenge of school leadership and management in sustaining school reform, the importance of building coherent strategies from a set of reform options, the value in applying skills developed in other areas to schools, and the need to strengthen leaders and managers as professionals on whom much of the success of school reform depends.

Educational Policy. The Foundation funds organizations and efforts that promise to contribute significantly to policy studies affecting school reform and improved public elementary and secondary education nationally and in California.

The Foundation will not consider requests to fund student aid, construction, equipment and computer purchases, education research, basic scientific research, health research, or health education programs. In general, the Foundation discourages requests benefiting only individual institutions except as these may explicitly relate to stated Foundation objectives.

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Higher Education</i>				
<i>Pluralism and Unity</i>				
<i>To support pluralism and unity programs at colleges and universities</i>				
BARNARD COLLEGE New York, New York (Awarded in 1995 for \$86,000)		\$41,000		\$41,000
BRYN MAWR COLLEGE Bryn Mawr, Pennsylvania (Awarded in 1994 for \$100,000)		50,000	50,000	
CALIFORNIA STATE UNIVERSITY, NORTHRIDGE Northridge, California (Awarded in 1995 for \$90,000)		45,000	45,000	
■ UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California	100,000		50,000	50,000
■ UNIVERSITY OF CALIFORNIA, DAVIS Davis, California	100,000		75,000	25,000
■ UNIVERSITY OF CALIFORNIA, IRVINE Irvine, California	100,000		45,000	55,000
■ UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California	100,000		50,000	50,000
■ UNIVERSITY OF CALIFORNIA, RIVERSIDE Riverside, California	100,000		50,000	50,000
■ UNIVERSITY OF CALIFORNIA, SAN DIEGO, THURGOOD MARSHALL COLLEGE La Jolla, California	100,000		50,000	50,000
■ UNIVERSITY OF CALIFORNIA, SAN FRANCISCO San Francisco, California	90,000		45,000	45,000
■ UNIVERSITY OF CALIFORNIA, SANTA BARBARA Santa Barbara, California	86,000		21,000	65,000
■ UNIVERSITY OF CALIFORNIA, SANTA CRUZ Santa Cruz, California	125,000		64,000	61,000

■ Grants newly authorized in 1996 are highlighted by square boxes.

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ HERITAGE COLLEGE Toppenish, Washington	75,000		35,000	40,000
■ HOBART AND WILLIAM SMITH COLLEGES Geneva, New York	50,000		50,000	
UNIVERSITY OF MICHIGAN Ann Arbor, Michigan (Awarded in 1995 for \$100,000)		50,000		50,000
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL Chapel Hill, North Carolina (Awarded in 1995 for \$80,000)		35,000	35,000	
■ PERSEPHONE PRODUCTIONS Arlington, Virginia <i>For production of a segment on the PBS program "To the Contrary"</i>	21,000		21,000	
POMONA COLLEGE Claremont, California (Awarded in 1994 for \$84,000)		30,000	30,000	
UNIVERSITY OF REDLANDS Redlands, California (Awarded in 1994 for \$100,000)		50,000		50,000
■ SMITH COLLEGE Northampton, Massachusetts	100,000		55,000	45,000
STANFORD UNIVERSITY Stanford, California (Awarded in 1995 for \$75,000)		37,000		37,000
SWARTHMORE COLLEGE Swarthmore, Pennsylvania (Awarded in 1994 for \$100,000)		54,000	54,000	
WELLESLEY COLLEGE Wellesley, Massachusetts (Awarded in 1995 for \$80,000)		40,000	40,000	
WESLEYAN UNIVERSITY Middletown, Connecticut (Awarded in 1994 for \$100,000)		50,000	50,000	

**Education:
Organizations
(by Category)**

**Grants
Authorized
1996**

**Unpaid
Grants
12/31/95**

**Payments
Made
1996**

**Unpaid
Grants
12/31/96**

Liberal Arts Colleges: International Studies

*To support multidisciplinary
international studies*

**BARD COLLEGE, PROGRAM IN
INTERNATIONAL EDUCATION**
Annandale-on-Hudson, New York
(Awarded in 1995 for \$75,000)

25,000 25,000

BELOIT COLLEGE
Beloit, Wisconsin
(Awarded in 1995 for \$75,000)

35,000 35,000

BENNINGTON COLLEGE
Bennington, Vermont
For the faculty development seminar
(Awarded in 1994 for \$75,000)

25,000 25,000

DREW UNIVERSITY
Madison, New Jersey
For the Second Year Seminar Program
(Awarded in 1994 for \$80,000)

40,000 40,000

FIVE COLLEGES, INC.
Amherst, Massachusetts
*For the Program in Peace and World
Security Studies*
(Awarded in 1994 for \$100,000)

50,000 50,000

GRINNELL COLLEGE
Grinnell, Iowa
(Awarded in 1993 for \$75,000)

35,000 35,000

MOUNT HOLYOKE COLLEGE
South Hadley, Massachusetts
(Awarded in 1995 for \$75,000)

37,000 37,000

**PITZER COLLEGE, OFFICE OF
THE PRESIDENT**
Claremont, California
(Awarded in 1995 for \$71,000)

35,000 35,000

ROLLINS COLLEGE
Winter Park, Florida
(Awarded in 1995 for \$75,000)

45,000 30,000 15,000

VASSAR COLLEGE
Poughkeepsie, New York
(Awarded in 1995 for \$75,000)

37,000 37,000

WHEATON COLLEGE
Norton, Massachusetts
(Awarded in 1993 for \$80,000)

25,000 25,000

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
Research Universities: International and Area Studies				
<i>To supplement discretionary fund endowments for area and international studies</i>				
UNIVERSITY OF PITTSBURGH, UNIVERSITY CENTER FOR INTERNATIONAL STUDIES Pittsburgh, Pennsylvania (Awarded in 1992 for \$300,000)		300,000	50,000	250,000
UNIVERSITY OF SOUTHERN CALIFORNIA, SCHOOL OF INTERNATIONAL RELATIONS Los Angeles, California (Awarded in 1992 for \$300,000)		116,000		116,000
Research Universities: General Education				
<i>To support programs in general education</i>				
■ UNIVERSITY OF ARIZONA Tuscon, Arizona	150,000		75,000	75,000
UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California (Awarded in 1994 for \$135,000)		60,000		60,000
UNIVERSITY OF CALIFORNIA, SAN DIEGO La Jolla, California (Awarded in 1994 for \$145,000)		80,000		80,000
UNIVERSITY OF CALIFORNIA, SANTA BARBARA, COLLEGE OF LETTERS AND SCIENCE Santa Barbara, California (Awarded in 1995 for \$150,000)		150,000	75,000	75,000
CARNEGIE MELLON UNIVERSITY Pittsburgh, Pennsylvania (Awarded in 1995 for \$150,000)		75,000		75,000
UNIVERSITY OF CHICAGO Chicago, Illinois (Awarded in 1995 for \$150,000)		75,000		75,000
COLUMBIA UNIVERSITY New York, New York (Awarded in 1994 for \$145,000)		70,000	70,000	
CORNELL UNIVERSITY Ithaca, New York (Awarded in 1994 for \$145,000)		95,000	50,000	45,000

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ UNIVERSITY OF ILLINOIS Champaign, Illinois	150,000		75,000	75,000
JOHNS HOPKINS UNIVERSITY Baltimore, Maryland (Awarded in 1995 for \$150,000)		150,000	75,000	75,000
■ LEHIGH UNIVERSITY Bethlehem, Pennsylvania	150,000		50,000	100,000
■ UNIVERSITY OF MARYLAND College Park, Maryland	150,000		75,000	75,000
■ UNIVERSITY OF MIAMI Coral Gables, Florida	150,000		75,000	75,000
NORTHWESTERN UNIVERSITY Evanston, Illinois (Awarded in 1994 for \$150,000)		75,000	75,000	
■ UNIVERSITY OF PITTSBURGH Pittsburgh, Pennsylvania	150,000		75,000	75,000
■ PRINCETON UNIVERSITY Princeton, New Jersey	150,000		75,000	75,000
■ UNIVERSITY OF ROCHESTER Rochester, New York	100,000		50,000	50,000
■ RUTGERS-STATE UNIVERSITY OF NEW JERSEY Newark, New Jersey	30,000		30,000	
UNIVERSITY OF SOUTHERN CALIFORNIA Los Angeles, California (Awarded in 1994 for \$150,000)		75,000	75,000	
STATE UNIVERSITY OF NEW YORK, ALBANY, RESEARCH FOUNDATION Albany, New York (Awarded in 1995 for \$150,000)		150,000	50,000	100,000
UNIVERSITY OF UTAH, OFFICE OF UNDERGRADUATE STUDIES Salt Lake City, Utah (Awarded in 1994 for \$150,000)		75,000	75,000	
■ UNIVERSITY OF VIRGINIA Charlottesville, Virginia	130,000		65,000	65,000
■ WASHINGTON UNIVERSITY, COLLEGE OF ARTS AND SCIENCES Saint Louis, Missouri	150,000		75,000	75,000

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Liberal Arts Colleges: Self-Renewal Program</i>				
<i>To help endow presidential discretionary funds at liberal arts colleges</i>				
ALBION COLLEGE Albion, Michigan (Awarded in 1993 for \$250,000)		250,000	250,000	
AMHERST COLLEGE Amherst, Massachusetts (Awarded in 1993 for \$250,000)		171,000	171,000	
AUSTIN COLLEGE Sherman, Texas (Awarded in 1993 for \$250,000)		250,000	45,000	205,000
BIRMINGHAM-SOUTHERN COLLEGE Birmingham, Alabama (Awarded in 1992 for \$250,000)		84,000	84,000	
CONNECTICUT COLLEGE New London, Connecticut (Awarded in 1993 for \$250,000)		250,000	70,000	180,000
LEWIS AND CLARK COLLEGE Portland, Oregon (Awarded in 1994 for \$125,000)		125,000	33,000	92,000
MILLS COLLEGE Oakland, California (Awarded in 1993 for \$250,000)		178,000	82,000	96,000
SCRIPPS COLLEGE Claremont, California (Awarded in 1993 for \$100,000)		46,000	32,000	14,000
VASSAR COLLEGE Poughkeepsie, New York (Awarded in 1993 for \$250,000)		146,000	146,000	
WELLS COLLEGE Aurora, New York (Awarded in 1993 for \$250,000)		40,000	40,000	
WOFFORD COLLEGE Spartanburg, South Carolina (Awarded in 1994 for \$125,000)		125,000		125,000

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Tools of Scholarship</i>				
COMMISSION ON PRESERVATION AND ACCESS Washington, D.C. <i>For general support</i> <i>(Awarded in 1995 for \$250,000)</i>		110,000		110,000
RESEARCH LIBRARIES GROUP Mountain View, California <i>For research and service development</i> <i>activities</i> <i>(Awarded in 1994 for \$245,000)</i>		120,000	120,000	
<i>Historically Black Private Colleges</i>				
■ BUSH FOUNDATION Saint Paul, Minnesota <i>For the support of black college</i> <i>and university capital campaign,</i> <i>faculty development, and the</i> <i>administrative leadership program</i> <i>Support provided to Talladega College,</i> <i>Voorhees College, Tougaloo College,</i> <i>Morris College, Claflin College, Dillard</i> <i>University, LeMoyne-Owen College,</i> <i>Saint Augustine College, Morehouse College,</i> <i>Johnson C. Smith University, and Saint Paul's</i> <i>College</i>	189,000	832,000	730,000	291,000
<i>U.S.-Mexico Studies</i>				
■ UNIVERSITY OF CALIFORNIA, BERKELEY, CENTER FOR LATIN AMERICAN STUDIES Berkeley, California <i>For the U.S.-Mexico Program</i>	225,000		75,000	150,000
UNIVERSITY OF CALIFORNIA, DAVIS, INSTITUTE FOR GOVERNMENTAL AFFAIRS Davis, California <i>For a study of the impact of NAFTA on</i> <i>Mexican agriculture</i> <i>(Awarded in 1994 for \$200,000)</i>		100,000	100,000	
UNIVERSITY OF CALIFORNIA, LOS ANGELES, LATIN AMERICAN CENTER Los Angeles, California <i>For the program on U.S.-Mexico relations</i> <i>(Awarded in 1995 for \$525,000)</i>		525,000	525,000	

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
UNIVERSITY OF CALIFORNIA, SAN DIEGO, La Jolla, California <i>For the Center for U.S.-Mexican Studies (Awarded in 1995 for \$400,000)</i>		200,000	200,000	
CARNEGIE MELLON UNIVERSITY Pittsburgh, Pennsylvania <i>For a joint U.S.-Mexico project (Awarded in 1994 for \$150,000)</i>		75,000	75,000	
CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES Washington, D.C. <i>For the Mexico Project (Awarded in 1994 for \$300,000)</i>		200,000	100,000	100,000
UNIVERSITY OF CHICAGO Chicago, Illinois <i>For the Mexican Studies Program (Awarded in 1994 for \$450,000)</i>		300,000	150,000	150,000
CITY UNIVERSITY OF NEW YORK, BILDNER CENTER FOR WESTERN HEMISPHERE STUDIES New York, New York <i>For the program on U.S.-Mexico relations (Awarded in 1995 for \$450,000)</i>		300,000	150,000	150,000
COLUMBIA UNIVERSITY, INSTITUTE OF LATIN AMERICAN AND IBERIAN STUDIES New York, New York <i>For the Mexican Studies Program (Awarded in 1995 for \$375,000)</i>		250,000	250,000	
COUNCIL ON FOREIGN RELATIONS New York, New York <i>For the Mexico Study Project (Awarded in 1995 for \$12,500)</i>		12,500	12,500	
EL COLEGIO DE LA FRONTERA NORTE Chula Vista, California <i>For general support (Awarded in 1994 for \$450,000)</i>		300,000	150,000	150,000
EL COLEGIO DE MEXICO Mexico City, Mexico <i>For the Mexico-U.S. Studies Program (Awarded in 1993 for \$300,000)</i>		100,000	100,000	

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ EL PASO COMMUNITY FOUNDATION El Paso, Texas <i>For a U.S.-Mexico collaboration to encourage philanthropy</i>	25,000		25,000	
■ FUNDACION MEXICO-ESTADOS UNIDOS PARA LA CIENCIA Deleg. Magdalena Contrera, Mexico <i>For the program of graduate fellowships for Mexican students to study in the U.S.</i>	300,000		100,000	200,000
■ GEORGETOWN UNIVERSITY, CENTER FOR LATIN AMERICAN STUDIES Washington, D.C. <i>For the Mexico Project</i>	300,000		200,000	100,000
■ HARVARD UNIVERSITY, JOHN F. KENNEDY SCHOOL OF GOVERNMENT Cambridge, Massachusetts <i>For the U.S.-Mexico Press and Public Policy Program</i>	25,000			25,000
■ IAPA PRESS INSTITUTE Miami, Florida <i>For a press seminar on U.S./Mexico border issues</i>	7,500		7,500	
■ INSTITUTE FOR INTERNATIONAL ECONOMICS Washington, D.C. <i>For the project entitled "Prospects for Western Hemisphere Free Trade"</i>	42,500		42,500	
INSTITUTE OF INTERNATIONAL EDUCATION New York, New York <i>For fellowship grants to Mexicans to study in the United States (Awarded in 1995 for \$300,000)</i>		200,000	100,000	100,000
INSTITUTO TECNOLOGICO AUTONOMO DE MEXICO Mexico City, Mexico <i>For the Program on U.S.-Mexico Policy Relations (Awarded in 1993 for \$405,000)</i>		135,000	135,000	
■ INSTITUTO TECNOLOGICO Y DE ESTUDIOS SUPERIORES DE MONTERREY, CENTER FOR STRATEGIC STUDIES Monterrey, Nuevo León, Mexico <i>For the U.S.-Mexico Program</i>	300,000		100,000	200,000

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
JOHNS HOPKINS UNIVERSITY, LATIN AMERICAN STUDIES PROGRAM Washington, D.C. <i>For the program on U.S.-Mexico relations (Awarded in 1995 for \$450,000)</i>		300,000	300,000	
■ UNIVERSITY OF NEW MEXICO Albuquerque, New Mexico <i>For the International Transboundary Resources Center</i>	300,000		100,000	200,000
NORTH AMERICAN INSTITUTE Santa Fe, New Mexico <i>For general support (Awarded in 1994 for \$375,000)</i>		125,000	125,000	
STANFORD UNIVERSITY, INSTITUTE FOR INTERNATIONAL STUDIES Stanford, California <i>For general support and for the North American Forum (Awarded in 1993 for \$600,000)</i>		150,000	150,000	
■ UNIVERSITY OF TEXAS, AUSTIN, L.B.J. SCHOOL OF PUBLIC AFFAIRS Austin, Texas <i>For the U.S.-Mexican Policy Studies Program</i>	375,000		125,000	250,000
UNIVERSIDAD AUTONOMA METROPOLITANA Mexico City, Mexico <i>For the Program on U.S.-Mexican Studies (Awarded in 1994 for \$300,000)</i>		100,000	100,000	
UNIVERSIDAD DE GUADALAJARA, INSTITUTO DE ESTUDIOS ECONOMICOS Y REGIONALES Guadalajara, Jalisco, Mexico <i>For the project on U.S.-Mexico relations (Awarded in 1994 for \$300,000)</i>		100,000	100,000	
■ UNIVERSIDAD DE LAS AMERICAS PUEBLA Cholula, Puebla, Mexico <i>For the U.S.-Mexico Program</i>	300,000		100,000	200,000
■ UNIVERSIDAD NACIONAL AUTONOMA DE MEXICO, CENTRO DE INVESTIGACIONES SOBRE AMERICA DEL NORTE Mexico City, Mexico <i>For the project on U.S.-Canada-Mexico relations</i>	300,000		200,000	100,000

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Public Education/General</i>				
COLLEGE ENTRANCE EXAMINATION BOARD New York, New York				
<i>For the San Jose program of Equity 2000 (Awarded in 1993 for \$500,000)</i>		166,000	166,000	
<i>Elementary and Secondary Education</i>				
<i>The Teaching Career</i>				
■ UNIVERSITY OF CALIFORNIA, BERKELEY, LAWRENCE HALL OF SCIENCE Berkeley, California <i>For the Center for School Change</i>	375,000		150,000	225,000
■ CENTER FOR THE FUTURE OF TEACHING AND LEARNING Santa Cruz, California <i>For general support</i>	300,000		100,000	200,000
■ GALEF INSTITUTE Los Angeles, California <i>For the Different Ways of Knowing program in the Bay Area</i>	275,000		125,000	150,000
■ REDWOOD CITY SCHOOL DISTRICT Redwood City, California <i>For the Enhancing Student Success Through Teacher Collaboration Project</i>	360,000		120,000	240,000
■ SAN JOSE UNIFIED EDUCATIONAL FOUNDATION San Jose, California <i>For the New Urban Teacher Education Collaborative</i>	325,000		125,000	200,000
■ SEQUOIA UNION HIGH SCHOOL DISTRICT Redwood City, California <i>For a project on improving the professional development of teachers</i>	40,000		40,000	
■ TEACH FOR AMERICA New York, New York <i>For TEACH! Bay Area</i>	100,000		100,000	

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>School Site and District Leadership</i>				
■ CALIFORNIA STATE UNIVERSITY, HAYWARD Hayward, California <i>For the Center for Educational Leadership</i>	300,000		100,000	200,000
<i>School Reform at Transitions</i>				
FAR WEST LABORATORY FOR EDUCATIONAL RESEARCH AND DEVELOPMENT San Francisco, California <i>For the HERALD Project at San Francisco's Balboa High School, with emphasis on the freshman year (Awarded in 1994 for \$205,000)</i>		40,000		40,000
SAN FRANCISCO CONSERVATION CORPS San Francisco, California <i>For the Youth Development Project (Awarded in 1994 for \$150,000)</i>		50,000	50,000	
SAN JOSE STATE UNIVERSITY FOUNDATION San Jose, California <i>For the high school counseling aspect of the Unfinished Journey program (Awarded in 1995 for \$14,000)</i>		14,000	14,000	
<i>School-Linked Services</i>				
SAN FRANCISCO FOUNDATION Sacramento, California <i>For the Foundation Consortium for School-Linked Services (Awarded in 1995 for \$500,000)</i>		250,000		250,000
<i>Educational Policy</i>				
UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California <i>For the Educational Administration Policy Studies' longitudinal study of California's School Restructuring Initiative (Awarded in 1995 for \$300,000)</i>		200,000	100,000	100,000
■ <i>For Policy Analysis for California Education</i>	600,000		250,000	350,000
EDSOURCE Palo Alto, California <i>For general support (Awarded in 1994 for \$300,000)</i>		200,000	200,000	

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
ELBRIDGE STUART FOUNDATION San Francisco, California <i>For the Public Engagement Poll</i> <i>(Awarded in 1995 for \$70,000)</i>		70,000	70,000	
FINANCE PROJECT Washington, D.C. <i>For general support</i> <i>(Awarded in 1994 for \$300,000)</i>		100,000	100,000	
FOUNDATION FOR JOINT VENTURE: SILICON VALLEY NETWORK, TWENTY- FIRST CENTURY EDUCATION INITIATIVE San Jose, California <i>For the Professional Development Innovation Program</i> <i>(Awarded in 1995 for \$1,000,000)</i>		1,000,000	185,000	815,000

Child Development Program

DEVELOPMENTAL STUDIES CENTER
Oakland, California
*For the spread of the child development
project principles and practices nationwide,
with emphasis on public policy contexts,
teacher training, and linkages with other
school reform movements*
(Awarded in 1995 for \$500,000)

250,000 250,000

Other

- **AMERICAN ACADEMY OF ARTS
AND SCIENCES**
Cambridge, Massachusetts
*For publication of an issue of Daedalus
entitled "Stability and Change in the
Vital American Institutions"*

25,000

25,000

**AMERICAN ASSOCIATION FOR HIGHER
EDUCATION**
Washington, D.C.
For a project to improve university teaching
(Awarded in 1995 for \$250,000)

250,000

120,000

130,000

- **ASSOCIATION OF GOVERNING BOARDS
OF UNIVERSITIES AND COLLEGES**
Washington, D.C.
*For distribution of the report by the
National Commission on the Academic
Presidency*

25,000

25,000

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
BAY AREA COALITION OF ESSENTIAL SCHOOLS Redwood City, California <i>For the project on building community to support school reform and restructuring (Awarded in 1994 for \$265,000)</i>		150,000	75,000	75,000
BAY AREA SCHOOL REFORM COLLABORATIVE San Francisco, California <i>For the Hewlett-Annenberg Challenge for school reform in the Bay Area (Awarded in 1995 for \$25,000,000)</i>		24,000,000	9,000,000	15,000,000
CENTER FOR ACADEMIC INTEGRITY Stanford, California <i>For general support (Awarded in 1995 for \$80,000)</i>		40,000	40,000	
■ CONNECTICUT COLLEGE New London, Connecticut <i>For support of representatives from historically Black colleges and universities to attend the conference entitled "The Future of International Studies in the Liberal Arts Context"</i>	10,000		10,000	
■ EDUCATION WRITERS ASSOCIATION Washington, D.C. <i>For a study of education reporting</i>	25,000			25,000
■ KNOWLEDGE NETWORK FOR ALL AMERICA'S CHILDREN McLean, Virginia <i>For a project to interview leading school reformers</i>	50,000		50,000	
■ PUBLIC AGENDA FOUNDATION New York, New York <i>For a survey of students' views on schools and school reform</i>	25,000		25,000	
RAVENSWOOD CITY SCHOOL DISTRICT East Palo Alto, California <i>For the purchase of native-language library materials (Awarded in 1994 for \$100,000)</i>		50,000		50,000
SAN FRANCISCO STATE UNIVERSITY San Francisco, California <i>For the University School Support for Education Reform Project (Awarded in 1995 for \$300,000)</i>		300,000	200,000	100,000

Education: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
SAN JOSE CITY COLLEGE San Jose, California <i>For directors' visits to historically Black colleges and universities (Awarded in 1995 for \$20,000)</i>		20,000	20,000	
■ SAN MATEO COUNTY OFFICE OF EDUCATION Redwood City, California <i>For the California Center for School Restructuring Transitions Project</i>	50,000		50,000	
STANFORD UNIVERSITY Stanford, California				
■ <i>For the Stanford Program on International and Cross-Cultural Education's project entitled "U.S.-Mexico Relations: A Curriculum for Middle School Students"</i>	215,000		75,000	140,000
■ <i>For the School of Education's Program for Complex Instruction</i>	320,000		100,000	220,000
TOTAL EDUCATION	\$8,716,000	\$35,766,500	\$20,327,500	\$24,155,000

The Foundation focuses environmental grantmaking on the American West, where archaic resource management practices and surging population growth threaten fragile ecosystems from the temperate rainforests of the Pacific Northwest to the arid rangelands of the Colorado Plateau. The goals of the program are to increase the fund of policy options available for addressing resource and growth management problems in the region; to improve the quality of public debate surrounding these issues; and to promote community-based problem solving that achieves equitable and sustainable economic development without sacrificing environmental values.

The strategy for achieving these goals is fourfold: (1) support the development and dissemination of nonpartisan policy analysis that offers constructive options to contending groups; (2) promote efforts to improve public awareness of environmental conditions in the region; (3) encourage experimentation with methods other than litigation and legislative advocacy for achieving environmental solutions; and (4) emphasize sound economic development as integral to environmental preservation in the West. The Foundation retains an interest in selective land acquisition projects and reserves a portion of the environment program budget for exceptional organizations whose work does not necessarily reflect a Western focus.

Grants are directed to organizations working on issues that affect the fragile ecosystems lying west of the 100th meridian, the traditional boundary demarcating the arid West from the temperate Eastern weather zone of North America. Specifically, grants are awarded for work in Alaska, Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, British Columbia, and Alberta. Support is also considered for work on U.S.-Mexico border environmental issues in the U.S. Southwest and bordering Mexican states.

The Foundation emphasizes general support grants aimed at building the institutional capacity of highly promising nonprofits that may lack the ability to generate unrestricted support from

Program Description

memberships, sales of materials, and other sources. Priority is given to organizations serving the entire region or whole ecosystems within the region. The specific components of the program are as follows.

Policy Analysis. The Foundation supports organizations that produce policy-oriented studies on important environmental issues affecting the region. Candidates for support should present the following characteristics: a reputation for intellectual rigor and objectivity, an interdisciplinary staff, a variety of widely circulated publications and other products, and a demonstrable capability to influence policy outcomes.

Education of Decision Makers and the General Public. The Foundation supports organizations engaged in the broad dissemination of nonpartisan information on Western environmental issues to decision makers and the general public.

Decision-Making Processes. The Foundation assists organizations that demonstrate, document, or study how environmental decision-making processes could be improved in the West. Support is focused primarily on organizations engaged in brokering, facilitating, or mediating negotiations between contending interests.

Rural Communities and the Environment. The Foundation supports organizations working on the integration of rural community development and environmental protection through a combination of scientific research, economic development strategies, and conservation activities of regional significance.

Growth Management. Recognizing that unchecked patterns of growth in the urban and suburban West have accelerated the degradation of biological and physical systems throughout the region, the Foundation awards grants to organizations that seek to improve growth management policies and practices through strategies involving natural resource protection.

Land Preservation. In exceptional cases, the Foundation supports efforts on a national scale to acquire or preserve unique, ecologically significant land in the West.

In order to obviate unprofitable effort on the part of applicants, we emphasize that the environment program does not support proposals in the following areas: basic research; capital construction; conferences, symposia, or workshops; environmental education curricula (K-12 or adult); and museum facilities, exhibits, or programs. Similarly, this program does not make awards to individuals, organizations outside the United States, local land trusts, or groups that utilize advocacy or litigation as a central strategy for achieving organizational aims.

Environment: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Policy Analysis</i>				
AMERICAN RIVERS				
Washington, D.C.				
<i>For the Western Water Resources Program</i>				
<i>(Awarded in 1994 for \$200,000)</i>				
		\$60,000	\$60,000	
■ BAY INSTITUTE OF SAN FRANCISCO				
San Rafael, California				
<i>For policy analysis and negotiation</i>				
<i>activities related to the implementation</i>				
<i>of the Bay/Delta Accord</i>				
	20,000		20,000	
UNIVERSITY OF CALIFORNIA, DAVIS, INSTITUTE OF TRANSPORTATION STUDIES				
Davis, California				
<i>For general support</i>				
<i>(Awarded in 1994 for \$200,000)</i>				
		110,000		110,000
CENTER FOR ENERGY EFFICIENCY AND RENEWABLE TECHNOLOGIES				
Sacramento, California				
<i>For the California Regulatory</i>				
<i>Research Project</i>				
<i>(Awarded in 1995 for \$250,000)</i>				
		50,000	50,000	
UNIVERSITY OF COLORADO, BOULDER, NATURAL RESOURCES LAW CENTER				
Boulder, Colorado				
<i>For the Western Lands Program</i>				
<i>(Awarded in 1994 for \$200,000)</i>				
		130,000	65,000	65,000
ENVIRONMENTAL AND ENERGY STUDY INSTITUTE				
Washington, D.C.				
<i>For general support</i>				
<i>(Awarded in 1995 for \$150,000)</i>				
		80,000	40,000	40,000
ENVIRONMENTAL DEFENSE FUND				
New York, New York				
<i>For the Western Water Program</i>				
<i>(Awarded in 1994 for \$250,000)</i>				
		80,000	80,000	
INSTITUTE FOR THE NATURAL HERITAGE				
San Francisco, California				
<i>To support programs in Western water policy</i>				
<i>reform and environmental problem solving</i>				
<i>(Awarded in 1995 for \$150,000)</i>				
		75,000	50,000	25,000
NATIONAL CONFERENCE OF STATE LEGISLATURES				
Denver, Colorado				
<i>For the Western Water Policy Program</i>				
<i>(Awarded in 1995 for \$75,000)</i>				
		30,000	30,000	

■ Grants newly authorized in 1996 are highlighted by square boxes.

Environment: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
PACIFIC INSTITUTE FOR STUDIES IN DEVELOPMENT, ENVIRONMENT, AND SECURITY Oakland, California <i>For general support</i> <i>(Awarded in 1994 for \$200,000)</i>		60,000	60,000	
■ PACIFIC RIVERS COUNCIL Eugene, Oregon <i>For general support</i>	120,000		40,000	80,000
■ PINCHOT INSTITUTE FOR CONSERVATION Washington, D.C. <i>For general support of the activities</i> <i>in the western United States</i>	100,000		50,000	50,000
RESOURCES FOR THE FUTURE Washington, D.C. <i>For general support</i> <i>(Awarded in 1992 for \$250,000)</i>		135,000	70,000	65,000
UNIVERSITY OF WASHINGTON, GRADUATE SCHOOL OF PUBLIC AFFAIRS Seattle, Washington <i>For general support of the Northwest</i> <i>Policy Center</i> <i>(Awarded in 1995 for \$150,000)</i>		115,000		115,000
WESTERN GOVERNORS' ASSOCIATION Denver, Colorado <i>For the Trade and the Environment</i> <i>Program</i> <i>(Awarded in 1994 for \$150,000)</i>		90,000	90,000	

Education of Decision Makers and the General Public

■ AMERICAN FARMLAND TRUST Washington, D.C. <i>For a growth management consensus-</i> <i>building process and an inventory of</i> <i>policies affecting development in the</i> <i>Central Valley</i>	75,000		75,000	
■ ASSOCIATION OF FOREST SERVICE EMPLOYEES FOR ENVIRONMENTAL ETHICS Eugene, Oregon <i>For the Conflict Resolution and Security</i> <i>Training Program and for the Green</i> <i>Grazing Program</i>	100,000		75,000	25,000
■ CALIFORNIA OAK FOUNDATION Oakland, California <i>For the Conservation Circuit</i> <i>Riders project</i>	100,000		100,000	

Environment: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ EDGE: THE ALLIANCE OF ETHNIC AND ENVIRONMENTAL ORGANIZATIONS San Francisco, California <i>For general support</i>	150,000		125,000	25,000
LOCAL GOVERNMENT COMMISSION Sacramento, California <i>For general support</i> <i>(Awarded in 1995 for \$120,000)</i>		80,000	80,000	
■ MOUNTAIN STATES GROUP Boise, Idaho <i>For a documentary on the conflict resolution and community-building project in Catron County, New Mexico</i>	50,000		50,000	
NORTHWEST ENVIRONMENT WATCH Seattle, Washington <i>For general support</i> <i>(Awarded in 1994 for \$120,000)</i>		40,000	40,000	
PACIFIC GIS Portland, Oregon <i>For the Conservation GIS Consortium, with partners Desktop Assistance and the Sierra Biodiversity Institute</i> <i>(Awarded in 1994 for \$300,000)</i>		150,000	150,000	
■ TRUST FOR PUBLIC LAND San Francisco, California <i>For a project to develop new sources of public funding for land conservation in the western United States</i>	200,000		200,000	
■ WORLD MEDIA FOUNDATION Cambridge, Massachusetts <i>For the San Francisco bureau of Living on Earth</i>	200,000		150,000	50,000
ZOOLOGICAL SOCIETY OF SAN DIEGO San Diego, California <i>For public education about the Natural Communities Conservation Planning Process</i> <i>(Awarded in 1995 for \$140,000)</i>		50,000		50,000

Environment: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Decision-Making Processes</i>				
■ UNIVERSITY OF ALASKA, ANCHORAGE, ENVIRONMENTAL AND NATURAL RESOURCES INSTITUTE Anchorage, Alaska <i>For support of the Environmental Conflict Resolution Program</i>	200,000		150,000	50,000
CLEAN SITES, INC. San Francisco, California <i>For general support (Awarded in 1994 for \$100,000)</i>		40,000	40,000	
COALITION FOR UTAH'S FUTURE PROJECT 2000 Salt Lake City, Utah <i>For the Community and Wild Lands Futures Project (Awarded in 1995 for \$125,000)</i>		25,000	25,000	
■ COLORADO CENTER FOR ENVIRONMENTAL MANAGEMENT Denver, Colorado <i>For general support</i>	200,000		125,000	75,000
■ COMMITTEE FOR THE NATIONAL INSTITUTES FOR THE ENVIRONMENT Washington, D.C. <i>For the outreach and education programs</i>	150,000		150,000	
■ INSTITUTE FOR FISHERIES RESOURCES San Francisco, California <i>For the Upper Butte Creek Salmon Habitat Restoration Project</i>	20,000			20,000
MANAGEMENT INSTITUTE FOR ENVIRONMENT AND BUSINESS Washington, D.C. <i>For the Environmental Partnerships Initiative (Awarded in 1993 for \$150,000)</i>		25,000	25,000	
■ MISSOURI BOTANICAL GARDEN Saint Louis, Missouri <i>For the Flora of North America program</i>	100,000		50,000	50,000
NATURE CONSERVANCY Arlington, Virginia <i>For the Natural Communities Conservation Planning and the Pyramid Lake/Stillwater Marsh projects (Awarded in 1995 for \$600,000)</i>		300,000	300,000	

Environment: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ NORTHERN LIGHTS INSTITUTE Missoula, Montana <i>For general support</i>	150,000		100,000	50,000
■ RIVER NETWORK Portland, Oregon <i>For the Western component of the Watershed 2000 program</i>	200,000		70,000	130,000
■ RIVERS COUNCIL OF WASHINGTON Seattle, Washington <i>For the Sixty-Two Watersheds of Washington project</i>	100,000		100,000	
■ THOREAU INSTITUTE Oak Grove, Oregon <i>For the Public Policy Reform and Community Outreach Program</i>	50,000		50,000	
TIDES CENTER San Francisco, California <i>For general support of Sustainable Conservation (Awarded in 1994 for \$150,000)</i>		50,000	50,000	
■ UNIVERSITY OF WYOMING Laramie, Wyoming <i>For the Institute for Environment and Natural Resource Research Policy</i>	150,000		75,000	75,000
<i>Rural Communities and the Environment</i>				
■ CENTER FOR HOLISTIC RESOURCE MANAGEMENT Albuquerque, New Mexico <i>For general support</i>	100,000		50,000	50,000
COMMUNITY ALLIANCE WITH FAMILY FARMERS FOUNDATION Davis, California <i>For general support (Awarded in 1995 for \$100,000)</i>		50,000	50,000	
■ ECOTRUST Portland, Oregon <i>For general support and for support of affiliated organizations in the Pacific Northwest</i>	500,000		500,000	
GRAND CANYON TRUST Flagstaff, Arizona <i>For general support (Awarded in 1994 for \$300,000)</i>		100,000	100,000	

Environment: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
HOOPA VALLEY TRIBAL COUNCIL Hoopa, California <i>For support of the Integrated Resource Management Plan project (Awarded in 1995 for \$80,000)</i>		30,000	30,000	
MALPAI BORDERLANDS GROUP Douglas, Arizona <i>For general support (Awarded in 1995 for \$100,000)</i>		50,000	50,000	
PACIFIC FOREST TRUST Boonville, California <i>For general support (Awarded in 1995 for \$150,000)</i>		100,000	100,000	
■ RAILS TO TRAILS CONSERVANCY Washington, D.C. <i>For the California field office</i>	130,000		70,000	60,000
ROGUE INSTITUTE FOR ECOLOGY AND ECONOMY Ashland, Oregon <i>For general support (Awarded in 1995 for \$80,000)</i>		40,000		40,000
SONORAN INSTITUTE Tucson, Arizona <i>For general support (Awarded in 1994 for \$150,000)</i>		100,000	100,000	
TIDES CENTER San Francisco, California <i>For the Forest Trust's Better Decisions Program (Awarded in 1995 for \$80,000)</i>		30,000	30,000	
<i>For general support of the Sierra Business Council (Awarded in 1995 for \$100,000)</i>		40,000	40,000	

Environmental Studies Centers

UNIVERSITY OF CALIFORNIA, LOS ANGELES, ENVIRONMENTAL SCIENCE AND ENGINEERING PROGRAM

Los Angeles, California

*To help endow a discretionary trust fund
(Awarded in 1992 for \$250,000)*

50,000 50,000

CORNELL UNIVERSITY

Ithaca, New York

*To help endow a discretionary fund for the
Center for the Environment
(Awarded in 1993 for \$250,000)*

50,000 50,000

Environment: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
UNIVERSITY OF WASHINGTON Seattle, Washington <i>To help endow a discretionary trust fund for the Institute for Marine Studies and the Institute for Environmental Studies (Awarded in 1990 for \$250,000)</i>		25,000	25,000	
YALE UNIVERSITY New Haven, Connecticut <i>To help endow a discretionary fund for the School of Forestry and Environmental Studies (Awarded in 1993 for \$250,000)</i>		110,000	110,000	

Growth Management in Metropolitan Areas

■ CLAREMONT UNIVERSITY CENTER Claremont, California <i>For the Sustainable Communities Program</i>	175,000		100,000	75,000
■ UNIVERSITY OF DENVER, CENTER FOR PUBLIC POLICY AND CONTEMPORARY ISSUES Denver, Colorado <i>For production of the documentary entitled "The West at Risk"</i>	50,000			50,000
■ EARTH TRUST FOUNDATION Santa Monica, California <i>For the Southern California Council on Environment and Development</i>	95,000		50,000	45,000
■ GREENBELT ALLIANCE San Francisco, California <i>For general support</i>	200,000		75,000	125,000

Other

■ UNIVERSITY OF CALIFORNIA, BERKELEY, ECOLOGY LAW QUARTERLY Berkeley, California <i>For the 1997 symposium entitled "The Ecosystem Approach: New Departures for Land and Water"</i>	10,000			10,000
■ LAND INSTITUTE Salina, Kansas <i>For general support</i>	210,000		140,000	70,000
NEW YORK BOTANICAL GARDEN Bronx, New York <i>For support of the Intermountain Flora Project (Awarded in 1995 for \$150,000)</i>		80,000	40,000	40,000

Environment: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
ONE THOUSAND FRIENDS OF OREGON Portland, Oregon <i>For general support</i> <i>(Awarded in 1994 for \$250,000)</i>		70,000	70,000	
ORGANIZATION FOR TROPICAL STUDIES Durham, North Carolina <i>For endowment support of the U.S.</i> <i>Decision Makers Program</i> <i>(Awarded in 1994 for \$250,000)</i>		150,000	150,000	
RESOLVE, CENTER FOR ENVIRONMENTAL DISPUTE RESOLUTION Washington, D.C. <i>For research, publication, and</i> <i>outreach activities</i> <i>(Awarded in 1994 for \$100,000)</i>		60,000	30,000	30,000
■ ROCKY MOUNTAIN INSTITUTE Snowmass, Colorado <i>For general support</i>	250,000		250,000	
■ WOODS HOLE RESEARCH CENTER Woods Hole, Massachusetts <i>For general support</i>	150,000		150,000	
WORLD RESOURCES INSTITUTE Washington, D.C. <i>For general support</i> <i>(Awarded in 1995 for \$250,000)</i>		150,000	150,000	
TOTAL ENVIRONMENT	\$4,305,000	\$3,060,000	\$5,620,000	\$1,745,000

Program Description

The Foundation's family and community development program supports primarily organizations that serve San Francisco Bay Area communities. In addition, it provides assistance to a limited number of national support organizations whose work directly benefits local and regional efforts. Through its work, the Foundation places priority on activities that improve the functioning of families and the livability of neighborhoods in the Bay Area. Grants are made in five categories.

Neighborhood Improvement. The Foundation supports multi-year, comprehensive, cross-disciplinary efforts of community-based partnerships aimed at improving the human and physical conditions in selected neighborhoods. Involving a range of key stakeholders—such as families and individuals, civic and fraternal organizations, religious institutions, community-based organizations, private industry, and public officials—support of neighborhood-improvement programs is designed to revitalize low-income communities through a concentration of flexible resources to be invested in local plans that address critical neighborhood issues. The Foundation's interest in programs related to the development of affordable housing, the strengthening of family support structures, and the creation of jobs is restricted to targeted neighborhoods.

Community Service. The Foundation supports both local and community-based K-12 service and a limited number of higher education programs that involve young people in strengthening a neighborhood's ability to respond to critical human development, public safety, and environmental issues. It also extends support to program models that enhance the development of the community service field through coalition-building, quality assurance, and resource coordination.

Responsible Fatherhood and Male Involvement. The Foundation supports programs that engage or re-engage fathers in parenting and the support of the family and that promote adult male involvement in the lives of children and youth. Emphasis is placed on efforts that prevent too-early parenthood for young men, prepare men for the responsibilities of fatherhood, and foster the emotional connection between fathers and their children.

Bridge Grants. The Foundation supports efforts that enable organizations affected by changes in its program priorities to continue program services without interruption while obtaining alternative sources of organizational support.

Families in Transition. The Foundation supports programs that help to mitigate the impact of the reduction or elimination of social safety-net benefits to poor and very poor families. The Foundation is particularly interested in programs that emphasize a comprehensive approach responding to a range of needs, including employment, education and training, and child care, that enable families to make the transition from public benefit programs to increased self-sufficiency.

Limited program funds dictate that only a few of the requests reviewed can be supported. To help avoid unprofitable effort on the part of applicants, we call attention to the fact that the Foundation does not make grants in the following fields: physical or mental health; law and related fields; criminal justice or juvenile delinquency; drug and alcohol addiction; or the problems of the elderly and the handicapped. These exclusions derive not from a lack of sympathy with the needs in these fields but from the Foundation's determination to focus its resources.

Family and Community Development: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Community Development</i>				
■ ASIAN NEIGHBORHOOD DESIGN San Francisco, California <i>For the Self-Sufficiency Project</i>	\$100,000		\$100,000	
CALIFORNIA COMMUNITY ECONOMIC DEVELOPMENT ASSOCIATION Oakland, California <i>For Bay Area activities (Awarded in 1995 for \$125,000)</i>		60,000	60,000	
CALIFORNIA REINVESTMENT COMMITTEE San Francisco, California <i>For general support (Awarded in 1994 for \$100,000)</i>		30,000	30,000	
CENTER FOR COMMUNITY CHANGE Washington, D.C. <i>For the Bay Area and national offices (Awarded in 1995 for \$ 225,000)</i>		150,000	75,000	75,000
CHINATOWN NEIGHBORHOOD IMPROVEMENT RESOURCE CENTER San Francisco, California <i>For general support (Awarded in 1995 for \$100,000)</i>		50,000	50,000	
COMMUNITY DEVELOPMENT CORPORATION OF OAKLAND Oakland, California <i>For general support (Awarded in 1995 for \$49,000)</i>		49,000	49,000	
■ COMMUNITY FOUNDATION OF SANTA CLARA COUNTY San Jose, California <i>For the Neighborhood Grants Program</i>	160,000		60,000	100,000
EAST PALO ALTO COMMUNITY ALLIANCE AND NEIGHBORHOOD DEVELOPMENT ORGANIZATION East Palo Alto, California <i>For general support (Awarded in 1995 for \$80,000)</i>		40,000	40,000	
■ EDUCATIONAL MEDIA ASSOCIATES Rockville, Maryland <i>For the Block-by-Block Project</i>	15,000		15,000	
JUBILEE WEST Oakland, California <i>For general support (Awarded in 1995 for \$150,000)</i>		75,000	37,500	37,500

■ Grants newly authorized in 1996 are highlighted by square boxes.

Family and Community Development: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
LOCAL INITIATIVES SUPPORT CORPORATION				
New York, New York				
<i>For general support of the national and Bay Area offices, and for Bay Area activities of the National Community Development Initiative</i>				
<i>(Awarded in 1995 for \$500,000)</i>				
		330,000	170,000	160,000
■ MARIN CITY COMMUNITY DEVELOPMENT CORPORATION				
Marin City, California				
<i>For general support</i>				
	50,000		50,000	
NATIONAL ASSOCIATION OF COMMUNITY DEVELOPMENT LOAN FUNDS				
Philadelphia, Pennsylvania				
<i>For Bay Area activities and for the training initiative of the Coalition of Community Development Financial Institutions</i>				
<i>(Awarded in 1995 for \$125,000)</i>				
		60,000	60,000	
■ NATIONAL ECONOMIC DEVELOPMENT AND LAW CENTER				
Oakland, California				
<i>For general support</i>				
	250,000		250,000	
■ NEIGHBORHOOD FUNDERS GROUP				
McLean, Virginia				
<i>For general support</i>				
	35,000		35,000	
■ SACRAMENTO VALLEY ORGANIZING COMMITTEE				
Sacramento, California				
<i>For the Solano and Napa County projects</i>				
	50,000		50,000	
■ SAN FRANCISCO FOUNDATION				
San Francisco, California				
<i>For the Base Conversion Working Group project</i>				
	10,000		10,000	
■ SOUTH BERKELEY NEIGHBORHOOD DEVELOPMENT CORPORATION				
Berkeley, California				
<i>For general support</i>				
	40,000		40,000	
■ UNITED INDIAN NATIONS				
Oakland, California				
<i>For the American Indian Community Development Corporation</i>				
	120,000		40,000	80,000
WOMEN'S INITIATIVE FOR SELF-EMPLOYMENT				
San Francisco, California				
<i>For general support</i>				
<i>(Awarded in 1995 for \$150,000)</i>				
		75,000	75,000	

Family and Community Development: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Family Support and Development</i>				
■ CALIFORNIA PUBLIC HEALTH FOUNDATION Berkeley, California <i>For the project entitled "Monitoring the Effects of Welfare Reform"</i>	30,000		30,000	
CENTER FOR A NEW GENERATION Menlo Park, California <i>For the Parent Education Center (Awarded in 1995 for \$190,000)</i>		95,000	95,000	
CENTER ON BUDGET AND POLICY PRIORITIES Washington, D.C. <i>For the Bay Area expansion of the WIC Medicaid and the Earned Income Tax Credit outreach campaigns (Awarded in 1994 for \$225,000)</i>		150,000	75,000	75,000
CHILD CARE LAW CENTER San Francisco, California <i>For the Welfare Debate Project (Awarded in 1994 for \$90,000)</i>		45,000	45,000	
CHILDREN NOW Oakland, California <i>For general support (Awarded in 1995 for \$200,000)</i>		100,000	100,000	
CHRONICLE SEASON OF SHARING FUND San Francisco, California <i>For general support (Awarded in 1995 for \$50,000)</i>		50,000	50,000	
■ <i>For general support</i>	50,000			50,000
■ <i>For evaluation</i>	7,500		7,500	
COLEMAN CHILDREN AND YOUTH SERVICES San Francisco, California <i>For general support (Awarded in 1995 for \$175,000)</i>		175,000	125,000	50,000
COMMUNITY FOUNDATION OF SANTA CLARA COUNTY San Jose, California <i>For the Palo Alto Weekly Holiday Fund (Awarded in 1995 for \$25,000)</i>		25,000	25,000	
■ <i>For the Palo Alto Weekly Holiday Fund</i>	30,000		30,000	
EAST BAY COMMUNITY FOUNDATION Oakland, California <i>For the Interagency Children's Policy Council of Alameda County (Awarded in 1995 for \$100,000)</i>		50,000		50,000

Family and Community Development: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ FAMILY SCHOOL San Francisco, California <i>For general support</i>	35,000		35,000	
■ FAMILY SERVICE AGENCY OF SAN FRANCISCO San Francisco, California <i>For the Visitacion Valley and Haight- Ashbury Family Support Centers</i>	375,000		125,000	250,000
■ GOOD SAMARITAN FAMILY RESOURCE CENTER OF SAN FRANCISCO San Francisco, California <i>For general support</i>	80,000		40,000	40,000
■ NORTHERN CALIFORNIA GRANTMAKERS San Francisco, California <i>For the Emergency Fund Committee</i>	50,000		50,000	
PARENT SERVICES PROJECT Fairfax, California <i>For expansion efforts in San Mateo and Santa Clara counties (Awarded in 1995 for \$80,000)</i>		40,000	40,000	
PENINSULA COMMUNITY FOUNDATION San Mateo, California <i>For the Peninsula Partnership for Children, Youth, and Families (Awarded in 1995 for \$225,000)</i>		150,000		150,000
SAN FRANCISCO FOUNDATION San Francisco, California <i>For the Greater Bay Area Family Resource Network (Awarded in 1995 for \$75,000)</i>		35,000	35,000	
■ SAN JOSE MERCURY NEWS WISH BOOK FUND San Jose, California <i>For general support</i>	10,000			10,000
SANTA CLARA COUNTY, SOCIAL SERVICES AGENCY San Jose, California <i>For the Administrative Practices Improvement Project (Awarded in 1994 for \$100,000)</i>		65,000	65,000	
URBAN STRATEGIES COUNCIL Oakland, California <i>For general support (Awarded in 1993 for \$300,000)</i>		75,000	75,000	
■ <i>For general support</i>	325,000		125,000	200,000

Family and Community Development: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Affordable Housing</i>				
BRIDGE HOUSING CORPORATION				
San Francisco, California				
<i>For general support</i>				
<i>(Awarded in 1994 for \$150,000)</i>				
		50,000	50,000	
■ CALIFORNIA HOUSING PARTNERSHIP CORPORATION				
Oakland, California				
<i>For Bay Area Activities</i>				
	100,000		40,000	60,000
■ COMMUNITY HOUSING DEVELOPMENT CORPORATION OF NORTH RICHMOND				
Richmond, California				
<i>For general support</i>				
	35,000		35,000	
CORPORATION FOR SUPPORTIVE HOUSING				
New York, New York				
<i>For Bay Area operations and for the revolving loan and grant fund</i>				
<i>(Awarded in 1994 for \$200,000)</i>				
		100,000	100,000	
■ CORPORATION FOR SUPPORTIVE HOUSING				
Oakland, California				
<i>For general support</i>				
	225,000		225,000	
■ LOCAL INITIATIVES SUPPORT CORPORATION				
New York, New York				
<i>For the Bay Area Housing Support Collaborative</i>				
	150,000		150,000	
LOCAL INITIATIVES SUPPORT CORPORATION				
San Francisco, California				
<i>For the Bay Area Housing Support Collaborative</i>				
<i>(Awarded in 1994 for \$300,000)</i>				
		150,000	150,000	
LOW-INCOME HOUSING FUND				
San Francisco, California				
<i>For Bay Area activities</i>				
<i>(Awarded in 1995 for \$200,000)</i>				
		130,000	65,000	65,000
■ <i>For the Bay Area Affordable Housing Study</i>	5,000		5,000	
NON-PROFIT HOUSING ASSOCIATION OF NORTHERN CALIFORNIA				
San Francisco, California				
<i>For general support</i>				
<i>(Awarded in 1994 for \$125,000)</i>				
		25,000	25,000	

**Family and Community Development:
Organizations
(by Category)**

**Grants
Authorized
1996**

**Unpaid
Grants
12/31/95**

**Payments
Made
1996**

**Unpaid
Grants
12/31/96**

Homelessness

**CENTER FOR COMMON CONCERNS,
HOMEBASE**

San Francisco, California

For general support

(Awarded in 1995 for \$125,000)

60,000

60,000

FAMILY EMERGENCY SHELTER COALITION

Hayward, California

For general support

(Awarded in 1994 for \$40,000)

20,000

20,000

RAPHAEL HOUSE OF SAN FRANCISCO

San Francisco, California

For the Aftercare Program

(Awarded in 1994 for \$100,000)

30,000

30,000

SAN FRANCISCO STATE UNIVERSITY

San Francisco, California

For the Bay Area Homelessness Program

(Awarded in 1994 for \$150,000)

75,000

75,000

Community Service

CITY YEAR

Boston, Massachusetts

For the San Jose program

(Awarded in 1995 for \$150,000)

75,000

75,000

■ **JUST SAY NO INTERNATIONAL**

Oakland, California

*For expansion of the Youth Power program
into San Francisco and San Jose, and for
adult training activities*

50,000

50,000

**MID-PENINSULA YWCA YOUTH
COMMUNITY SERVICE**

Palo Alto, California

For general support

(Awarded in 1995 for \$60,000)

30,000

30,000

**NATIONAL ASSOCIATION OF SERVICE
AND CONSERVATION CORPS**

Washington, D.C.

For general support

(Awarded in 1995 for \$100,000)

50,000

50,000

■ **NORTHERN CALIFORNIA GRANTMAKERS**

San Francisco, California

*For the National Service Task Force and
Collaborative Fund*

100,000

100,000

■ **PARTNERS IN SCHOOL INNOVATION**

San Francisco, California

For general support

50,000

50,000

Family and Community Development: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
POINTS OF LIGHT FOUNDATION				
Washington, D.C.				
<i>For the California sites of the Communities as Places of Learning Project (Awarded in 1993 for \$300,000)</i>		100,000	100,000	
■ SAN FRANCISCO STATE UNIVERSITY				
San Francisco, California				
<i>For the Bay Area Homelessness Program</i>	150,000		75,000	75,000
SAN FRANCISCO URBAN SERVICE PROJECT				
San Francisco, California				
<i>For general support (Awarded in 1995 for \$60,000)</i>		30,000	30,000	
■ SHELTER NETWORK OF SAN MATEO COUNTY				
San Mateo, California				
<i>For the Stanford University/Menlo-Atherton High School Service-Learning Project</i>	22,500		22,500	
■ STANFORD UNIVERSITY, HAAS CENTER FOR PUBLIC SERVICE				
Stanford, California				
<i>For the program to integrate public service with academic study</i>	200,000		80,000	120,000
■ VOLUNTEER CENTER OF SAN MATEO COUNTY				
San Mateo, California				
<i>For the San Mateo County Youth Service Collaborative</i>	45,000		45,000	
YOUTH SERVICE CALIFORNIA				
San Anselmo, California				
<i>For general support (Awarded in 1994 for \$125,000)</i>		60,000	60,000	
■ <i>For Bay Area activities</i>	125,000		125,000	
<i>Neighborhood Improvement</i>				
■ COMMUNITY FOUNDATION OF SANTA CLARA COUNTY				
San Jose, California				
<i>For the Neighborhood Improvement Initiative</i>	255,000		255,000	
<i>Responsible Fatherhood</i>				
■ COMMUNITY DEVELOPMENT INSTITUTE				
Palo Alto, California				
<i>For the Black Male Rebirth Program</i>	85,000		45,000	40,000

Family and Community Development: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ EARLY CHILDHOOD MENTAL HEALTH PROGRAM Richmond, California <i>For the Time-for-Dads Program</i>	60,000		30,000	30,000
■ FAIRFAX-SAN ANSELMO CHILDREN'S CENTER Fairfax, California <i>For the Bay Area Male Involvement Network</i>	80,000		40,000	40,000
■ FAMILIES AND WORK INSTITUTE New York, New York <i>For the Bay Area Fatherhood Project</i>	50,000		50,000	
■ INSTITUTE FOR THE ADVANCED STUDY OF BLACK FAMILY LIFE AND CULTURE Oakland, California <i>For the HAWK Federation</i>	50,000		25,000	25,000
■ JEWISH FAMILY AND CHILDREN'S SERVICES San Francisco, California <i>For the Fathers Support Project</i>	75,000		40,000	35,000
■ OAKLAND MEN'S PROJECT Oakland, California <i>For the Responsible Fatherhood/Male Involvement Planning Project</i>	35,000		35,000	
■ SIMBA Oakland, California <i>For general support</i>	50,000		25,000	25,000
<i>Other</i>				
■ CALIFORNIA ASSOCIATION OF NONPROFITS Santa Cruz, California <i>For the 1996 annual conference</i>	5,000		5,000	
■ CENTER FOR ECONOMIC CONVERSION Mountain View, California <i>For the Bay Area Base Conversion Project</i>	200,000		200,000	
■ NORTHERN CALIFORNIA GRANTMAKERS San Francisco, California <i>For the AIDS Task Force</i>	150,000		75,000	75,000
TOTAL FAMILY AND COMMUNITY DEVELOPMENT	\$4,175,000	\$2,959,000	\$5,166,500	\$1,967,500

Program Description

The Hewlett Foundation's performing arts program entertains applications from professional dance, music, opera/musical theater, and theater companies as well as organizations that present the performing arts. In addition, the Foundation supports arts councils that serve San Francisco Bay Area communities and service organizations that assist arts organizations in all disciplines. It also makes grants to support Bay Area nonprofit film and video service organizations.

The focus of Foundation support is on long-term artistic development and managerial stability achieved, primarily, through a strategy of multi-year general operating support to organizations of programmatic merit that operate without incurring annual deficits. Where appropriate, the Foundation may recommend a matching requirement and, additionally, that a portion of matching funds be applied to endowments or cash reserves to help ensure the long-term financial stability of its grantees.

The Foundation gives preference to independent nonprofit Bay Area organizations with an established record of artistic achievement, administrative capacity, audience support, and realistic planning for artistic and organizational development. Artistic training programs, particularly those focused on young people, continue to be of interest to the Foundation.

The Foundation does not support one-time events, such as seminars, conferences, festivals, or touring costs for performing companies. It regrets that it cannot currently consider requests from individual artists or from organizations in the following areas: the visual or literary arts; radio, film, or video production; the humanities; elementary or secondary school programs; college or university proposals; community art classes; recreational, therapeutic, and social service arts programs; and cultural foreign exchange programs.

To familiarize itself with the ongoing needs and characteristics of each discipline, the Foundation groups its proposal review by performing arts category for presentation to its Board of Directors. This also assists in planning and in the consistent application of criteria. While the Foundation does not expect to be able to adhere rigidly to the following schedule, it will make every effort to do so.

	Application Submitted by:	For Board Action in:
Music	January 6	April
Theater		
Opera/Musical Theater	April 1	July
Dance	July 1	October
Film/Video Service		
Organizations	July 1	October

Presenting organizations, arts councils, and multidisciplinary service organizations should contact the Foundation to determine the appropriate deadline.

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Music</i>				
■ AMERICAN BACH SOLOISTS Belvedere, California <i>For general support</i>	\$40,000		\$40,000	
■ AMERICAN COMPOSERS FORUM Saint Paul, Minnesota <i>For the Bay Area chapter and for general support</i>	10,000			10,000
■ BAY AREA WOMEN'S PHILHARMONIC San Francisco, California <i>For general support</i>	60,000		30,000	30,000
■ BERKELEY SYMPHONY ORCHESTRA Berkeley, California <i>For general support</i>	180,000		60,000	120,000
CABRILLO MUSIC FESTIVAL Santa Cruz, California <i>For general support (Awarded in 1994 for \$105,000)</i>		35,000	35,000	
■ CALIFORNIA BACH SOCIETY Menlo Park, California <i>For general support</i>	5,000			5,000
■ CALIFORNIA SUMMER MUSIC, INC. San Francisco, California <i>For general support</i>	20,000		20,000	
■ CALIFORNIA SYMPHONY ORCHESTRA Orinda, California <i>For general support</i>	15,000		15,000	
UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California <i>For the Young Musicians Program (Awarded in 1995 for \$500,000)</i>		325,000	175,000	150,000
CARMEL BACH FESTIVAL Carmel-by-the-Sea, California <i>For general support (Awarded in 1994 for \$105,000)</i>		35,000	35,000	
■ CAZADERO PERFORMING ARTS CAMP Berkeley, California <i>For general support</i>	20,000		20,000	
■ CHAMBER MUSIC AMERICA New York, New York <i>For general support</i>	5,000		5,000	

■ Grants newly authorized in 1996 are highlighted by square boxes.

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
COMMUNITY MUSIC CENTER San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$120,000)</i>		40,000	40,000	
■ COMMUNITY SCHOOL OF MUSIC AND ARTS Mountain View, California <i>For general support</i>	60,000		30,000	30,000
■ CROSSPULSE Berkeley, California <i>For general support</i>	20,000		20,000	
■ EARPLAY San Francisco, California <i>For general support</i>	10,000		10,000	
■ EAST BAY CENTER FOR THE PERFORMING ARTS Richmond, California <i>For general support</i>	120,000		40,000	80,000
■ FREMONT SYMPHONY ORCHESTRA Fremont, California <i>For general support</i>	50,000		25,000	25,000
HUMANITIES WEST San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$60,000)</i>		40,000	20,000	20,000
KRONOS PERFORMING ARTS ASSOCIATION San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$120,000)</i>		80,000	40,000	40,000
■ MIDSUMMER MOZART San Francisco, California <i>For general support</i>	25,000		25,000	
MUSICAL TRADITIONS San Francisco, California <i>For the Paul Drescher Ensemble</i> <i>(Awarded in 1995 for \$90,000)</i>		60,000	30,000	30,000
■ NAPA VALLEY SYMPHONY ASSOCIATION Napa, California <i>For general support</i>	75,000		15,000	60,000
■ OAKLAND EAST BAY SYMPHONY Oakland, California <i>For general support</i>	80,000		40,000	40,000

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
PHILHARMONIA BAROQUE ORCHESTRA San Francisco, California <i>For general support</i> <i>(Awarded in 1993 for \$150,000)</i>		25,000	25,000	
■ <i>For general support</i>	180,000		60,000	120,000
ROVA SAXOPHONE QUARTET San Francisco, California <i>For general support</i>	20,000		20,000	
■ SAN FRANCISCO CHANTICLEER San Francisco, California <i>For general support</i>	135,000		45,000	90,000
■ SAN FRANCISCO CONSERVATORY OF MUSIC San Francisco, California <i>For general support and for library collections and automation</i>	300,000		300,000	
SAN FRANCISCO CONTEMPORARY MUSIC PLAYERS San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$105,000)</i>		35,000	35,000	
SAN FRANCISCO EARLY MUSIC SOCIETY Berkeley, California <i>For general support</i> <i>(Awarded in 1995 for \$30,000)</i>		20,000	10,000	10,000
SAN FRANCISCO GIRLS' CHORUS San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$75,000)</i>		25,000	25,000	
SAN FRANCISCO SYMPHONY San Francisco, California <i>For youth education programs</i> <i>(Awarded in 1994 for \$450,000)</i>		225,000	150,000	75,000
SAN JOSE SYMPHONY San Jose, California <i>For general support</i> <i>(Awarded in 1995 for \$75,000)</i>	(40,000)*	40,000	*	
SANTA CRUZ COUNTY SYMPHONY ASSOCIATION Santa Cruz, California <i>For general support</i> <i>(Awarded in 1994 for \$45,000)</i>		15,000	15,000	

*Unused portion of grant canceled at request of grantee.

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ SCHOLA CANTORUM Mountain View, California <i>For general support</i>	30,000		10,000	20,000
■ STANFORD JAZZ WORKSHOP Stanford, California <i>For general support</i>	20,000		20,000	

Theater and Opera

AMERICAN CONSERVATORY THEATRE San Francisco, California <i>For the Advanced Training Program (Awarded in 1995 for \$160,000)</i>		120,000	80,000	40,000
AMERICAN MUSICAL THEATRE OF SAN JOSE San Jose, California <i>For general support, with a focus on educational programs (Awarded in 1994 for \$45,000)</i>		15,000	15,000	
■ AURORA THEATRE COMPANY Berkeley, California <i>For general support</i>	10,000		10,000	
BERKELEY REPERTORY THEATRE Berkeley, California <i>For general support (Awarded in 1995 for \$200,000)</i>		100,000	100,000	
■ BRAVA! FOR WOMEN IN THE ARTS San Francisco, California <i>For general support</i>	50,000		25,000	25,000
CALIFORNIA SHAKESPEARE FESTIVAL Berkeley, California <i>For general support (Awarded in 1994 for \$150,000)</i>		50,000	50,000	
UNIVERSITY OF CALIFORNIA, SANTA CRUZ, SHAKESPEARE SANTA CRUZ Santa Cruz, California <i>For general support (Awarded in 1994 for \$120,000)</i>		40,000		40,000
EL TEATRO DE LA ESPERANZA San Francisco, California <i>For the Isadora Aguirre Playwriting Lab and for Festival Latino (Awarded in 1994 for \$30,000)</i>		20,000	20,000	

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
EXIT THEATRE San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$30,000)</i>		20,000	10,000	10,000
■ GEORGE COATES PERFORMANCE WORKS San Francisco, California <i>For general support</i>	120,000		60,000	60,000
■ MAGIC THEATRE San Francisco, California <i>For general support</i>	100,000		50,000	50,000
OPERA SAN JOSE San Jose, California <i>For general support with a focus</i> <i>on the Resident Artist Program</i> <i>(Awarded in 1995 for \$100,000)</i>		50,000	50,000	
OREGON SHAKESPEARE FESTIVAL Ashland, Oregon <i>For general support</i> <i>(Awarded in 1995 for \$140,000)</i>		70,000	70,000	
■ PENINSULA CIVIC LIGHT OPERA San Mateo, California <i>For general support</i>	40,000		20,000	20,000
■ PERSONA GRATA PRODUCTIONS San Francisco, California <i>For general support</i>	20,000		20,000	
PLAYWRIGHTS FOUNDATION San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$30,000)</i>		20,000	20,000	
POCKET OPERA San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$80,000)</i>		40,000	40,000	
■ SAN FRANCISCO MIME TROUPE San Francisco, California <i>For general support</i>	10,000		10,000	
SAN FRANCISCO OPERA ASSOCIATION San Francisco, California <i>For general support of the Opera Center</i> <i>(Awarded in 1995 for \$450,000)</i>		300,000	100,000	200,000
■ SAN JOSE REPERTORY THEATRE San Jose, California <i>For general support</i>	175,000		100,000	75,000

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
SEW PRODUCTIONS/LORRAINE HANSBERRY THEATRE San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$50,000)</i>		25,000	25,000	
■ SHAKESPEARE SAN FRANCISCO San Francisco, California <i>For general support</i>	150,000		50,000	100,000
SOON 3 THEATRE San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$60,000)</i>		20,000	20,000	
THEATRE BAY AREA San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$40,000)</i>		20,000	20,000	
■ THEATRE OF YUGEN San Francisco, California <i>For general support</i>	45,000		15,000	30,000
THEATREWORKS Palo Alto, California <i>For general support</i> <i>(Awarded in 1995 for \$150,000)</i>		100,000	50,000	50,000
■ WEST BAY OPERA ASSOCIATION Palo Alto, California <i>For general support</i>	80,000		40,000	40,000
<i>Dance</i>				
■ BERKELEY CITY BALLET Berkeley, California <i>For general support</i>	35,000		12,500	22,500
■ CIRCUIT NETWORK San Francisco, California <i>For general support</i>	40,000		20,000	20,000
■ DANCERS' GROUP/FOOTWORK STUDIO San Francisco, California <i>For general support</i>	60,000		20,000	40,000
DANCE THROUGH TIME San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$75,000)</i>		25,000	25,000	

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
DANCE/USA Washington, D.C. <i>For general support</i> <i>(Awarded in 1995 for \$40,000)</i>		20,000	20,000	
DELLA DAVIDSON DANCE COMPANY San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$35,000)</i>		15,000	15,000	
DIMENSIONS DANCE THEATER Oakland, California <i>For general support</i> <i>(Awarded in 1993 for \$45,000)</i>		15,000	15,000	
■ <i>For general support</i>	45,000		45,000	
ELLEN WEBB DANCE FOUNDATION Oakland, California <i>For the Talking Dance Project</i> <i>and for general support</i> <i>(Awarded in 1994 for \$30,000)</i>		20,000	10,000	10,000
FRIENDS OF OLYMPIA STATION, TANDY BEAL AND COMPANY/NEW PICKLE CIRCUS Santa Cruz, California <i>For general support</i> <i>(Awarded in 1995 for \$60,000)</i>		30,000	30,000	
JOE GOODE PERFORMANCE GROUP San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$40,000)</i>		20,000	20,000	
■ JOSE LIMON DANCE FOUNDATION New York, New York <i>For Limón West</i>	10,000		10,000	
■ JUNE WATANABE DANCE COMPANY San Rafael, California <i>For general support</i>	25,000		25,000	
■ LINES CONTEMPORARY BALLET San Francisco, California <i>For general support</i>	120,000		120,000	
MARGARET JENKINS DANCE COMPANY San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$135,000)</i>		45,000	45,000	
NEW YORK PUBLIC LIBRARY, DANCE HERITAGE COALITION Pelham, New York <i>For the Access to Dance Research Resources Project</i> <i>(Awarded in 1995 for \$50,000)</i>		50,000	50,000	

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
OAKLAND BALLET COMPANY AND GUILD Oakland, California <i>For general support</i> <i>(Awarded in 1995 for \$200,000)</i>		150,000	100,000	50,000
OBERLIN DANCE COLLECTIVE San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$150,000)</i>		100,000	50,000	50,000
PENINSULA BALLET THEATRE San Mateo, California <i>For general support</i> <i>(Awarded in 1994 for \$40,000)</i>		20,000	20,000	
■ <i>For general support</i>	40,000		20,000	20,000
SAN FRANCISCO BALLET ASSOCIATION San Francisco, California <i>For the San Francisco Ballet School</i> <i>(Awarded in 1995 for \$300,000)</i>		300,000	225,000	75,000
■ <i>For general support while displaced from the War Memorial Opera House</i>	750,000		750,000	
■ SAN JOSE CLEVELAND BALLET San Jose, California <i>For general support</i>	150,000		150,000	
■ ZOCHO Palo Alto, California <i>For general support</i>	90,000		30,000	60,000

Film and Video

■ BAY AREA VIDEO COALITION San Francisco, California <i>For general support</i>	175,000		175,000	
■ FILM ARTS FOUNDATION San Francisco, California <i>For general support and to help defray overhead expenses for the media organizations that constitute the Ninth Street Media Arts Center</i>	150,000		150,000	
■ MILL VALLEY FILM FESTIVAL, FILM INSTITUTE OF NORTHERN CALIFORNIA Mill Valley, California <i>For general support</i>	25,000			25,000
SAN FRANCISCO CINEMATHEQUE San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$60,000)</i>		40,000	20,000	20,000

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Supporting Services</i>				
■ ARTS COUNCIL OF SANTA CLARA COUNTY San Jose, California <i>For general support and for the regranting program</i>	120,000		60,000	60,000
■ BUSINESS-ARTS COUNCIL San Francisco, California <i>For general support of Business Volunteers for the Arts/San Francisco and for the affiliate programs in the East Bay and in Marin County, and for general support of the Arts Management Institute program</i>	175,000		175,000	
UNIVERSITY OF CALIFORNIA, BERKELEY, CAL PERFORMANCES Berkeley, California <i>For general support, with a focus on music programs and on the Berkeley Festival and Exhibition (Awarded in 1995 for \$200,000)</i>		100,000	100,000	
CALIFORNIA LAWYERS FOR THE ARTS San Francisco, California <i>For general support of the San Francisco and Oakland offices (Awarded in 1995 for \$60,000)</i>		40,000	20,000	20,000
■ CITY CELEBRATION, WORLD ARTS WEST San Francisco, California <i>For general support</i>	120,000		40,000	80,000
■ CLIMATE THEATRE San Francisco, California <i>For general support with a focus on the Solo Mio Festival</i>	20,000		20,000	
CULTURAL COUNCIL OF SANTA CRUZ COUNTY Aptos, California <i>For general support and for the regranting program (Awarded in 1995 for \$100,000)</i>		50,000	50,000	
EIGHTY LANGTON STREET/ NEW LANGTON ARTS San Francisco, California <i>For general support and for the regranting program (Awarded in 1995 for \$40,000)</i>		20,000	20,000	
■ FORT MASON FOUNDATION San Francisco, California <i>For the Cowell Theater's In Performance Series</i>	15,000		15,000	

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ GRANTMAKERS IN THE ARTS New York, New York <i>For general support</i>	10,000		10,000	
■ HEADLANDS ARTS CENTER Sausalito, California <i>For the performance series</i>	30,000		10,000	20,000
■ INTERSECTION FOR THE ARTS San Francisco, California <i>For general support</i>	50,000		25,000	25,000
JAZZ IN THE CITY, SAN FRANCISCO JAZZ FESTIVAL San Francisco, California <i>For general support, with a focus on the Jazz Masters Program (Awarded in 1995 for \$70,000)</i>		35,000	35,000	
■ KONCEPTS CULTURAL GALLERY Oakland, California <i>For general support</i>	10,000		10,000	
■ MARSH, A BREEDING GROUND FOR NEW PERFORMANCE San Francisco, California <i>For general support</i>	45,000		15,000	30,000
MONTALVO ASSOCIATION, VILLA MONTALVO Saratoga, California <i>For general support, with a focus on classical music concerts and the Discovery Series (Awarded in 1995 for \$75,000)</i>		50,000	25,000	25,000
■ MUSIC AT KOHL MANSION Burlingame, California <i>For general support</i>	15,000		15,000	
NONPROFIT FACILITIES FUND San Francisco, California <i>For the Bay Area Cultural Facilities Fund (Awarded in 1994 for \$110,000)</i>		20,000	20,000	
■ OLD FIRST CENTER FOR THE ARTS, OLD FIRST CONCERTS San Francisco, California <i>For general support</i>	45,000		15,000	30,000
SAN FRANCISCO PERFORMANCES San Francisco, California <i>For general support and for the Alexander String Quartet residency program (Awarded in 1994 for \$225,000)</i>		75,000	75,000	

Performing Arts: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
SAN FRANCISCO PERFORMING ARTS LIBRARY AND MUSEUM San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$150,000)</i>		50,000	50,000	
■ STANFORD UNIVERSITY Stanford, California <i>For the Lively Arts at Stanford</i>	60,000		30,000	30,000
STERN GROVE FESTIVAL San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$60,000)</i>		20,000	20,000	
■ THE.ART.RE.GRÜP, THE LAB San Francisco, California <i>For general support</i>	40,000		20,000	20,000
THEATER ARTAUD San Francisco, California <i>For general support</i> <i>(Awarded in 1994 for \$200,000)</i>		80,000	80,000	
TOTAL PERFORMING ARTS	\$4,710,000*	\$3,400,000	\$5,682,500	\$2,427,500

*1996 authorizations (\$4,750,000) minus cancellation (\$40,000).

Rapid population growth continues to be a significant world-wide problem, despite the impact organized family planning programs have had in reducing fertility. The Foundation has three primary goals in this area: to increase the involvement of the public and private sectors, the media, and educational institutions in population issues; to improve the delivery of family planning and related reproductive health services; and to evaluate and help replicate the impact of educational and economic development activities on fertility. U.S. population issues are also of concern but represent a smaller proportion of the Foundation's annual program budget.

Within these three priorities, the Foundation supports a range of activities. Specific interests include the following areas:

- Policy-oriented research and educational activities that inform policymakers both in the U.S. and abroad about the importance of population issues and the relevance of demographic change to other aspects of human welfare. The Foundation emphasizes efforts to expand the availability of financial resources and, through training, human resources to address population issues.
- Programs that develop and disseminate the knowledge and techniques needed to improve the quality and effectiveness of family planning activities. Support is also provided to evaluate the cost and practicality of programs that address broader reproductive health concerns in conjunction with family planning.
- Human development activities and interventions that affect fertility, such as programs that enhance women's economic and educational opportunities, improve their legal rights, diminish gender inequities, and foster female self-determination. Preference will be given to programs that include assessment of the cost and practicality of larger scale replication and evaluation of their impact on fertility behavior.
- Carefully selected research and development activities with the purpose of developing new and improved fertility control methods. The applied research and field testing needed to speed the development and availability of promising methods of fertility regulation is supported, rather than basic research.

Program Description

Grants are made primarily to U.S.-based organizations, but there are no geographic limitations on support for research, family planning projects, or training. While the focus of such activities will be on developing countries, selected U.S. organizations that *engage in highly leveraged domestic family planning activities* also remain eligible for support.

The Foundation generally provides organizational, rather than project, support and favors those organizations that seek to bridge the gap between research, policy formulation, and program implementation.

**Population:
Organizations
(by Category)**

**Grants
Authorized
1996**

**Unpaid
Grants
12/31/95**

**Payments
Made
1996**

**Unpaid
Grants
12/31/96**

Increasing Commitment to Address Population Issues

■ AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE Washington, D.C. <i>For the Population and Sustainable Development Program</i>	\$50,000			\$50,000
■ AUSTRALIAN REPRODUCTIVE HEALTH FOUNDATION Canberra, Australia <i>For general support</i>	300,000		200,000	100,000
CATHOLICS FOR A FREE CHOICE Washington, D.C. <i>For general support (Awarded in 1995 for \$450,000)</i>		300,000	150,000	150,000
■ CENTER FOR REPRODUCTIVE LAW AND POLICY New York, New York <i>For the International Program</i>	550,000		200,000	350,000
CHILD TRENDS, INC. Washington, D.C. <i>For disseminating information regarding children and families (Awarded in 1994 for \$300,000)</i>		200,000	200,000	
DEFENDERS OF WILDLIFE Washington, D.C. <i>For population activities related to policy development and public education (Awarded in 1995 for \$7,500)</i>		7,500	7,500	
DEUTSCHE STIFTUNG WELTBEVOLKERUNG Hannover, Germany <i>For general support (Awarded in 1995 for \$200,000)</i>		135,000	75,000	60,000
EARTH SUMMIT WATCH Washington, D.C. <i>For the Cairo Implementation Project (Awarded in 1995 for \$150,000)</i>		150,000	150,000	
EDUCATIONAL COMMUNICATIONS CORPORATION Los Angeles, California <i>For general support (Awarded in 1995 for \$22,500)</i>		22,500	22,500	

■ Grants newly authorized in 1996 are highlighted by square boxes.

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
EQUILIBRES ET POPULATIONS Levallois-Perret Cedex, France <i>For international population and family planning programs</i> (Awarded in 1995 for \$200,000)		130,000		130,000
■ FAMILY PLANNING ASSOCIATION OF DENMARK Hellerup, Denmark <i>For the Advocacy and Institutional Capacity Development for Youth Reproductive Health Programmes</i>	250,000		250,000	
■ FAMILY PLANNING ASSOCIATION OF NEW ZEALAND Wellington, New Zealand <i>For a public education and information project</i>	150,000		100,000	50,000
■ INDEPENDENT COMMISSION ON POPULATION AND QUALITY OF LIFE Paris, France <i>For the preparation and distribution of reports on population and water availability</i>	20,000		20,000	
INTERNATIONAL FOUNDATION FOR EDUCATION AND SELF-HELP Phoenix, Arizona <i>For the family planning initiative of the African-African American Summit</i> (Awarded in 1994 for \$450,000)		250,000	250,000	
■ INTERNATIONAL RESCUE COMMITTEE New York, New York <i>For the Women's Commission for Refugee Women and Children</i>	250,000		170,000	80,000
NATIONAL ISSUES FORUMS INSTITUTE Dayton, Ohio <i>For a research project entitled "The Public's Attitudes on Foreign Aid"</i> (Awarded in 1995 for \$5,000)		5,000	5,000	
PLANET 21 London, England <i>For The People and the Planet</i> (Awarded in 1995 for \$15,000)		15,000	15,000	
■ <i>For The People and the Planet</i>	50,000		50,000	
POPULATION ACTION INTERNATIONAL Washington, D.C. <i>For general support</i> (Awarded in 1994 for \$225,000)		75,000	75,000	

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ POPULATION COMMUNICATIONS INTERNATIONAL South Burlington, Vermont <i>For general support</i>	500,000		200,000	300,000
■ REPRODUCTIVE HEALTH TECHNOLOGIES PROJECT Washington, D.C. <i>For general support</i>	150,000		50,000	100,000
SEXUALITY INFORMATION AND EDUCATION COUNCIL OF THE UNITED STATES, INC. New York, New York <i>For international activities (Awarded in 1995 for \$450,000)</i>		300,000	150,000	150,000
SOCIETY OF PHYSICIANS FOR REPRODUCTIVE CHOICE AND HEALTH New York, New York <i>For general support (Awarded in 1994 for \$150,000)</i>		75,000	75,000	
TIDES CENTER San Francisco, California <i>For the COLLAGE: Comics, Literacy, and Gender Project (Awarded in 1995 for \$10,000)</i>		10,000	10,000	
■ UNITED NATIONS POPULATION FUND New York, New York <i>For general support</i>	375,000		375,000	
■ WOMEN'S POLICY Washington, D.C. <i>For strengthening policy development and informational programs relating to reproductive health, population, and family planning</i>	50,000		50,000	
WORLD POPULATION FOUNDATION Hilversum, The Netherlands <i>For general support (Awarded in 1995 for \$350,000)</i>		225,000	225,000	
ZERO POPULATION GROWTH Washington, D.C. <i>For the Population Education Program (Awarded in 1995 for \$300,000)</i>		200,000	100,000	100,000

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>International Family Planning and Development</i>				
ACADEMIA MEXICANA DE INVESTIGACION EN DEMOGRAFIA MEDICA Mexico City, Mexico <i>For general support</i> <i>(Awarded in 1993 for \$225,000)</i>				
		75,000	75,000	
ADVOCATES FOR YOUTH Washington, D.C. <i>For general support</i> <i>(Awarded in 1995 for \$300,000)</i>				
		200,000	100,000	100,000
AFRICAN MEDICAL AND RESEARCH FOUNDATION New York, New York <i>For the Family Health Unit</i> <i>(Awarded in 1994 for \$600,000)</i>				
		200,000	200,000	
ASIA FOUNDATION San Francisco, California <i>For family planning activities in Pakistan and Nepal</i> <i>(Awarded in 1995 for \$320,000)</i>				
		160,000	160,000	
AVSC INTERNATIONAL New York, New York <i>For general support</i> <i>(Awarded in 1995 for \$425,000)</i>				
		425,000	125,000	300,000
CENTRE FOR DEVELOPMENT AND POPULATION ACTIVITIES Washington, D.C. <i>For family planning services and related activities</i> <i>(Awarded in 1994 for \$500,000)</i>				
		166,000	166,000	
■ FAMILY CARE INTERNATIONAL New York, New York <i>For general support</i>				
	50,000		50,000	
FEDERACION MEXICANA DE ASOCIACIONES PRIVADAS DE SALUD Y DESARROLLO COMUNITARIO Cuidad Juarez, Chihuahua, Mexico <i>For general support</i> <i>(Awarded in 1994 for \$200,000)</i>				
		100,000		100,000
FUNDACION MEXICANA PARA LA PLANEACION FAMILIAR Tlalpan, Mexico City, Mexico <i>For general support</i> <i>(Awarded in 1994 for \$300,000)</i>				
		200,000	100,000	100,000

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ INSTITUTO NACIONAL DE NUTRICION Chiapas, Mexico <i>For family planning and reproductive health activities of the Centro de Capacitación en Ecología y Salud para Campesinos</i> (Awarded in 1995 for \$50,000)		25,000	25,000	
INTERNATIONAL PLANNED PARENTHOOD FEDERATION, WESTERN HEMISPHERE REGION New York, New York <i>For general support</i> (Awarded in 1995 for \$300,000)		300,000	200,000	100,000
INTERNATIONAL WOMEN'S HEALTH COALITION New York, New York <i>For general support</i> (Awarded in 1994 for \$405,000)		135,000	135,000	
■ IPAS Carrboro, North Carolina <i>For general support</i>	900,000		300,000	600,000
MANAGEMENT SCIENCES FOR HEALTH, POPULATION PROGRAM Newton, Massachusetts <i>For family planning programs in Egypt, Morocco, and Tunisia</i> (Awarded in 1995 for \$350,000)		175,000	175,000	
MARIE STOPES INTERNATIONAL New York, New York <i>For international family planning and reproductive health programs</i> (Awarded in 1995 for \$600,000)		400,000		400,000
■ PARTNERS OF THE AMERICAS Washington, D.C. <i>For family planning information and services for women</i>	200,000		100,000	100,000
PATHFINDER INTERNATIONAL Watertown, Massachusetts <i>For projects in Ethiopia, Tanzania, Uganda, and South Africa</i> (Awarded in 1994 for \$750,000)		500,000	250,000	250,000
PLANNED PARENTHOOD FEDERATION OF AMERICA New York, New York <i>For the international programs</i> (Awarded in 1994 for \$550,000)		300,000	300,000	

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
PLANNED PARENTHOOD OF NEW YORK CITY, MARGARET SANGER CENTER INTERNATIONAL New York, New York <i>For the South Africa Initiative</i> <i>(Awarded in 1994 for \$450,000)</i>		150,000		150,000
PLANNING ASSISTANCE Washington, D.C. <i>For the Population Program</i> <i>(Awarded in 1994 for \$450,000)</i>		300,000	150,000	150,000
POPULATION SERVICES INTERNATIONAL Washington, D.C. <i>For general support</i> <i>(Awarded in 1992 for \$770,000)</i>		191,000	191,000	
<i>For general support</i>	750,000		750,000	
PROJECT CONCERN INTERNATIONAL San Diego, California <i>For general support</i> <i>(Awarded in 1995 for \$200,000)</i>		100,000	100,000	
SAVE THE CHILDREN FEDERATION Westport, Connecticut <i>For a family planning project in The Gambia</i> <i>(Awarded in 1992 for \$185,000)</i>		61,000	61,000	
<i>For general support of the Health/ Population/Nutrition office</i> <i>(Awarded in 1995 for \$150,000)</i>		100,000		100,000
WORLD NEIGHBORS Oklahoma City, Oklahoma <i>For reproductive health and family planning activities</i>	250,000		100,000	150,000
WORLD POPULATION SOCIETY Washington, D.C. <i>To provide technical assistance and training for Vietnam's family planning program</i> <i>(Awarded in 1995 for \$200,000)</i>		130,000	70,000	60,000
WORLD WILDLIFE FUND Washington, D.C. <i>For the Women in Environment Bhutan Project</i> <i>(Awarded in 1995 for \$45,000)</i>		45,000	45,000	

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
Domestic Family Planning				
■ UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California <i>For a study of incentives in family planning and reproductive health services</i>	30,000		30,000	
NATIONAL FAMILY PLANNING AND REPRODUCTIVE HEALTH ASSOCIATION Washington, D.C. <i>For general support (Awarded in 1995 for \$450,000)</i>		300,000	150,000	150,000
PLANNED PARENTHOOD FEDERATION OF AMERICA New York, New York <i>For general support (Awarded in 1995 for \$430,000)</i>		430,000	430,000	
PLANNED PARENTHOOD FEDERATION OF AMERICA, WESTERN REGION OFFICE San Francisco, California <i>For a multi-affiliate program to recruit clients and increase financial stability (Awarded in 1993 for \$450,000)</i>		150,000	150,000	
■ PLANNED PARENTHOOD FEDERATION OF AMERICA, AFFILIATE SERVICES CENTER/ SAN FRANCISCO San Francisco, California <i>For the improved marketing system</i>	660,000		290,000	370,000
PROGRAM FOR APPROPRIATE TECHNOLOGY IN HEALTH Seattle, Washington <i>For general support (Awarded in 1995 for \$900,000)</i>		600,000	300,000	300,000
Population and Human Development				
ALAN GUTTMACHER INSTITUTE New York, New York <i>For policy analysis (Awarded in 1993 for \$1,000,000)</i>		330,000	330,000	
■ <i>For general support</i>	1,200,000		400,000	800,000
BROWN UNIVERSITY, POPULATION STUDIES AND TRAINING CENTER Providence, Rhode Island <i>For general support (Awarded in 1994 for \$525,000)</i>		350,000	350,000	

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, INSTITUTE FOR HEALTH POLICY STUDIES San Francisco, California <i>For the Center for Reproductive Health Policy Research</i> <i>(Awarded in 1993 for \$400,000)</i>		133,000	133,000	
■ <i>For the Center for Reproductive Health Policy Research</i>	340,000		120,000	220,000
CENTER FOR CULTURAL AND TECHNICAL INTERCHANGE BETWEEN EAST AND WEST Honolulu, Hawaii <i>For the Program on Population's Summer Seminar</i> <i>(Awarded in 1995 for \$215,000)</i>		150,000	75,000	75,000
■ <i>For the research project entitled "Population and the Asian Economic Miracle"</i>	100,000		50,000	50,000
CENTRO BRASILEIRO DE ANALISE E PLANEJAMENTO São Paulo, Brazil <i>For the Consortium for Research on Television and Reproductive Behavior</i> <i>(Awarded in 1994 for \$400,000)</i>		200,000		200,000
UNIVERSITY OF CHICAGO, POPULATION RESEARCH CENTER Chicago, Illinois <i>For training and research activities</i> <i>(Awarded in 1993 for \$220,000)</i>		110,000	110,000	
CHULALONGKORN UNIVERSITY, INSTITUTE OF POPULATION STUDIES Bangkok, Thailand <i>For general support</i> <i>(Awarded in 1994 for \$75,000)</i>		25,000	25,000	
■ COLUMBIA UNIVERSITY, SCHOOL OF PUBLIC HEALTH New York, New York <i>For the Center for Population and Family Health</i>	450,000		150,000	300,000
CORNELL UNIVERSITY Ithaca, New York <i>For the Population and Development Program</i> <i>(Awarded in 1993 for \$420,000)</i>		140,000	140,000	
■ <i>For the Population and Development Program in the Department of Rural Sociology</i>	330,000			330,000

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
UNIVERSITY OF COSTA RICA San José, Costa Rica <i>For the Central American Population Program</i> (Awarded in 1995 for \$135,000)		135,000	45,000	90,000
GLOBAL FUND FOR WOMEN Palo Alto, California <i>To evaluate the importance of women's programs on fertility</i> (Awarded in 1995 for \$225,000)		100,000	100,000	
JOHNS HOPKINS UNIVERSITY, HOPKINS POPULATON CENTER Baltimore, Maryland <i>For general support</i> (Awarded in 1995 for \$270,000)		270,000	90,000	180,000
JSI RESEARCH AND TRAINING INSTITUTE Arlington, Virginia <i>For the Empowerment of Women research program</i> (Awarded in 1995 for \$200,000)		100,000	100,000	
MAHIDOL UNIVERSITY, INSTITUTE FOR POPULATION AND SOCIAL RESEARCH Nakhon Pathom, Thailand <i>For general support</i> (Awarded in 1994 for \$150,000)		50,000	50,000	
■ UNIVERSITY OF MARYLAND College Park, Maryland <i>For the Center on Population, Gender, and Social Inequality</i>	240,000		160,000	80,000
UNIVERSITY OF MICHIGAN Ann Arbor, Michigan <i>For general support of the Population Studies Center</i> (Awarded in 1995 for \$225,000)		150,000		150,000
<i>For the Center for Population Planning</i> (Awarded in 1995 for \$120,000)		120,000	40,000	80,000
■ NATIONAL ACADEMY OF SCIENCES, COMMITTEE ON POPULATION Washington, D.C. <i>For international activities</i>	350,000		125,000	225,000
■ UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL Chapel Hill, North Carolina <i>For the Carolina Populaton Center</i>	400,000		300,000	100,000

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ PENNSYLVANIA STATE UNIVERSITY University Park, Pennsylvania <i>For the Population Research Institute</i>	330,000		110,000	220,000
UNIVERSITY OF PENNSYLVANIA, GRADUATE GROUP IN DEMOGRAPHY Philadelphia, Pennsylvania <i>For training and research (Awarded in 1991 for \$750,000)</i>		150,000	150,000	
■ POPULATION ASSOCIATION OF AMERICA Silver Spring, Maryland <i>For general support</i>	150,000		50,000	100,000
POPULATION COUNCIL Mexico City, Mexico <i>For the Gender, Family, and Development Program (Awarded in 1994 for \$400,000)</i>		250,000	150,000	100,000
POPULATION COUNCIL New York, New York <i>For the Research Division (Awarded in 1993 for \$1,095,000)</i>		365,000	365,000	
POPULATION REFERENCE BUREAU Washington, D.C. <i>For international policy analysis activities (Awarded in 1993 for \$300,000)</i>		100,000	100,000	
■ <i>For general support</i>	500,000		170,000	330,000
POPULATION RESOURCE CENTER Princeton, New Jersey <i>For general support (Awarded in 1995 for \$300,000)</i>		200,000	100,000	100,000
PRINCETON UNIVERSITY, OFFICE OF POPULATION RESEARCH Princeton, New Jersey <i>For general support (Awarded in 1995 for \$375,000)</i>		250,000		250,000
■ RAND CORPORATION Santa Monica, California <i>For the Labor and Population Program</i>	1,200,000		800,000	400,000
■ UNIVERSITY OF SOUTHERN CALIFORNIA, DEPARTMENT OF SOCIOLOGY Los Angeles, California <i>For the Population Research Laboratory</i>	225,000		75,000	150,000

Population: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
UNIVERSITY OF TEXAS, AUSTIN, POPULATION RESEARCH CENTER Austin, Texas <i>For research in or on developing countries and for foreign student training (Awarded in 1992 for \$650,000)</i>		130,000	130,000	
UNIVERSIDADE FEDERALE DE MINAS GERAIS, CEDEPLAR Minas Gerais, Brazil <i>For general support (Awarded in 1994 for \$150,000)</i>		50,000	50,000	
■ UNIVERSITY OF WASHINGTON Seattle, Washington <i>For the Center for Studies in Demography and Ecology</i>	300,000		200,000	100,000
WESTERN CONSORTIUM FOR PUBLIC HEALTH, PACIFIC INSTITUTE FOR WOMEN'S HEALTH Los Angeles, California <i>For a research program entitled "Demographic and Health Outcomes of Economic Integration in the Americas" (Awarded in 1994 for \$450,000)</i>		300,000	150,000	150,000
TOTAL POPULATION	\$11,650,000	\$12,176,000	\$13,946,000	\$9,880,000

Special Projects

Special Projects: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Public Policy</i>				
AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH Washington, D.C. <i>For general support (Awarded in 1995 for \$300,000)</i>		\$200,000	\$100,000	\$100,000
■ BROOKINGS INSTITUTION Washington, D.C. <i>For general support</i>	350,000		200,000	150,000
UNIVERSITY OF CALIFORNIA, BERKELEY, CONSORTIUM FOR THE STUDY OF SOCIETY AND EDUCATION Berkeley, California <i>(Awarded in 1993 for \$150,000)</i>		50,000	50,000	
UNIVERSITY OF SOUTHERN CALIFORNIA, CENTER FOR INTERNATIONAL STUDIES Los Angeles, California <i>For the Pacific Council on International Policy (Awarded in 1994 for \$250,000)</i>		125,000	125,000	
STANFORD UNIVERSITY Stanford, California <i>For the Center for Economic Policy Research (Awarded in 1995 for \$300,000)</i>		150,000		150,000
<i>Nonprofit Service Organizations</i>				
■ COUNCIL ON FOUNDATIONS Washington, D.C. <i>For the international grantmaking program</i>	25,000		25,000	
■ FOUNDATION CENTER New York, New York <i>For general support</i>	150,000		50,000	100,000
■ INDEPENDENT SECTOR Washington, D.C. <i>For general support</i>	400,000		134,000	266,000

■ Grants newly authorized in 1996 are highlighted by square boxes.

Special Projects: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
<i>Literacy</i>				
LITERACY SOUTH Durham, North Carolina <i>For general support</i> <i>(Awarded in 1993 for \$375,000)</i>		125,000	125,000	
<i>Other</i>				
■ AMERICAN ASSEMBLY New York, New York <i>For the project entitled "U.S. National Interests in the Western Hemisphere"</i>	150,000		150,000	
AMERICAN PHILOSOPHICAL SOCIETY Philadelphia, Pennsylvania <i>For general support</i> <i>(Awarded in 1995 for \$50,000)</i>		50,000	50,000	
■ AMERICAN UNIVERSITY OF BEIRUT New York, New York <i>For general support</i>	150,000		150,000	
■ ASIAN ART MUSEUM OF SAN FRANCISCO San Francisco, California <i>For the New Asian project at the Civic Center</i>	300,000		300,000	
■ BOYS AND GIRLS CLUB OF THE PENINSULA Menlo Park, California <i>For general support</i>	25,000			25,000
■ CALIFORNIA COUNCIL ON SCIENCE AND TECHNOLOGY Riverside, California <i>For the Science and Technology Fellows Program</i>	350,000		350,000	
UNIVERSITY OF CALIFORNIA BERKELEY FOUNDATION Berkeley, California <i>For the establishment of an endowment for the Adopt a Young Musician Program in honor of Roger and Esther Heyns</i> <i>(Awarded in 1995 for \$250,000)</i>		250,000	250,000	
UNIVERSITY OF CALIFORNIA BERKELEY FOUNDATION, CALIFORNIA ALUMNI ASSOCIATION Berkeley, California <i>For alumni scholarships in honor of Roger and Esther Heyns</i> <i>(Awarded in 1995 for \$200,000)</i>		200,000	200,000	

Special Projects: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
UNIVERSITY OF CALIFORNIA, BERKELEY, INTERNATIONAL AND AREA STUDIES Berkeley, California <i>For the American Center for German Studies in Berlin</i> <i>(Awarded in 1995 for \$75,000)</i>		50,000		50,000
UNIVERSITY OF CALIFORNIA, BERKELEY, OFFICE OF THE CHANCELLOR Berkeley, California <i>For doctoral fellowships</i> <i>(Awarded in 1995 for \$2,000,000)</i>		2,000,000	500,000	1,500,000
UNIVERSITY OF CALIFORNIA, OFFICE OF THE PRESIDENT Oakland, California <i>For an assessment of higher education and issues related to the Master Plan for Higher Education</i> <i>(Awarded in 1995 for \$420,000)</i>		420,000	420,000	
CAREER ACTION CENTER Cupertino, California <i>For the Campaign for a New Era</i>	25,000		25,000	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING Princeton, New Jersey <i>For a collaboration with the National Center for Education Development Research, the research arm of China's State Education Commission</i> <i>(Awarded in 1995 for \$50,000)</i>		50,000	50,000	
CENTER FOR ADVANCED STUDY IN THE BEHAVIORAL SCIENCES Stanford, California <i>For the Common Values as Social Process Project</i> <i>(Awarded in 1995 for \$500,000)</i>		425,000	425,000	
<i>For the endowment for international fellows</i> <i>(Awarded in 1995 for \$500,000)</i>		500,000	400,000	100,000
COMMITTEE TO RESTORE THE OPERA HOUSE San Francisco, California <i>For project management expenses during renovation of the War Memorial Opera House</i>	500,000		500,000	
DJERASSI RESIDENT ARTISTS PROGRAM Woodside, California <i>For general support</i>	50,000		50,000	

Special Projects: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ FAMILY FOUNDATION OF NORTH AMERICA Milwaukee, Wisconsin <i>For the Community Centered Initiative for Family Service America</i>	300,000		100,000	200,000
FIRST NATIONS DEVELOPMENT INSTITUTE Fredericksburg, Virginia <i>For the Funders' Collaborative for American Indian Development (Awarded in 1993 for \$450,000)</i>		150,000	150,000	
■ <i>For the Eagle Staff Fund</i>	700,000		700,000	
GRADUATE THEOLOGICAL UNION Berkeley, California <i>To create a presidential discretionary fund endowment (Awarded in 1992 for \$200,000)</i>		58,000	58,000	
■ HARVARD UNIVERSITY, GRADUATE SCHOOL OF EDUCATION Cambridge, Massachusetts <i>For the project on humane creativity</i>	250,000		75,000	175,000
■ KQED San Francisco, California <i>For general support</i>	300,000		300,000	
■ NATIONAL ASSOCIATION OF STATE UNIVERSITIES AND LAND-GRANT COLLEGES Washington, D.C. <i>For the National Minority Graduate Feeder Program</i>	50,000		50,000	
■ NATIONAL GALLERY OF ART Washington, D.C. <i>For the New Century Fund</i>	250,000		250,000	
NATIONAL PEACE GARDEN FOUNDATION Washington, D.C. <i>For the National Peace Garden (Awarded in 1994 for \$100,000)</i>		50,000	50,000	
■ <i>For general support in memory of Loret Miller Ruppe</i>	250,000		250,000	
NATIONAL PUBLIC RADIO Washington, D.C. <i>For general support (Awarded in 1994 for \$300,000)</i>		100,000	100,000	

Special Projects: Organizations (by Category)	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
PENINSULA BRIDGE PROGRAM				
Menlo Park, California				
<i>For general support</i>				
<i>(Awarded in 1995 for \$200,000)</i>		100,000	100,000	
SALZBURG SEMINAR				
Middlebury, Vermont				
■ <i>For development of the Universities project</i>	100,000		100,000	
■ <i>For the Universities project</i>	750,000		750,000	
SAN FRANCISCO ART INSTITUTE				
San Francisco, California				
<i>For general support</i>				
<i>(Awarded in 1993 for \$150,000)</i>		50,000	50,000	
■ STANFORD UNIVERSITY				
Stanford, California				
<i>For the Stanford Introductory Studies Project</i>	1,000,000		1,000,000	
TOTAL SPECIAL PROJECTS	\$6,425,000	\$5,103,000	\$8,712,000	\$2,816,000

Interprogram Initiatives

Interprogram Initiatives: Organizations	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ ARIZONA-SONORAN DESERT TRAILSIDE MUSEUM ASSOCIATION Tucson, Arizona <i>For the project entitled "Toward a Bioregional Transboundary Sense of Place: Linking Cultural Diversity with Biodiversity in the Arid U.S./Mexico Borderlands"</i>	\$50,000		\$50,000	
BAYVIEW-HUNTERS POINT FOUNDATION FOR COMMUNITY, BALBOA TEEN HEALTH CENTER San Francisco, California <i>For a pregnancy and STD prevention program for high-risk youth (Awarded in 1995 for \$200,000)</i>		90,000		90,000
CALIFORNIA LAWYERS FOR THE ARTS San Francisco, California <i>For the Arts Resolution Services (Awarded in 1994 for \$200,000)</i>		50,000	50,000	
■ EAST PALO ALTO HISTORICAL AND AGRICULTURAL SOCIETY East Palo Alto, California <i>For general support</i>	60,000		30,000	30,000
■ LOUISIANA STATE UNIVERSITY, DEPARTMENT OF SOCIOLOGY Baton Rouge, Louisiana <i>For a research project entitled "The Health Consequences of Mexican Migration to the United States"</i>	200,000		200,000	
■ MATERIALS FOR THE FUTURE FOUNDATION San Francisco, California <i>For general support</i>	175,000		175,000	
UNIVERSITY OF PENNSYLVANIA, POPULATION STUDIES CENTER Philadelphia, Pennsylvania <i>For a study of Mexican migration to the United States (Awarded in 1994 for \$400,000)</i>		150,000		150,000
RAND CORPORATION, CENTER FOR RESEARCH ON IMMIGRATION POLICY Santa Monica, California <i>For research on California immigration (Awarded in 1995 for \$375,000)</i>		250,000	125,000	125,000

■ Grants newly authorized in 1996 are highlighted by square boxes.

Interprogram Initiatives: Organizations	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
SAN DIEGO STATE UNIVERSITY, INSTITUTE FOR REGIONAL STUDIES San Diego, California <i>For the environmental and public policy issues of the Mexico-U.S. border region program (Awarded in 1995 for \$210,000)</i>		140,000		140,000
SAN FRANCISCO SCHOOL VOLUNTEERS San Francisco, California <i>For the project on community involvement in the schools (Awarded in 1995 for \$240,000)</i>		120,000	120,000	
■ SURFACE TRANSPORTATION POLICY PROJECT Washington, D.C. <i>For community outreach, information, dissemination, and education activities in the Bay Area</i>	90,000		90,000	
UNIVERSITY OF TEXAS, AUSTIN, L.B.J. SCHOOL OF PUBLIC AFFAIRS Austin, Texas <i>For the Program on Migration Studies (Awarded in 1994 for \$450,000)</i>		300,000		300,000
■ UNITED STATES COMMISSION ON IMMIGRATION REFORM Washington, D.C. <i>For the Binational Study of Migration between Mexico and the United States</i>	100,000		100,000	
URBAN INSTITUTE Washington, D.C. <i>For the Immigrant Policy Program (Awarded in 1995 for \$450,000)</i>		300,000	300,000	
■ UNIVERSITY OF WISCONSIN, MADISON, CENTER FOR DEMOGRAPHY AND ECOLOGY Madison, Wisconsin <i>For a research project entitled "The Health Consequences of Mexican Migration to the United States"</i>	175,000		175,000	
UNIVERSITY OF WISCONSIN, MADISON, DEPARTMENT OF SOCIOLOGY Madison, Wisconsin <i>For the study entitled "The Health Consequences of Mexican Migration to the United States" (Awarded in 1995 for \$5,000)</i>		5,000	5,000	

Interprogram Initiatives: Organizations	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
■ WORLDWATCH INSTITUTE Washington, D.C. <i>For general support</i>	300,000		300,000	
■ YOUNG AUDIENCES OF THE BAY AREA San Francisco, California <i>For general support and for general support of Young Audiences of San Jose</i>	120,000		40,000	80,000
TOTAL INTERPROGRAM INITIATIVES	\$1,270,000	\$1,405,000	\$1,760,000	\$915,000

Summary of 1996 Authorizations and Payments

	Grants Authorized 1996	Unpaid Grants 12/31/95	Payments Made 1996	Unpaid Grants 12/31/96
Conflict Resolution	\$ 5,855,000	\$ 4,930,000	\$ 7,780,000	\$ 3,005,000
Education	8,716,000	35,766,500	20,327,500	24,155,000
Environment	4,305,000	3,060,000	5,620,000	1,745,000
Family and Community Development	4,175,000	2,959,000	5,166,500	1,967,500
Performing Arts	4,710,000	3,400,000	5,682,500	2,427,500
Population	11,650,000	12,176,000	13,946,000	9,880,000
Special Projects	6,425,000	5,103,000	8,712,000	2,816,000
Interprogram Initiatives	1,270,000	1,405,000	1,760,000	915,000
TOTAL: ALL PROGRAM AREAS	47,106,000	68,799,500	68,994,500	46,911,000
OTHER CHARITABLE ACTIVITIES	385,966		385,966	
TOTAL AUTHORIZATIONS	\$47,491,966	\$68,799,500	\$69,380,466	\$46,911,000

because the foregoing program descriptions are brief and are under continuing review, the most efficient means of initial contact with the Hewlett Foundation is a letter of inquiry, addressed to the president. The letter should contain a brief statement of the applicant's need for funds and enough factual information to enable the staff to determine whether or not the application falls within the Foundation's areas of preferred interest or warrants consideration as a special project. There is no fixed minimum or maximum with respect to the size of grants; applicants should provide a straightforward statement of their needs and aspirations for support, taking into account other possible sources of funding.

Letters of application will be briefly acknowledged upon their receipt, but because the Foundation prefers to operate with a small staff, a more detailed response may in some cases be delayed. Applicants who have not had a substantive reply after a reasonable period of time should feel free to make a follow-up inquiry.

The Foundation recognizes that significant programs require time to demonstrate their value. It is therefore willing to consider proposals covering several years of support. While the Foundation will entertain specific projects in its areas of interest and will on occasion provide general support for organizations of special interest, it expects to work primarily through organizations active in its main programs. One exception is the family and community development program, under which the Foundation makes some small grants for specific projects that meet an immediate community need. Like most foundations, the Hewlett Foundation is unwilling to assume responsibility for the long-term support of any organization or activity.

All inquiries are reviewed first by the relevant program officer. He or she will either (1) in consultation with the president, decline a request that seems unlikely to result in a project the Foundation can support; (2) request further information if a decision cannot be made on the basis of the initial inquiry; or (3) present the request to the rest of the staff for discussion.

Applicants who receive a favorable response to their initial inquiry will be invited to submit a formal proposal. Special supporting materials may be requested in some cases, but normally the proposal should include:

- A concise statement of the purpose of the request, its significance or uniqueness in relation to other work being done in the field, and the results sought.
- A budget for the program; an indication of other prospective funding sources and the amount requested of each; and a statement of the sponsoring organization's total budget and financial position. Applicants should indicate how they would continue a successful program once support from the Hewlett Foundation ceases.
- The identity and qualifications of the key personnel to be involved.
- A list of members of the governing body.
- Evidence of tax-exempt status.
- A statement to the effect that the proposal has been reviewed by the applicant's governing body and specifically approved for submission to the William and Flora Hewlett Foundation.

Normally the Foundation will not consider grants for basic research, capital construction funds, grants in the medical or health-related fields, or general fund-raising drives. It will not make grants or loans to individuals or grants intended directly or indirectly to support candidates for political office or to influence legislation.

Grants must be approved by the Board of Directors, which meets quarterly. Meeting dates are available upon request, but applicants should realize that even proposals which are to be recommended for Board approval cannot in every case be reviewed at the first meeting following their receipt. All inquiries and proposals are reported to the Board, including those declined at the staff level.

Financial Statements

THE WILLIAM AND FLORA HEWLETT FOUNDATION

REPORT OF INDEPENDENT ACCOUNTANTS

*To the Board of Directors of
The William and Flora Hewlett Foundation*

In our opinion, the accompanying statement of financial position and the related statements of activities and changes in unrestricted net assets and of cash flows present fairly, in all material respects, the financial position of The William and Flora Hewlett Foundation (the Foundation) at December 31, 1996 and 1995, and the results of its operations and its cash flows for the years then ended in conformity with generally accepted accounting principles. These financial statements are the responsibility of the Foundation's management; our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits of these statements in accordance with generally accepted auditing standards which require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for the opinion expressed above.

Pricewaterhouse LLP

SAN FRANCISCO, CALIFORNIA
MARCH 14, 1997

Statement of Financial Position

	December 31	
	1996	1995
ASSETS		
Investments (Note 1)		
Hewlett-Packard Company common stock		
(1996 - 5,256,000 shares @ \$50.25;		
1995 - 8,557,200 shares @ \$41.875)	\$ 264,114,000	\$ 358,333,000
Other public equities	657,122,000	556,915,000
Private equities	161,540,000	84,544,000
Fixed income	408,356,000	358,149,000
Total investments	1,491,132,000	1,357,941,000
Cash	88,000	1,000
Federal excise tax refundable	529,000	-0-
Contribution receivable (Note 4)	9,328,000	15,000,000
Program-related loan receivable	5,714,000	6,000,000
Office equipment and other assets	329,000	278,000
	<u>\$ 1,507,120,000</u>	<u>\$ 1,379,220,000</u>
LIABILITIES AND NET ASSETS		
Accounts payable and accrued liabilities	\$ 1,865,000	\$ 1,361,000
Grants payable (Note 2)	46,911,000	68,799,000
Federal excise tax payable currently	-0-	610,000
Deferred federal excise tax (Note 3)	5,004,000	5,996,000
Total liabilities	53,780,000	76,766,000
Unrestricted net assets of the Foundation	1,453,340,000	1,302,454,000
	<u>\$ 1,507,120,000</u>	<u>\$ 1,379,220,000</u>

See accompanying notes to financial statements on pp. 101-2.

Statement of Activities
Changes in Unrestricted Net Assets

	December 31	
	1996	1995
Net revenues and gains:		
Interest, dividend, and other	\$ 45,554,000	\$ 43,911,000
Gain on investment portfolio	161,685,000	312,522,000
Investment management expense	(4,086,000)	(3,274,000)
Net investment income	203,153,000	353,159,000
Federal excise tax expense on investment income (Note 3)	(1,679,000)	(5,786,000)
Net unrestricted revenues and gains	201,474,000	347,373,000
Expenses:		
Grants authorized, net of cancellations	(47,492,000)	(66,179,000)
Administrative expenses	(3,096,000)	(2,906,000)
Excess of income over expenses	150,886,000	278,288,000
Contributions (Note 4)	-0-	84,910,000
Increase in unrestricted net assets	150,886,000	363,198,000
Unrestricted net assets at beginning of period	1,302,454,000	939,256,000
Unrestricted net assets at end of period	\$ 1,453,340,000	\$ 1,302,454,000

See accompanying notes to financial statements on pp. 101-2.

Statement of Cash Flows

	Year Ended December 31	
	1996	1995
Cash flows from operating activities:		
Increase in unrestricted net assets	\$ 150,886,000	\$ 363,198,000
Adjustments to reconcile increase in unrestricted net assets to net cash from investment income and operating expenses:		
Depreciation and other	122,000	101,000
(Decrease) increase in deferred federal excise tax provision	(992,000)	2,551,000
Contribution	-0-	(84,910,000)
Net (gain) on investment portfolio	(161,685,000)	(312,522,000)
Change in assets and liabilities:		
(Increase) in Federal excise tax refundable	(529,000)	-0-
Decrease in contribution receivable	5,672,000	-0-
Decrease in program-related loan receivable	286,000	-0-
Increase in accounts payable and accrued expenses	504,000	388,000
(Decrease) increase in Federal excise tax payable	(610,000)	132,000
(Decrease) increase in grants payable	(21,888,000)	26,326,000
Net cash from operating activities	(28,234,000)	(4,736,000)
Cash flows from investing activities:		
Proceeds from sales of investment assets	1,921,424,000	1,491,499,000
Purchases of investment assets	(1,892,930,000)	(1,486,727,000)
Capital asset additions and other	(173,000)	(77,000)
Net cash from investing activities	28,321,000	4,695,000
Net (decrease) increase in cash	87,000	(41,000)
Cash at beginning of period	1,000	42,000
Cash at end of period	\$ 88,000	\$ 1,000

See accompanying notes to financial statements on pp. 101-2.

Notes to Financial Statements

December 31, 1996 and 1995

NOTE 1

Investments

Investments represent a diversified portfolio of public and private equity and debt investments. Public equity consists of a diversified portfolio of domestic and international stocks. Private equity primarily consists of real estate and venture capital. Fixed income securities primarily consist of U.S. government, federal agency, and corporate bonds and notes.

Public equity and fixed income securities are carried at market value as determined by quoted market prices. Because no readily ascertainable market values exist for private equity investments, they are accounted for under the equity method. Management believes that this method provides a reasonable estimate of market value. These estimated values could, however, differ from those which might be available if publicly traded market values existed. Dividend and interest income are accrued when earned. The unrealized increase (decrease) in the market value of investments held at year end is determined by using market values at the beginning and end of the year, or on the date acquired if purchased or contributed to the Foundation during the year. Realized gains (losses) on sales are determined based on cost, with cost being determined on a specific identification basis. The net gain on investment portfolio includes realized gains of \$211,218,888 in 1996 and \$77,655,000 in 1995.

The Foundation held 5,256,000 shares of Hewlett-Packard Company stock (approximately 0.5% of that Company's total outstanding shares) with a market price of \$50.25 per share at December 31, 1996. At December 31, 1995, the Foundation held 8,557,200 shares with a market price of \$41.875 per share. (Shares and market price per share have been adjusted for the two-for-one stock split in July 1996.)

Funds in the amount of \$104,903,000 are committed for future investment in private equity.

NOTE 2

Grants Payable

Grant requests are recorded as grants payable when they are approved by the Board of Directors. Some of the grants are payable in installments, generally over a three-year period. Grants authorized but unpaid at December 31, 1996, are payable as follows:

<u>Year Payable</u>	<u>Amount</u>
1997	\$ 30,843,000
1998	12,878,000
1999 and thereafter	3,190,000
	<u>\$ 46,911,000</u>

NOTE 3
Federal Excise Tax

The William and Flora Hewlett Foundation is a private foundation and qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code. Private foundations are subject to a federal excise tax on net investment income and may reduce their federal excise tax rate from 2% to 1% by exceeding a certain payout target for the year. The Foundation qualified for the 1% tax rate in 1996 and paid tax at the 2% rate in 1995. Deferred federal excise tax is provided at 1.33%, the average effective rate expected to be paid on unrealized gains on investments.

The provisions for federal excise tax were as follows:

	<u>1996</u>	<u>1995</u>
Current	\$ 2,671,000	\$ 3,235,000
Deferred	(992,000)	2,551,000
	<u>\$ 1,679,000</u>	<u>\$ 5,786,000</u>

NOTE 4
Contributions

The Foundation received the following contributions during 1995:

One million shares of Hewlett-Packard Company stock, net of deferred excise tax (\$871,000)	\$ 69,910,000
Contribution receivable in connection with the Foundation's \$25,000,000 grant to the Bay Area School Reform Collaborative	15,000,000
	<u>\$ 84,910,000</u>

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